

**Self-Organization  
for  
Nano-Computation  
and  
Nano-Assembly**

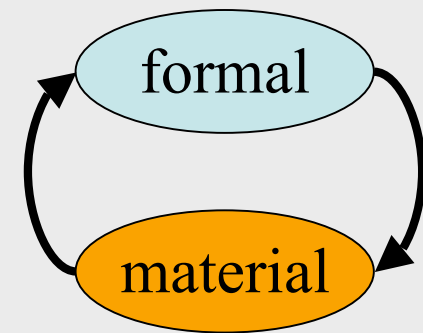
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University of Tennessee, Knoxville

# Central Themes

- Computation and physics
  - novel computational models to exploit novel technologies
  - computational control of matter
- Natural computation
  - computation occurring in nature, or
  - inspired by that occurring in nature



# Current Research in Self-Organization

- Synthetic Ethology & the Emergence of Communication
- Molecular Computing for Nanostructure Synthesis & Control
- Radical Reconfiguration of Computing Systems
- Generalized Computation (U-Machine)
- Programmable Microorganisms for Artificial Morphogenesis
- Applications in Command, Control & Coordination

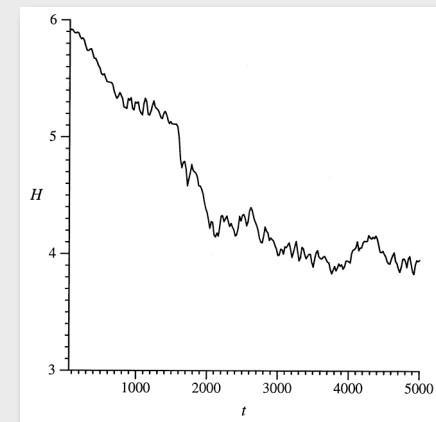
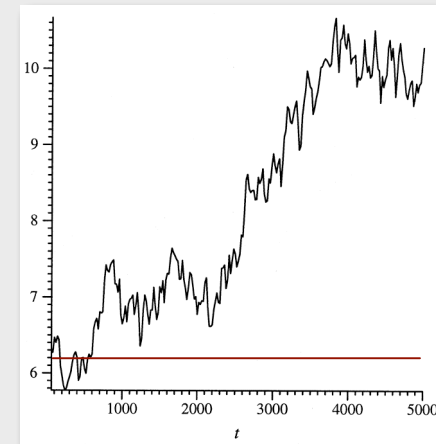
# Some Principles of Adaptive Self-Organization

- Positive & negative feedback
- Noise, randomness, imperfection
- Amplification of random fluctuations
- Symmetry breaking
- Diffusion
- Stigmergy
- Simple, local microdecisions
- Multiple interactions
- Circular causality
- Excitable media
- Local nonlinear interactions
- Adaptive stationary states
- Nonconvergence, diversity & suboptimal solutions
- Developmental cascades
- Entrainment & distributed synchronization

**Synthetic Ethology  
and the  
Emergence of Communication**

# Evolution of Communication (1990)

- Experiments to demonstrate self-organized emergence of communication among simple agents
- Selective pressure in favor of *cooperation*
- Agents can modify or sense state of shared global environment
- GA selects for best cooperators
- Agents evolve to *communicate* using a simple code



# The U-Machine

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# Computation in General Sense

- A definition applicable to computation in nature as well as computers
- Computation is a *physical* process, the purpose of which is *abstract* operation on *abstract* objects
- A computation must be implemented by *some* physical system, but it may be implemented by *any* physical system with the appropriate abstract structure



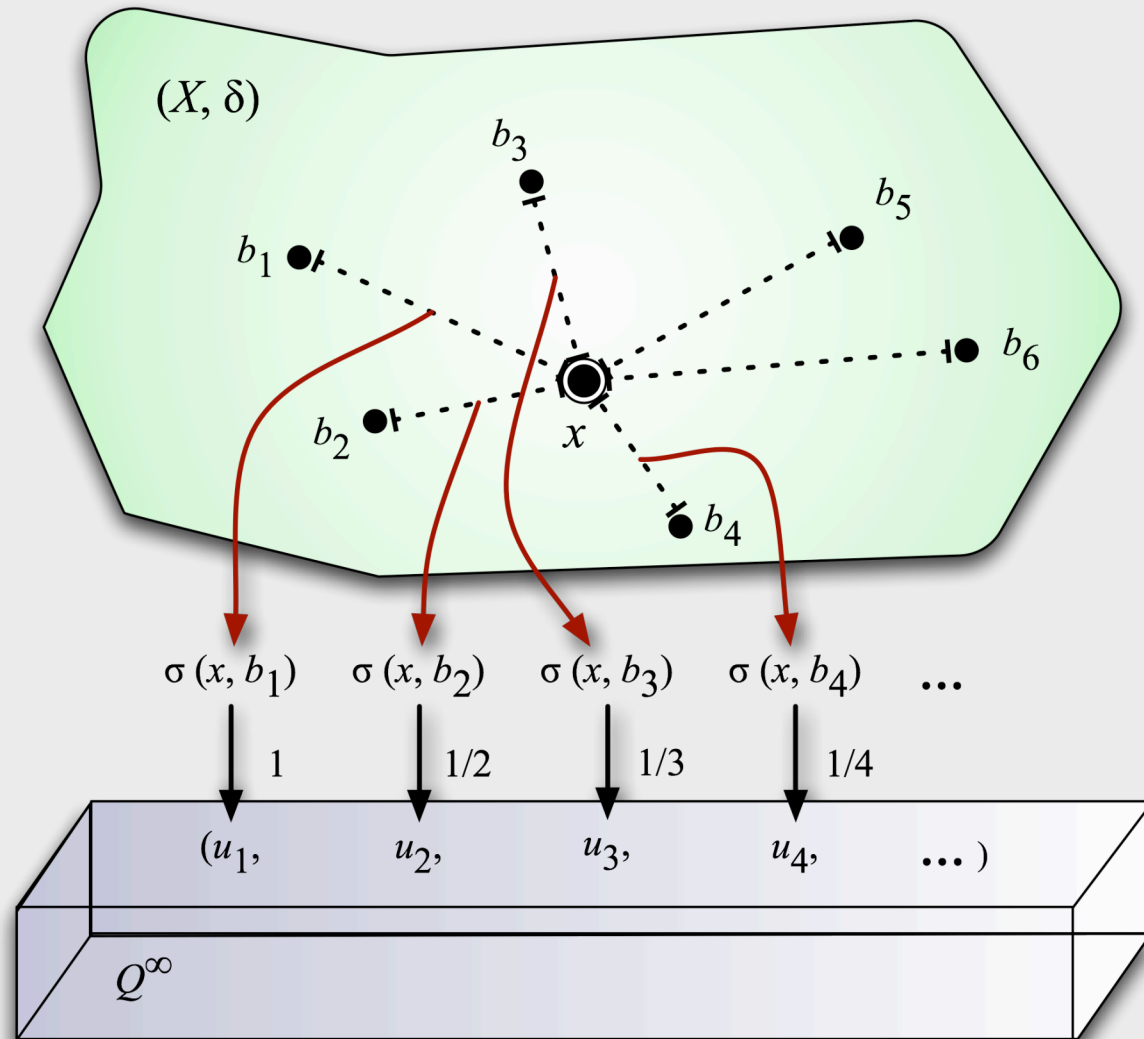
# Abstract Spaces

- Should be general enough to include continuous & discrete spaces
- Hypothesis: *separable metric spaces*
- Include continua & countable discrete spaces
- separable  $\Rightarrow$  approximating sequences

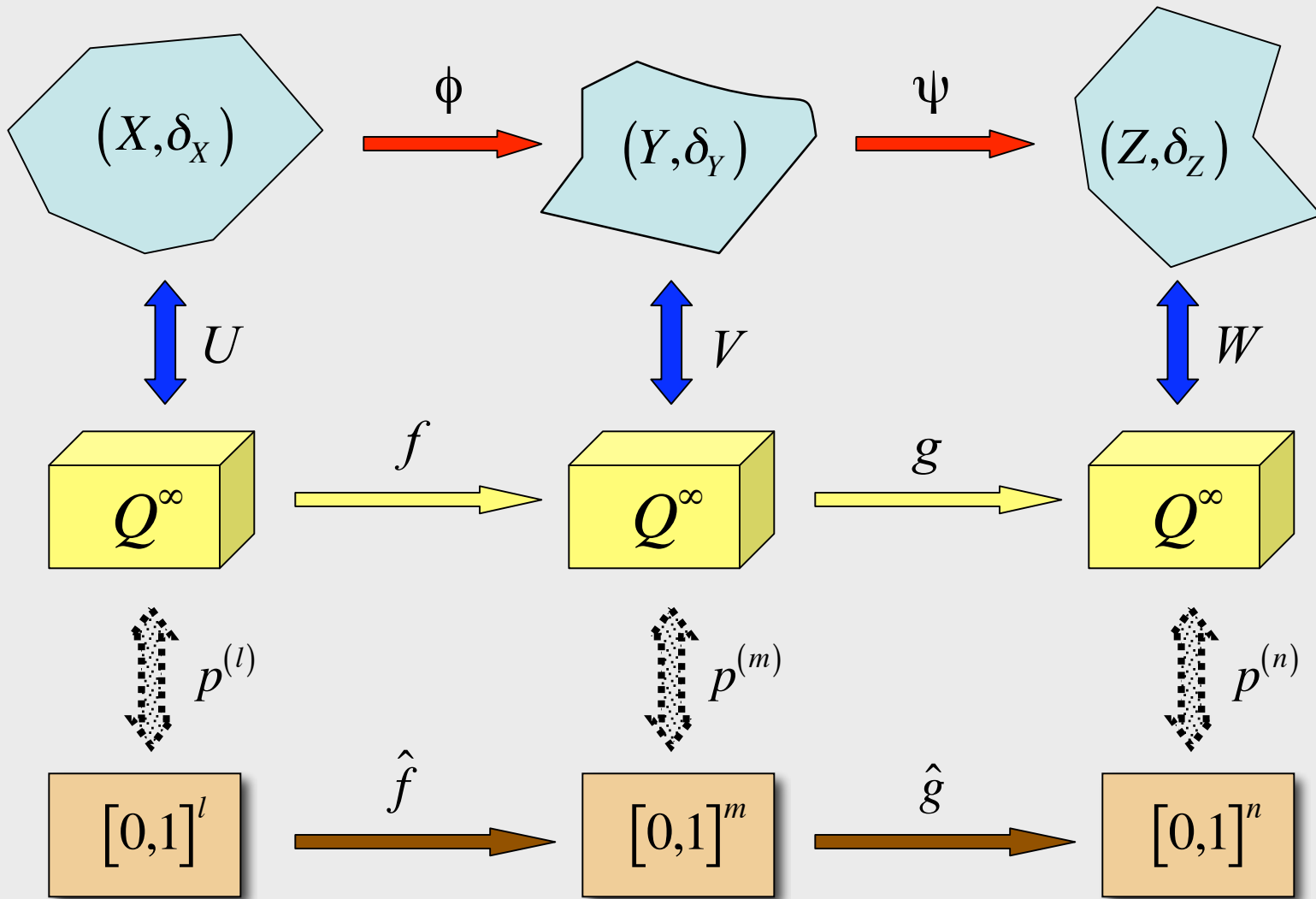
# The U-Machine

- Goal: a model of computation over abstract spaces that can be implemented in a variety of physical media
- In particular, bulk nanostructured materials in which:
  - access to interior is limited
  - detailed control of structure is difficult
  - structural defects and other imperfections are unavoidable

# Urysohn Embedding

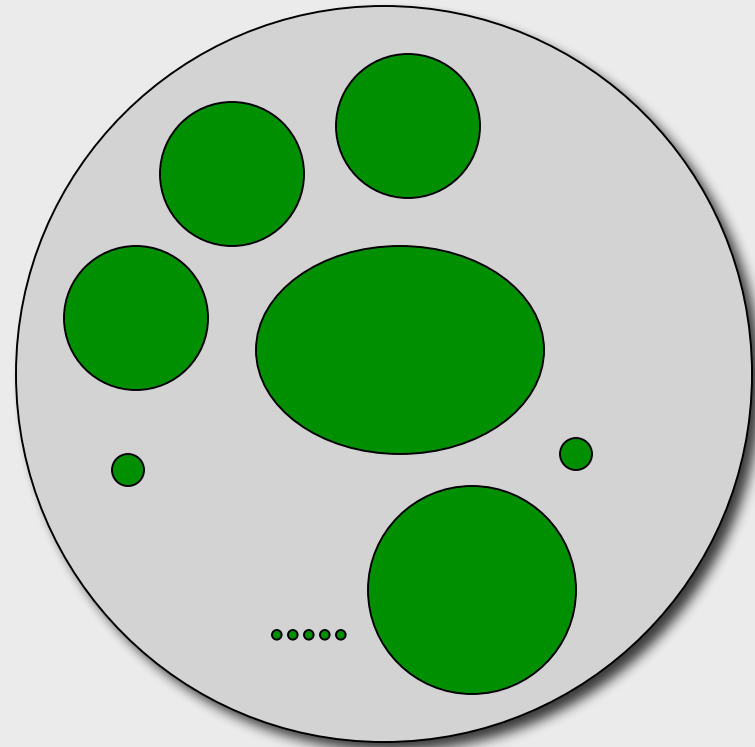


# Computation in Hilbert Space



# An Abstract Cortex

- Finite-dimensional representations of abstract spaces can be allocated disjoint regions in data space
- Field representations can be allocated to separated regions
- Analogous to regions in neural cortex



# Decomposition of Computations

- Complex computations may be decomposed into simpler ones
- Variable regions provide interfaces between constituent computational processes
- For maximum flexibility: don't build in specific primitive processes
- How are primitive processes implemented?

# Implementation of Primitive Computations

- There are several “universal approximation theorems” that make use of approximations of the form:

$$\mathbf{v} = \mathbf{F}(\mathbf{u}) \approx \sum_{j=1}^H \mathbf{a}_j r_j(\mathbf{u})$$

- Works for a variety of simple nonlinear “basis functions”  $r_j$

# (Re-)Configuration Methods



# Overall Structure

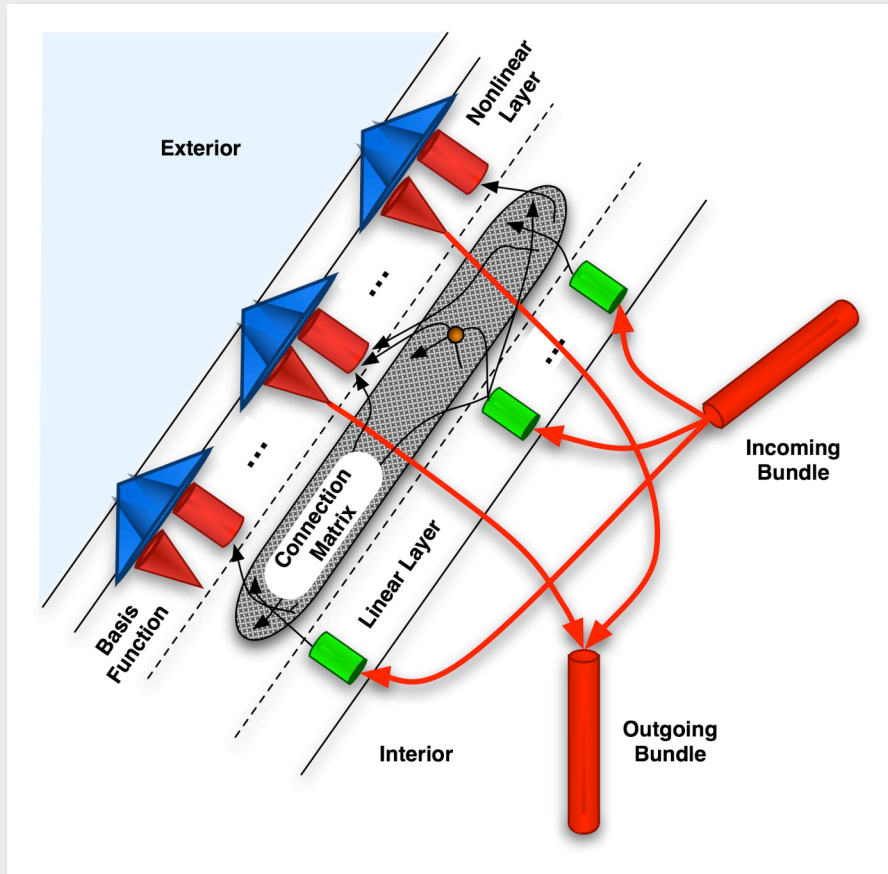
- Variable (data) space
  - Large number of scalar variables for Hilbert coefficients
  - Partitioned into regions representing abstract spaces
- Function (program) space
  - Flexible interconnection ( $:: 3D$ )
  - Programmable linear combinations
  - Application of basis functions

# Depiction of UM Interior



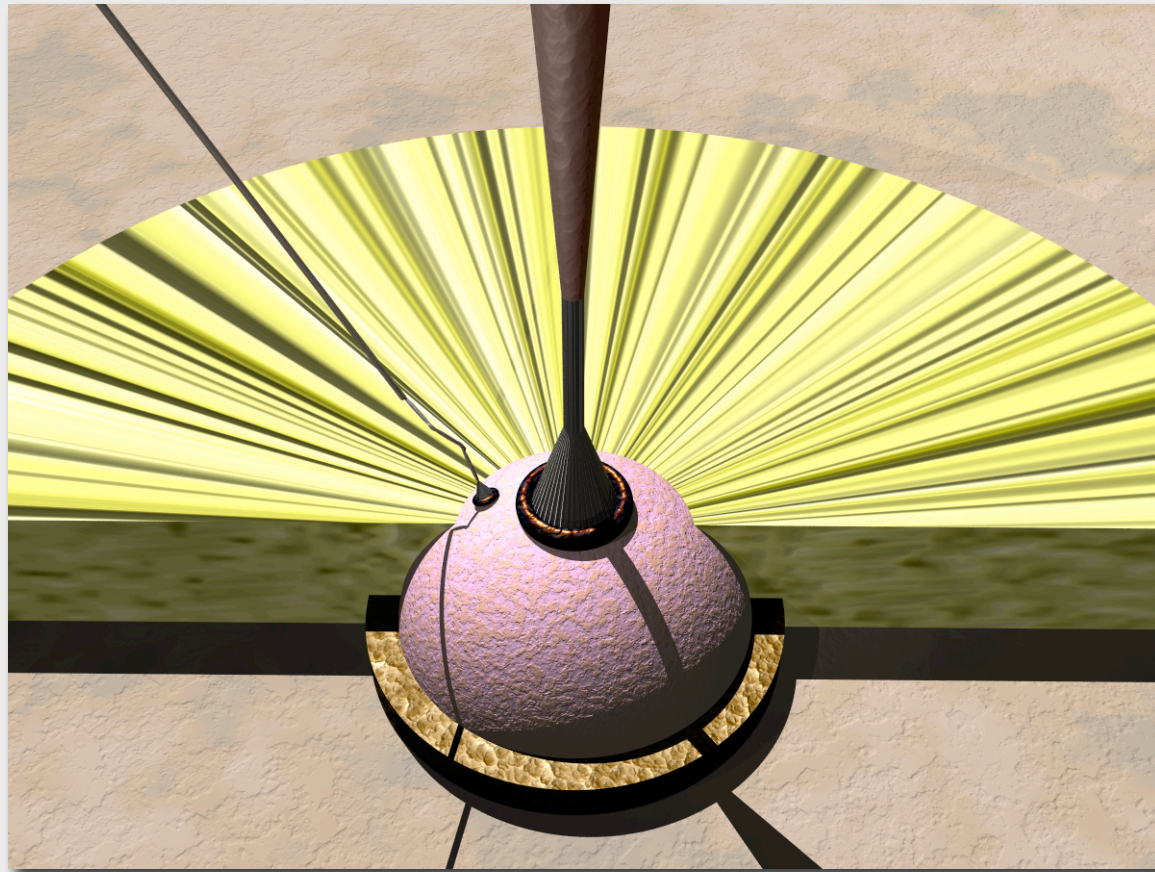
- Shell contains variable areas & computational elements
- Interior filled with solid or liquid *matrix* (not shown)
- Paths formed through or from matrix

# Layers in Data Space



- Connection matrix has programmable weights
- Linear combinations are inputs to nonlinear basis functions
- Exterior access to both sides for programming

# Depiction of UM Exterior

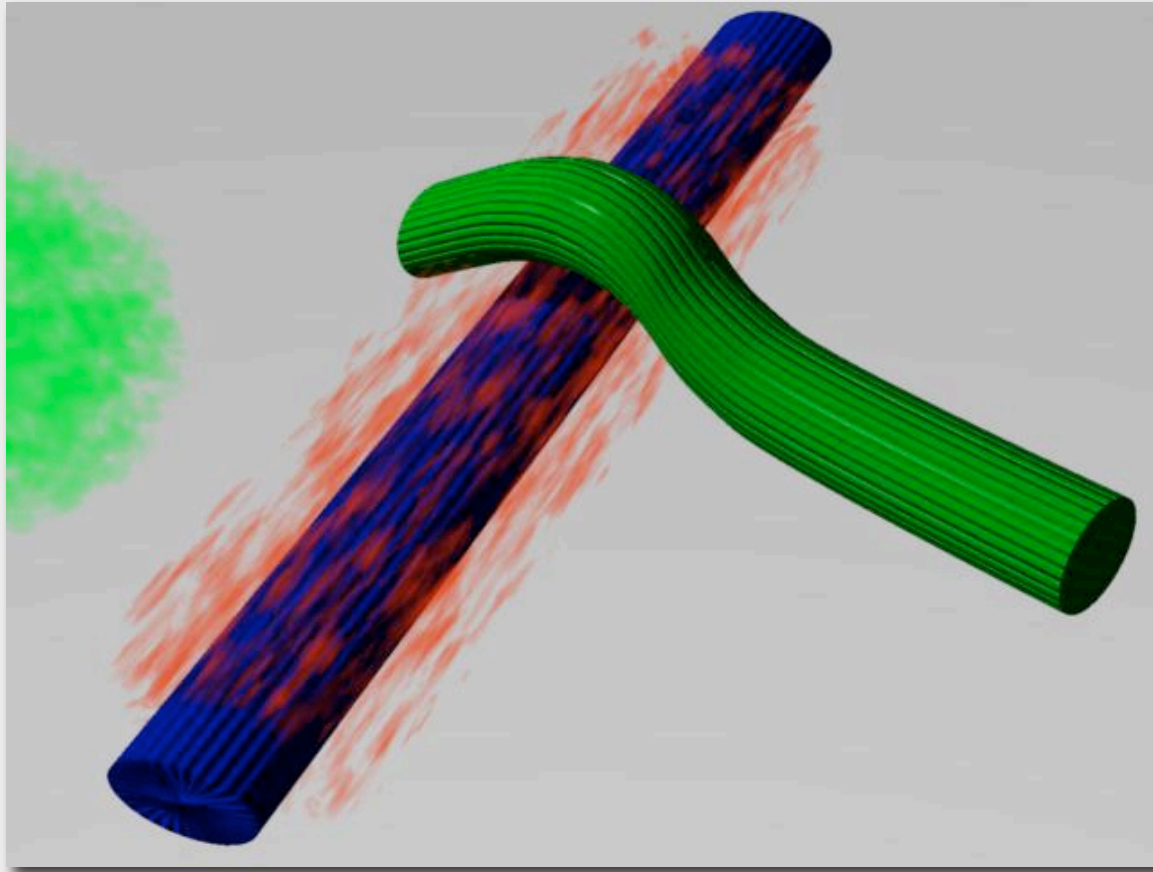


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# Diffusion-Based Path Routing

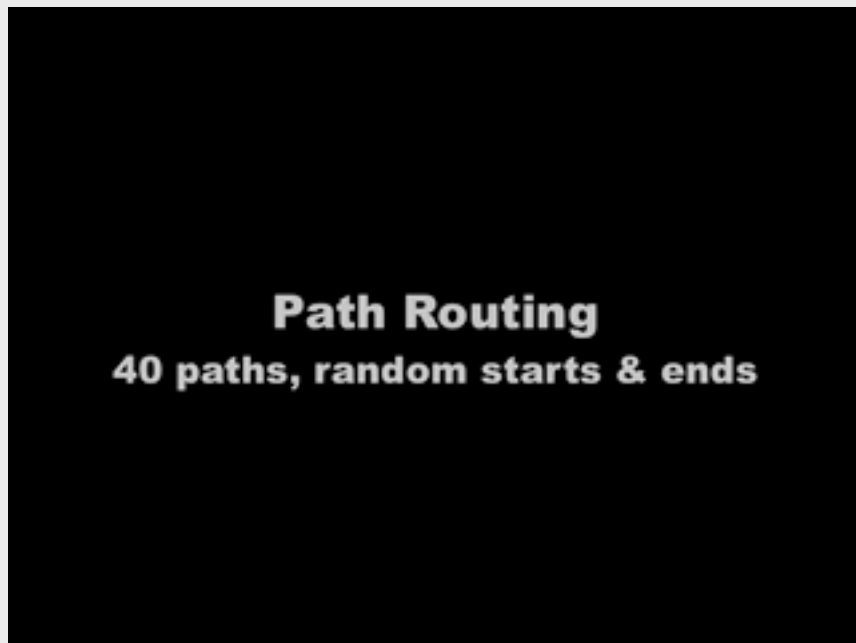


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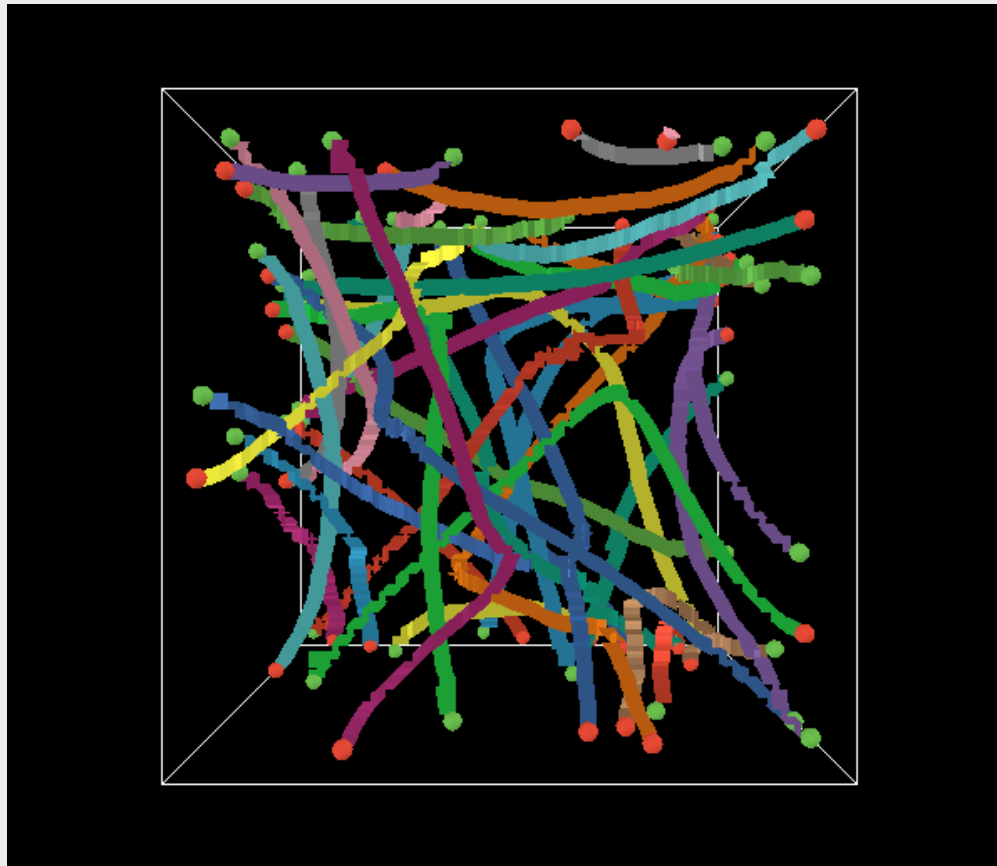
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# Example of Path Routing



- Starts and ends chosen randomly
- Quiescent interval (for attractant decay) omitted from video
- Each path occupies  $\sim 0.1\%$  of space
- Total:  $\sim 4\%$

# Front

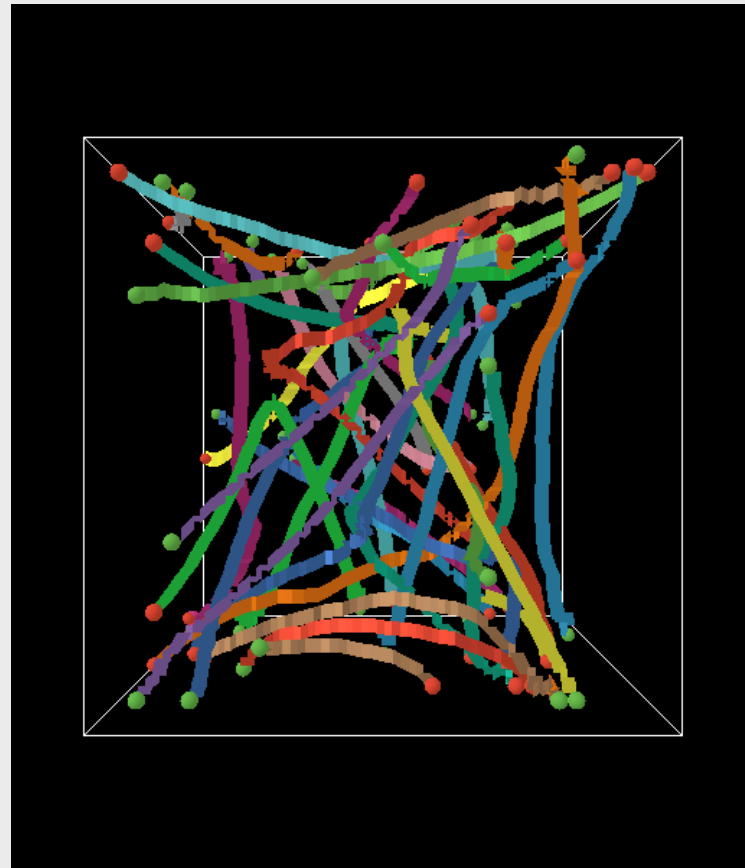


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# Right



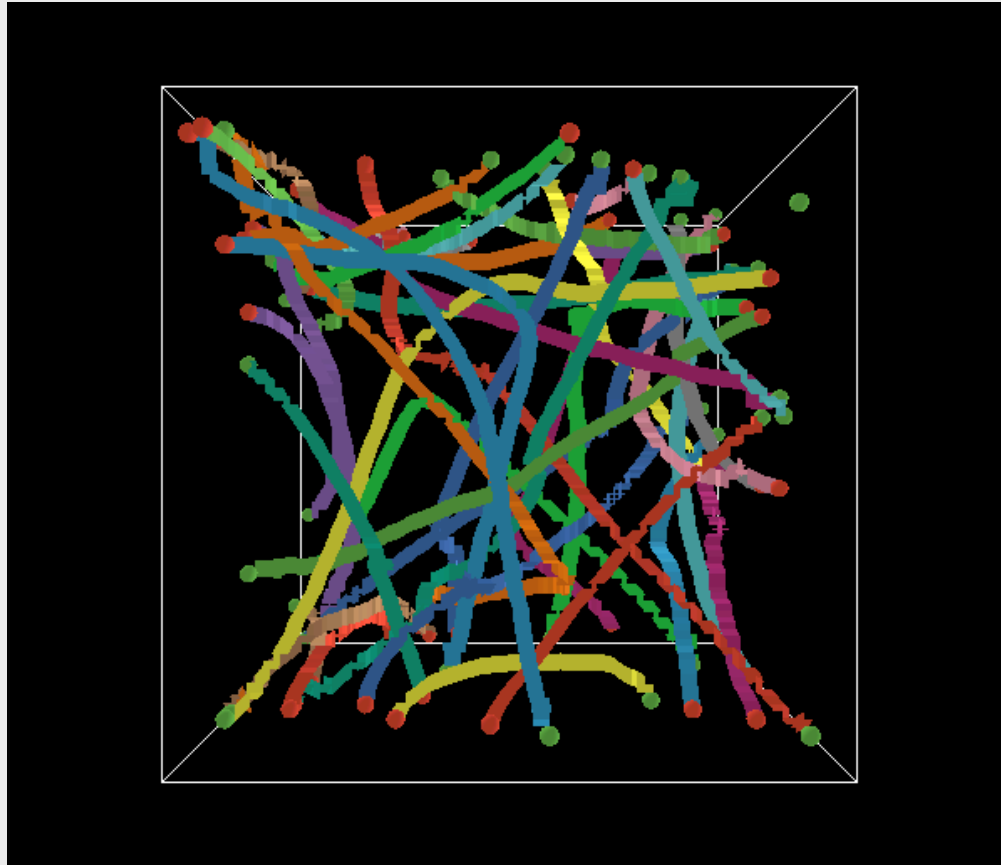
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# Back

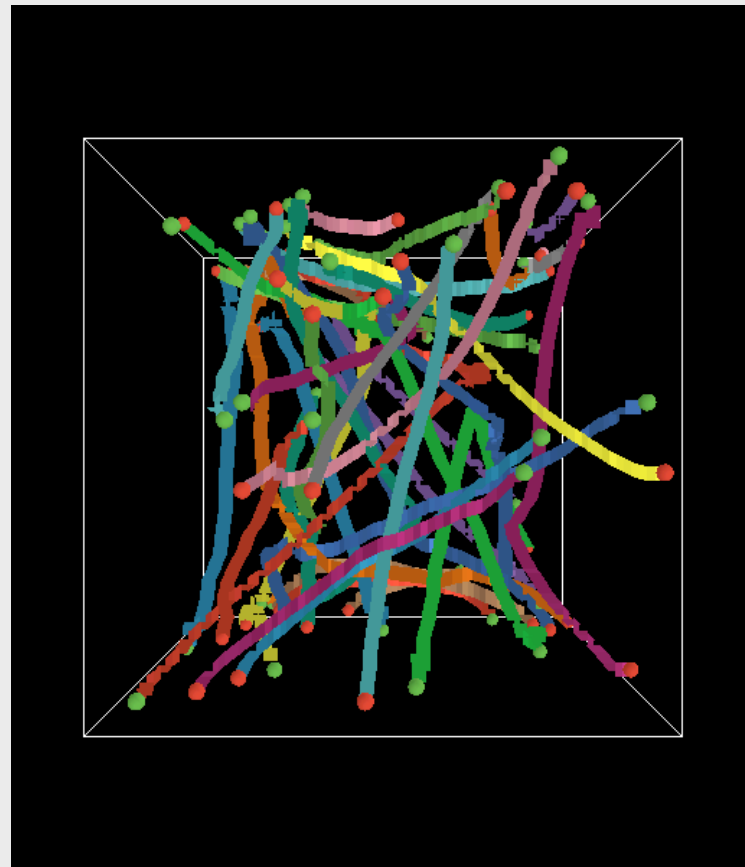


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# Left

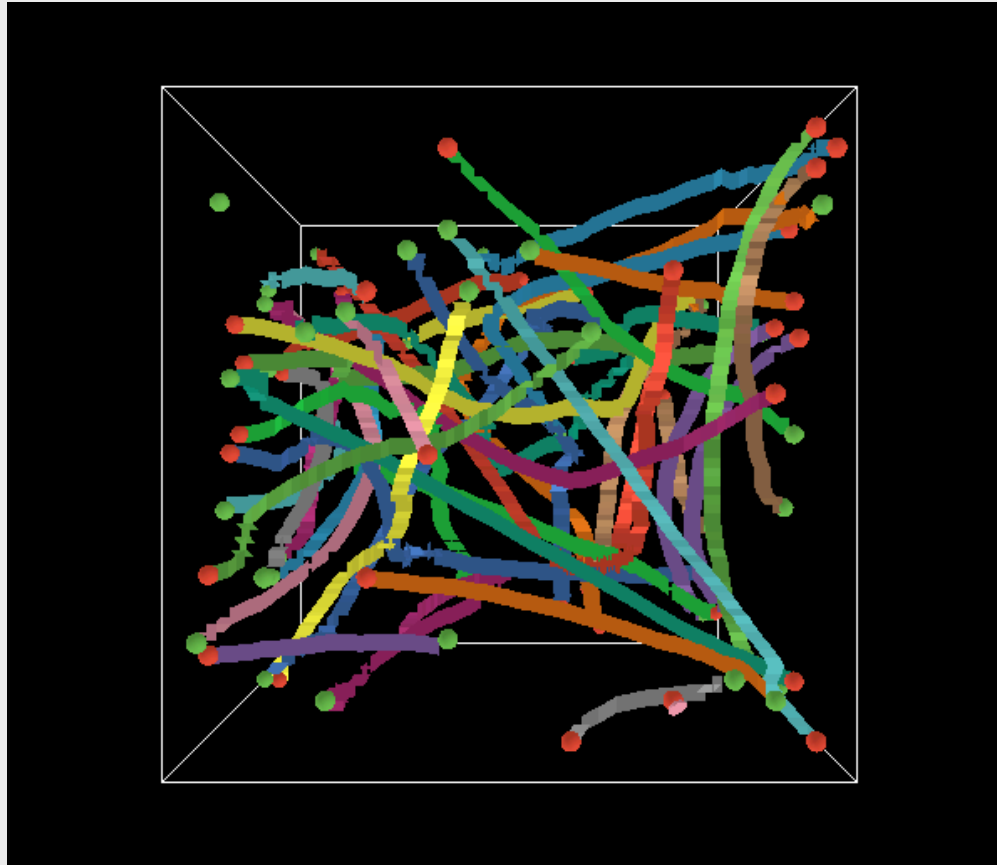


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# Top

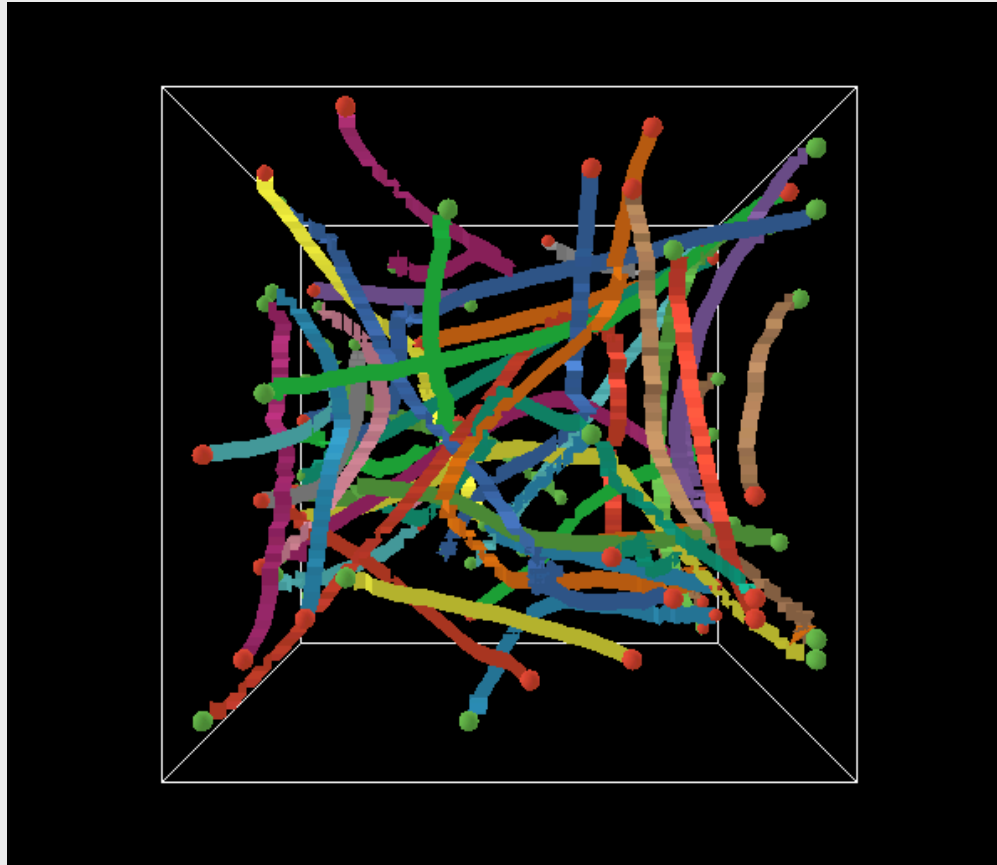


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# Bottom



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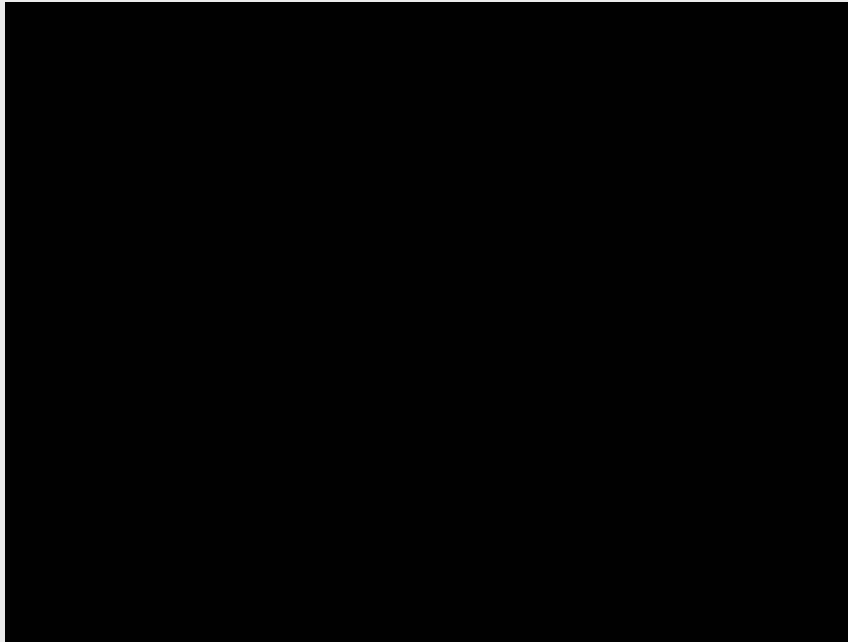
# Remarks

- More realistic procedure:
  - Systematic placement of regions
  - Order of path growth
  - Control of diffusion & growth phases
- General approach is robust (many variations work about as well)
- Paths could be formed by:
  - Migration of molecules etc.
  - Change of state of immobile molecules

# Example Connection-Growth Process

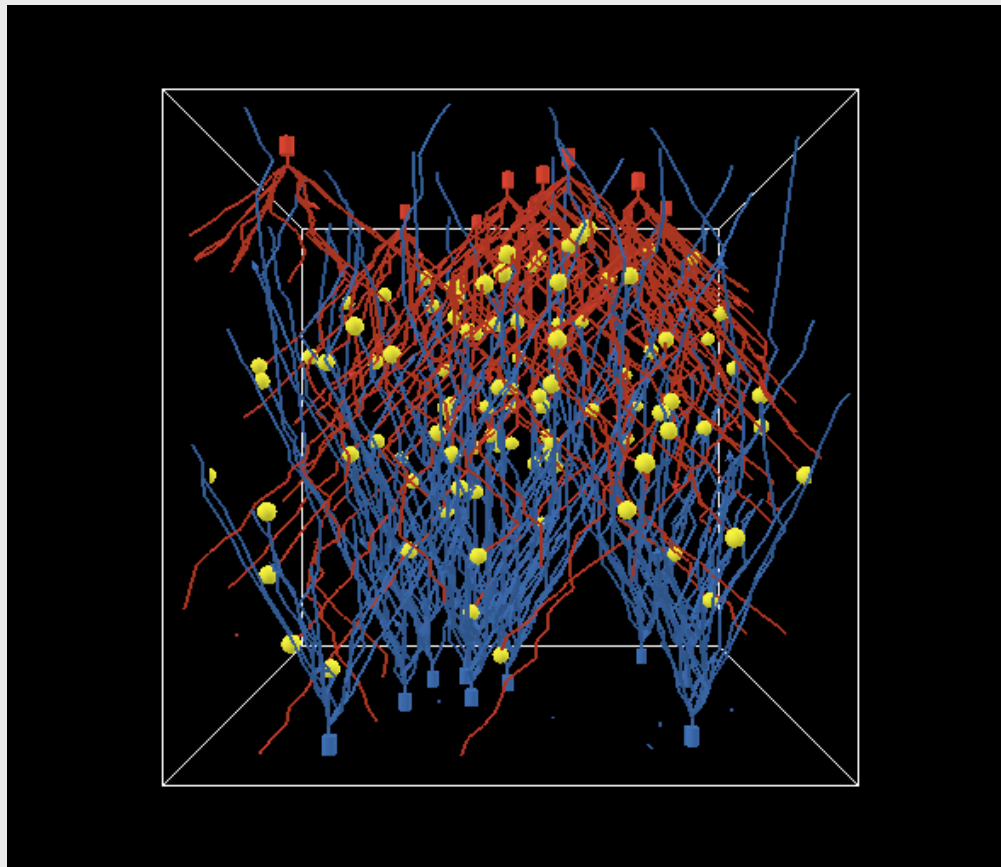
- Goal: approximately full interconnection between incoming “axons” (A) and “dendrites” (D) of basis functions
  - Doesn’t have to be perfect
- Each A & D periodically initiates fiber growth
  - Growth is approximately away from source
- Fibers repel others of same kind
  - Diffusible, degradable repellent
  - Fibers follow decreasing gradient (in XZ plane)
- Contact formed when A and D fibers meet

# Example of Connection Formation



- 10 random “axons” (red) and “dendrites” (blue)
- Simulation stopped after 100 connections (yellow) formed

# Resulting Connections



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# Summary of U-Machine

- Permits computation on quite general abstract spaces (separable metric spaces)
  - Includes analog & digital computation
- Computation by linear combinations & simple nonlinear basis functions
- Simple computational medium can be reconfigured for different computations
- Potentially implementable in a variety of materials

# Computational (Re-)Configuration of Systems

# “Radical Reconfiguration”

- Ordinary reconfiguration changes connections among fixed components
- Radical reconfiguration of transducers
  - to create new sensors & actuators
- Radical reconfiguration of processors
  - to reallocate matter to different components
- Also for repair & damage recovery
- Requires rearrangement of atoms and molecules into new components
- Requires “molar parallelism”

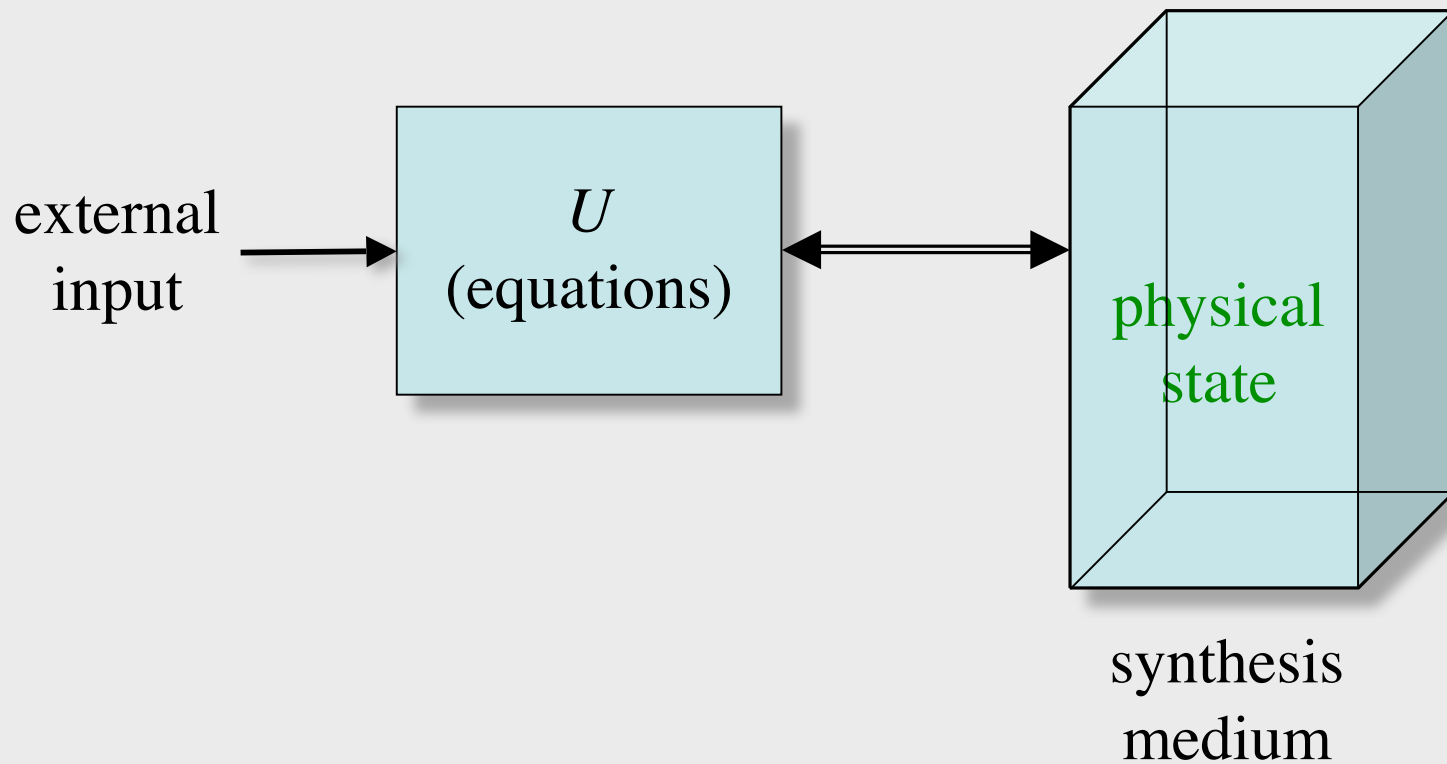
# Computational Control of Matter

- A material process may be used as a substrate for formal computation
- Formal computation may be used to control a material process
- A material process may be a substrate for universal computation, controlled by a formal program
- A formal program may be used to govern a material process

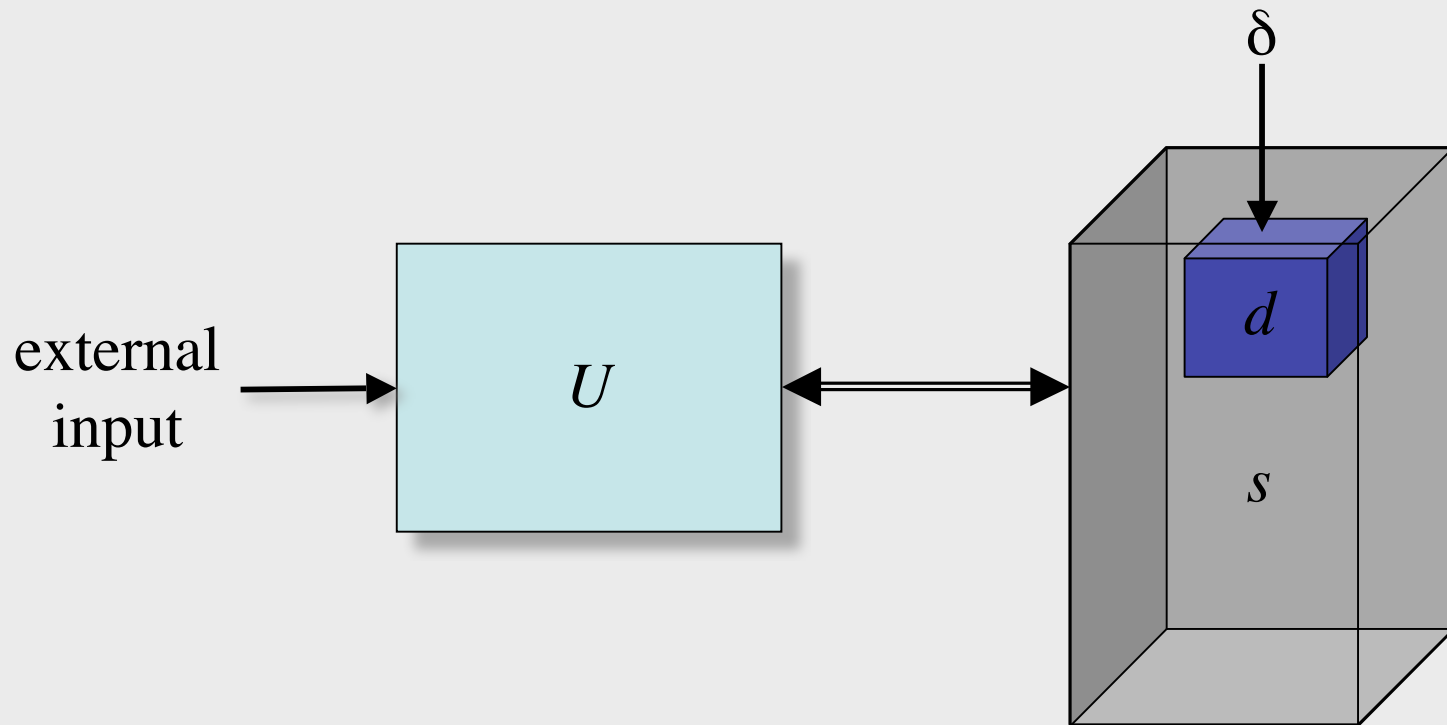
# The Physical State as Synthetic Medium

- Computation controls physical state (as synthesis medium)
- Reconfigured computer is embodied in physical state
- Computation must be able to distinguish synthetically relevant physical states

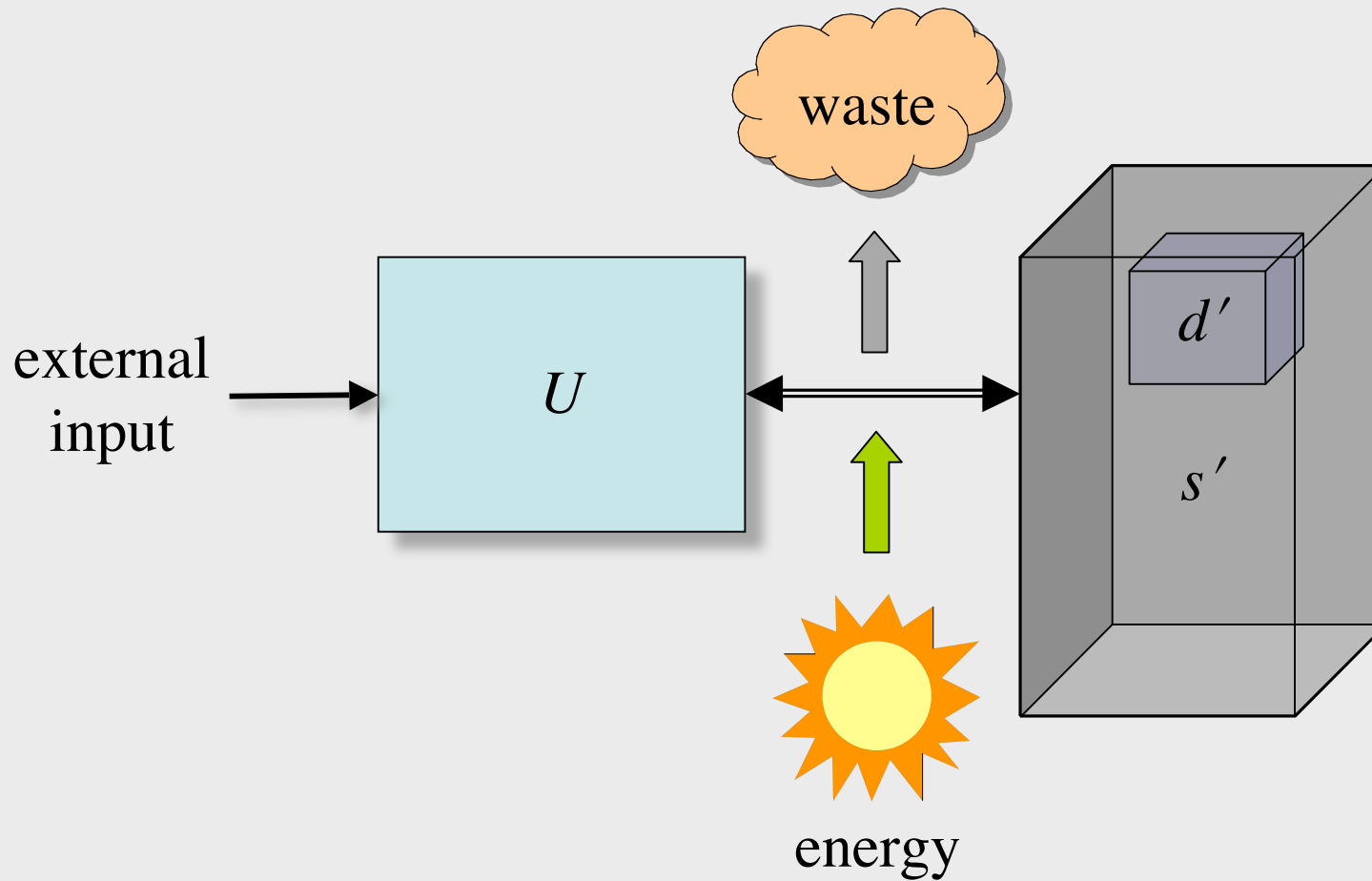
# Universal Computer



# Initialization

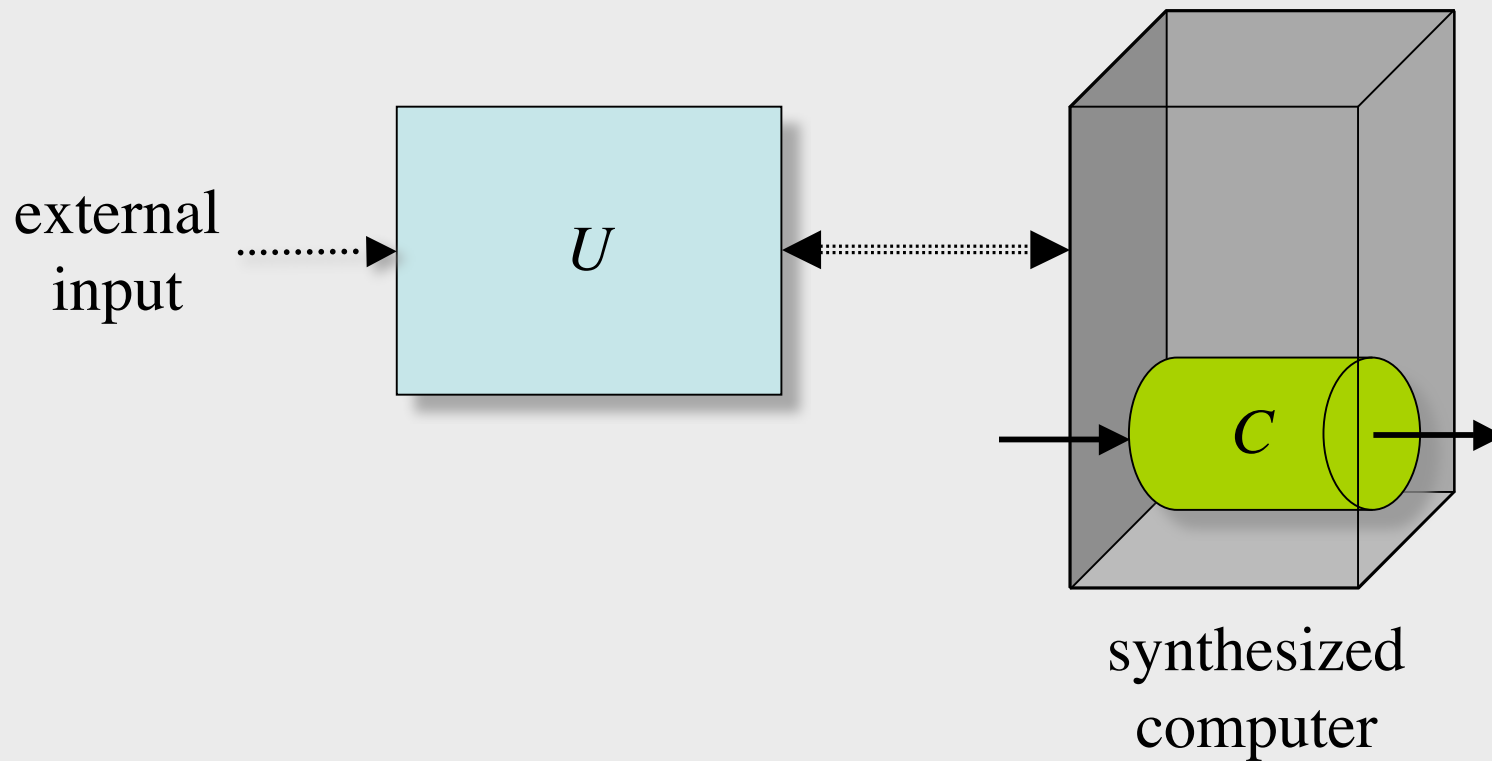


# Computation



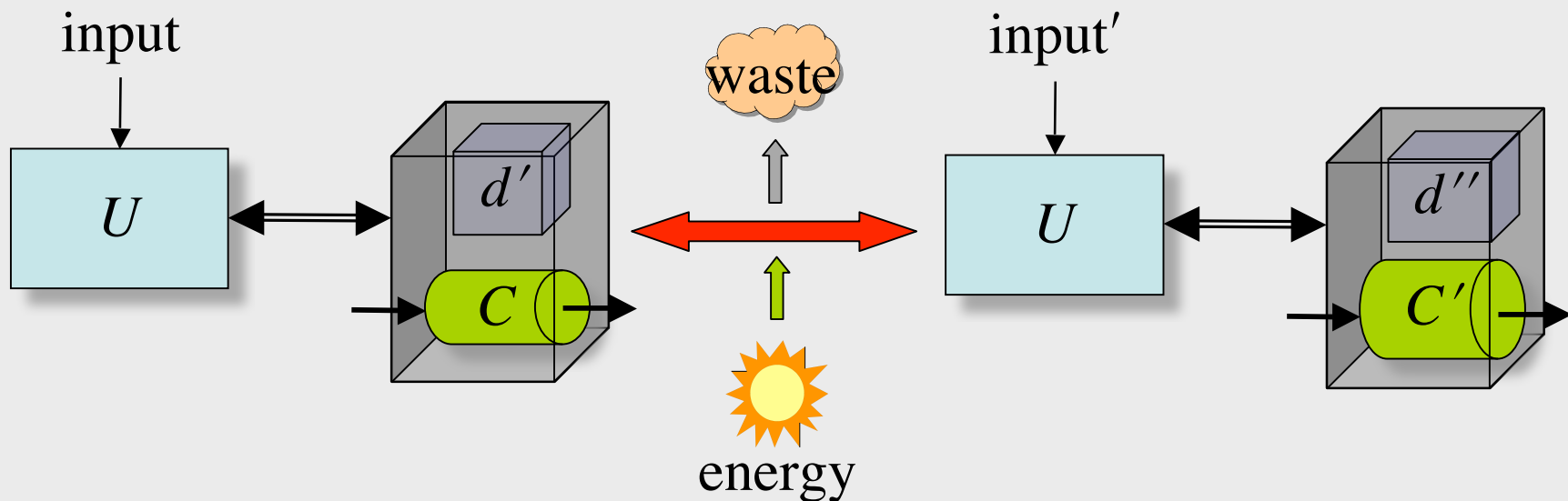


# Completion



# Equilibrium vs. Stationary Configurations

- Program terminates for equilibrium config.
- Program continues to run for stationary config.



# Thermodynamics of a Configuration

- Either, configuration is a stable state
  - damage may shift to undesirable equilibrium
- Or, configuration is a stationary state of a non-equilibrium system
  - continuously reconfigures self
  - self-repair as return to original stationary state
  - adaptation & damage recovery as move to different stationary state

# Useful Media for Computational Synthesis

- For pure computation, move as little matter & energy as possible
- For synthesis, need to control atoms & molecules as well as electrons
- Need sufficiently wide variety of controllable atoms & molecules
- Goal: structures on the order of optical wavelengths (100s of nm)

# Models of Computation for Synthesis

- Need massive parallelism to control detailed organization of state
- Need tolerance to errors in state
  - synthesis program should be tolerant
  - configured computer should be tolerant

# Locus of Control of Detailed Organization

- Reorganizing atoms & molecules  
⇒ vast amount of detailed control
- *Heterosynthesis*
  - external configuration controller determines fine structure of medium (high bandwidth)
- *Autosynthesis*
  - external configuration controller determines general boundary conditions (low BW)
  - fine structure results from self-organization

# General Model of Radical Reconfiguration

- Synthesis controller
  - low bandwidth to outside world
  - bandwidth to medium:
    - high for heterosynthesis
    - low for autosynthesis
- Synthetic medium
  - molar parallelism of interactions
    - simple for heterosynthesis
    - complex for autosynthesis
  - what are suitable synthetic media?

# Simple Example: Reaction-Diffusion System



photos ©2000, S. Cazamine

- Many natural patterns can be explained by reaction-diffusion equations
- $\partial \mathbf{c} / \partial t = D \nabla^2 \mathbf{c} + \mathbf{F}(\mathbf{c})$
- where  $\mathbf{c}$  is a vector of concentrations, and  $D$  is a diagonal matrix of diffusion rates, and  $\mathbf{F}$  is a nonlinear vector function



# Example:

## Activation-Inhibition System

- Let  $\sigma$  be the logistic sigmoid function
- Activator  $A$  and inhibitor  $I$  may diffuse at different rates in  $x$  and  $y$  directions
- Cell is “on” if activator + bias exceeds inhibitor

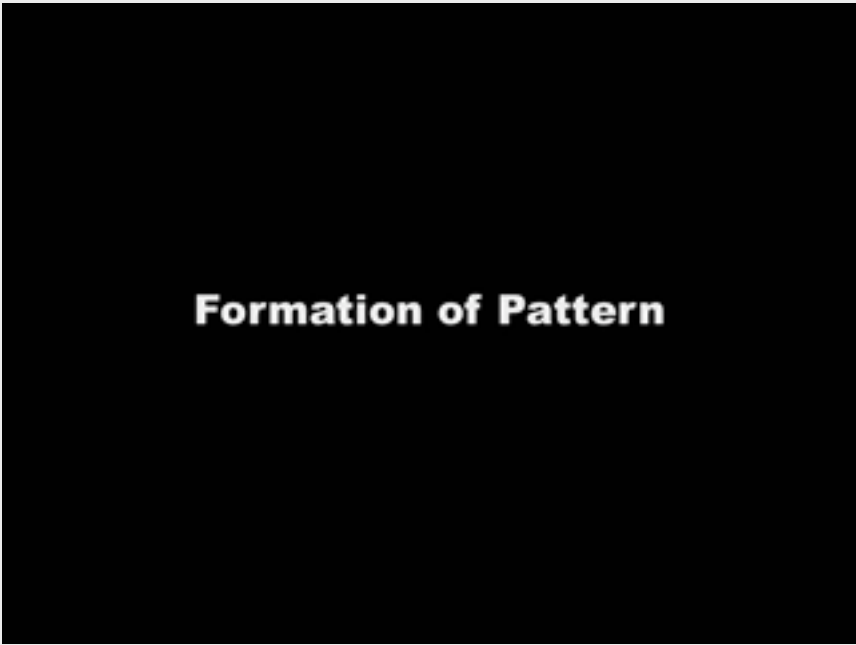
$$\frac{\partial A}{\partial t} = d_{Ax} \frac{\partial^2 A}{\partial x^2} + d_{Ay} \frac{\partial^2 A}{\partial y^2} + k_A \sigma[m_A(A + B - I)]$$

$$\frac{\partial I}{\partial t} = d_{Ix} \frac{\partial^2 I}{\partial x^2} + d_{Iy} \frac{\partial^2 I}{\partial y^2} + k_I \sigma[m_I(A + B - I)]$$

# Double Activation-Inhibition System

- Two independently diffusing activation-inhibition pairs
- May have different diffusion rates in X and Y directions
  - In this example,  $I_{1y} \gg I_{1x}$  and  $I_{2x} \gg I_{2y}$
- Colors in simulation:
  - green = system 1 active
  - red = system 2 active
  - yellow = both active
  - black = neither active

# Formation of Pattern



**Formation of Pattern**

- Random initial state
- System stabilizes to  $< 1\%$  cell changes
- Modest noise (annealing noise) improves regularity

# Stationary State



**Stationary State**

- System is being continually maintained in a stationary state
- Continuing change  $< 1\%$

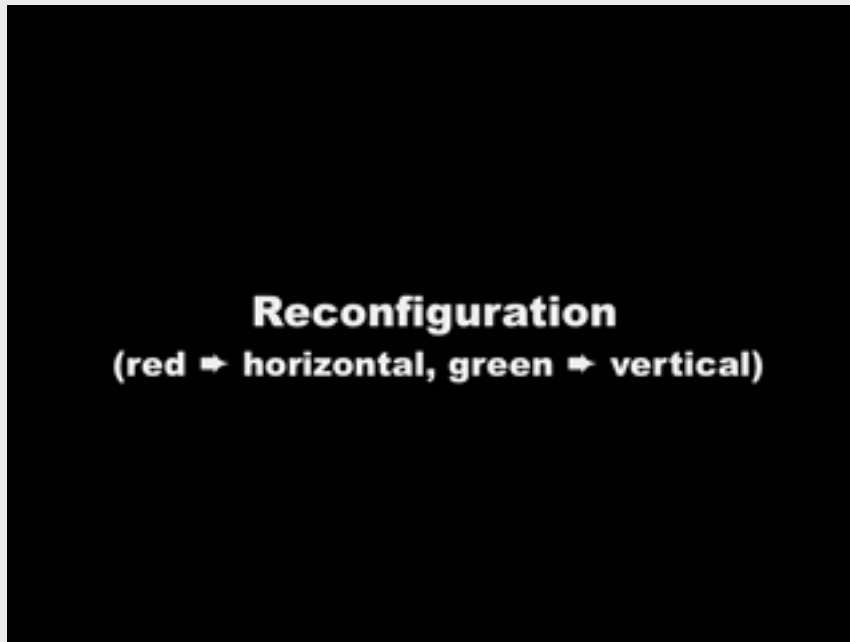
# Recovery from Damage



**Recovery from Damage**  
(slow motion)

- Simulated damage
- Damage destroys activators & inhibitors as well as structure
- System repairs self by returning to stationary state
- No explicit repair signal

# Reconfiguration: Orthogonal Structure



- Exchange inhibitor diffusion rates for systems 1 & 2
- Vertical stripes become horizontal
- Horizontal stripes become vertical
- No explicit reconfiguration signal

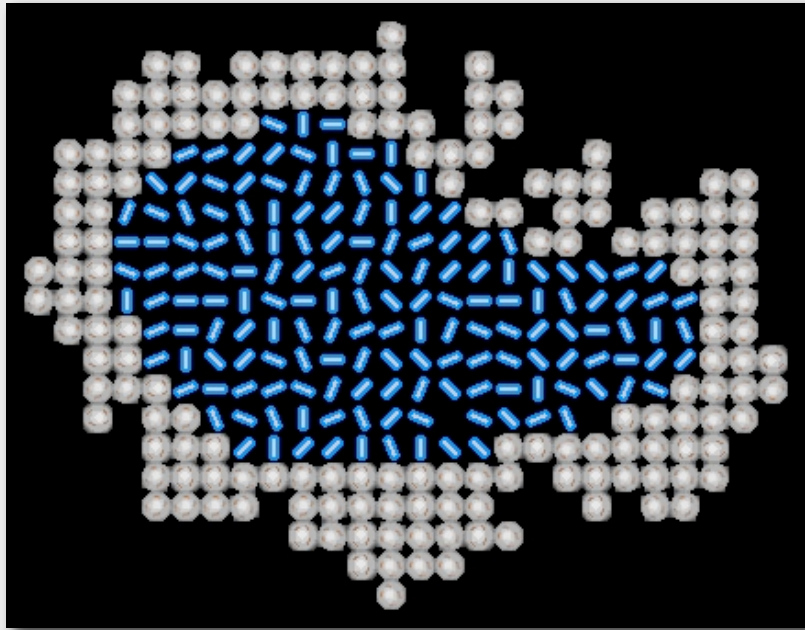
# Summary of Radical Reconfiguration

- Computation can be used to rearrange matter
- External control of initial and boundary conditions
- Detailed structure by self-organization with molar parallelism
- Stationary states can be used for self-repair and adaptation

**Programmable Microorganisms  
for  
Artificial Morphogenesis**



# Artificial Morphogenesis

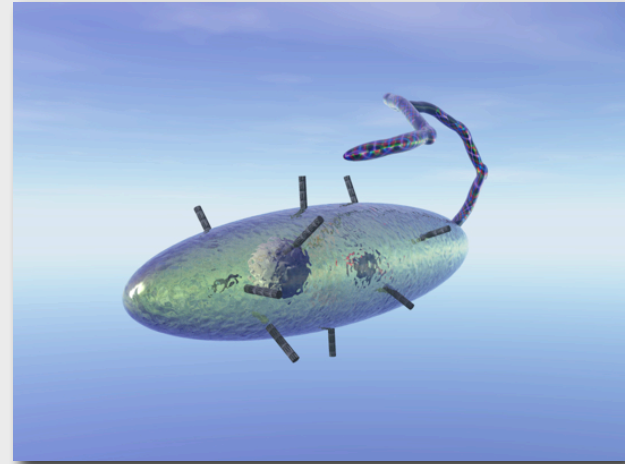


- Based on models of embryological development
- Cells migrate by local interaction & chemical signals
- Possible implementation: “programmable” micro-organisms

# Why Micro-Organisms?

- Micro-organisms can be viewed a micro-robots with capabilities for:
  - locomotion
  - sensing
  - control
  - simple (low-precision analog) computation
  - assembly
  - collective, coordinated behavior
  - reproduction
  - self-defense
  - metabolism (matter/energy acquisition, growth, repair)
- Can be genetically-engineered for our purposes

# The Programmable Microorganism (“Promorg”)



- Noncoding DNA can be used for “genetic circuits”
  - in eukaryotes, 10–70%
  - equivalent of about 3000 genes in yeast
- Equipped with an assortment of generally useful sensors & receptors (especially for self-organization)
- Special-purpose modifications for particular applications
- Research: principles of design & self-organization

# Tentative Capabilities

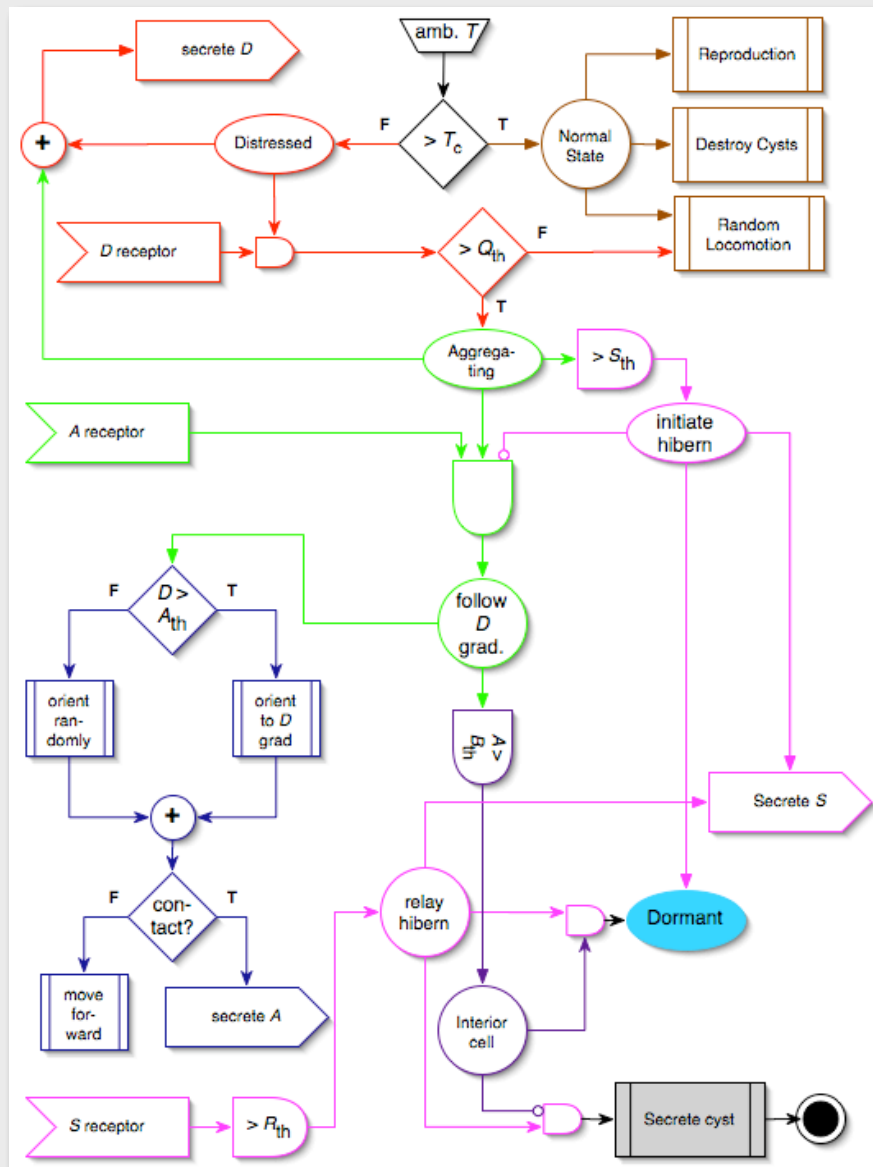
- Neutral proteins for programmable control
  - gene regulatory & coding sequences
  - connections can be regulated by external signals
- Membrane & cytoplasm receptors for:
  - chemical signals
  - light, etc.
- Effectors
  - cilia for locomotion
  - cell adhesion
  - exocytosis
  - programmed cell death

Simulation of  
Self-Organized Aggregation &  
Protective Differentiation of  
Simple Autonomous Agents

# Goal

- Adverse conditions aggregate into dense colonies
- Differentiate into outer “boundary” and inner “interior” cells
- Interior cells become dormant until favorable conditions return
- Boundary cells secrete protective cyst material and die
- When favorable conditions return, dormant cells reanimate and break out of protective case

# Control Diagram for Cells



# Normal State



- Organisms wander
- & reproduce
- Until some environmental condition (“temperature”) becomes unfavorable



# Distressed State



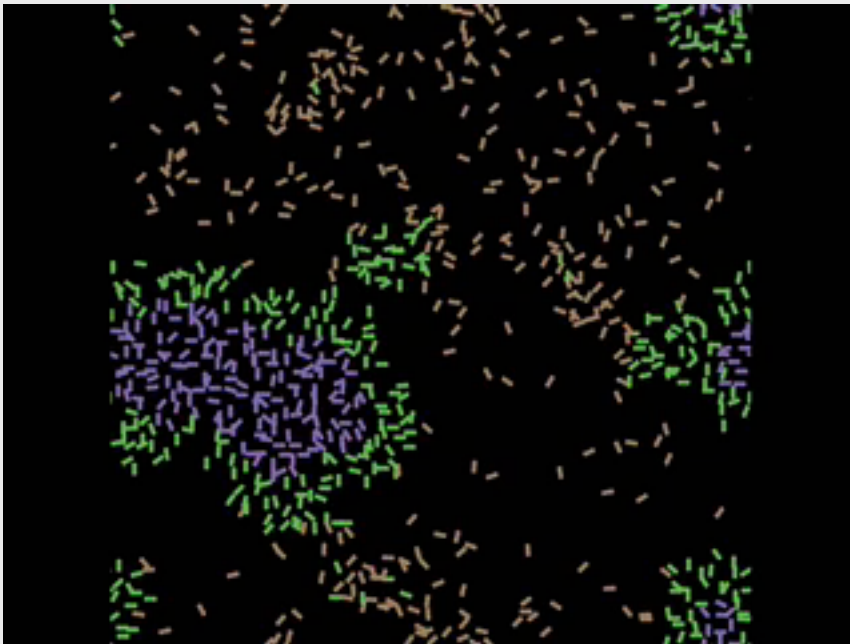
- Distressed cells emit Distress signal (red)
- If concentration exceeds quorum threshold, cells climb gradient
- Concentration of distress signal shown in red

# Aggregated State



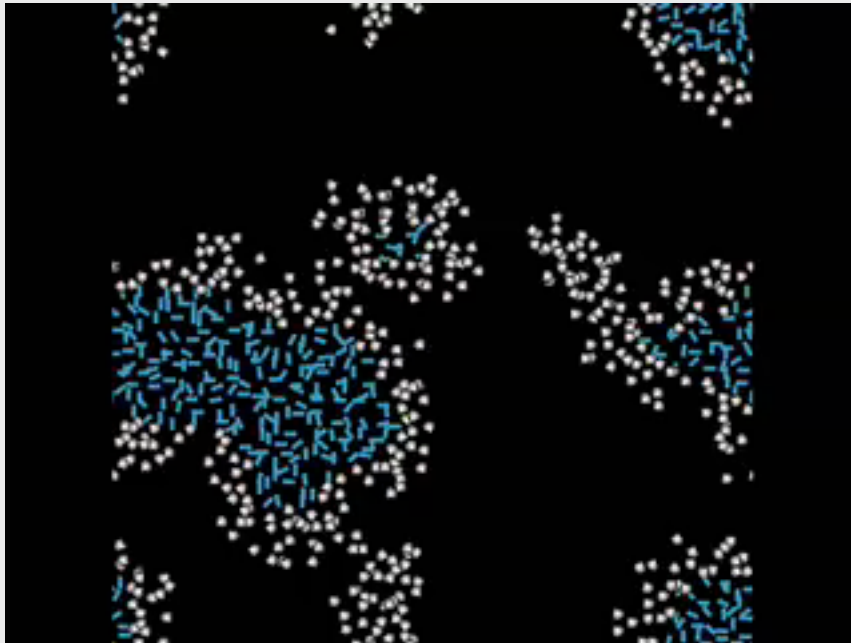
- Cells in contact emit Aggregated signal (**green**)
- If concentration is above Boundary threshold, cell enters Interior state (**purple**)
- Else remains in Boundary state (**green**)

# Dormant State



- When Distress signal exceeds signaling threshold:
- Cell emits rapidly diffusing Spore-formation signal (**magenta**)
- Interior cells enter dormant state (**blue**)
- Boundary cells form cysts (**grey**) & die

# Return of Favorable Conditions



- Surviving cells reanimate
- Destroy cyst material
- Return to Normal state

# Conclusions

- Demonstrates useful collective behavior based on:
  - simple control mechanisms
  - diffusible chemical signals
- Quorum sensing & aggregation of cells
- Differentiation of function
- Assembly of a simple protective structure

# Molecular Combinatory Computing for Nanostructure Synthesis & Control

Supported by NSF  
Nanoscale Exploratory Research Grant



# Definition

- *Intelligent* Matter
  - a material in which individual molecules or supra-molecular clusters function as agents to accomplish a purpose
- *Programmable* Intelligent Matter
  - a program controls the behavior of the material at the molecular level
- *Universally Programmable* Intelligent Matter
  - small set of molecular building blocks that can be rearranged to accomplish any purpose describable by a computer program
  - power of Universal Turing Machine

# Non-Traditional Models of Computation

- Need to explore non-traditional models of computation more closely matched to physical processes
- Discrete (digital) computation
- Continuous (analog) computation
- Hybrid computation



# Continuous (Analog) Computation

- Exploit continuous physical processes for computation
- Need to identify small set of widely useful systems of DEs & PDEs
- Research in universal analog computers is relevant
- Field computation

# Discrete (Digital) Computation

- Alternatives to Boolean logic
- Need information representation more closely matched to molecular & sub-molecular structures
- Need elementary operations more closely matched to molecular & sub-molecular processes

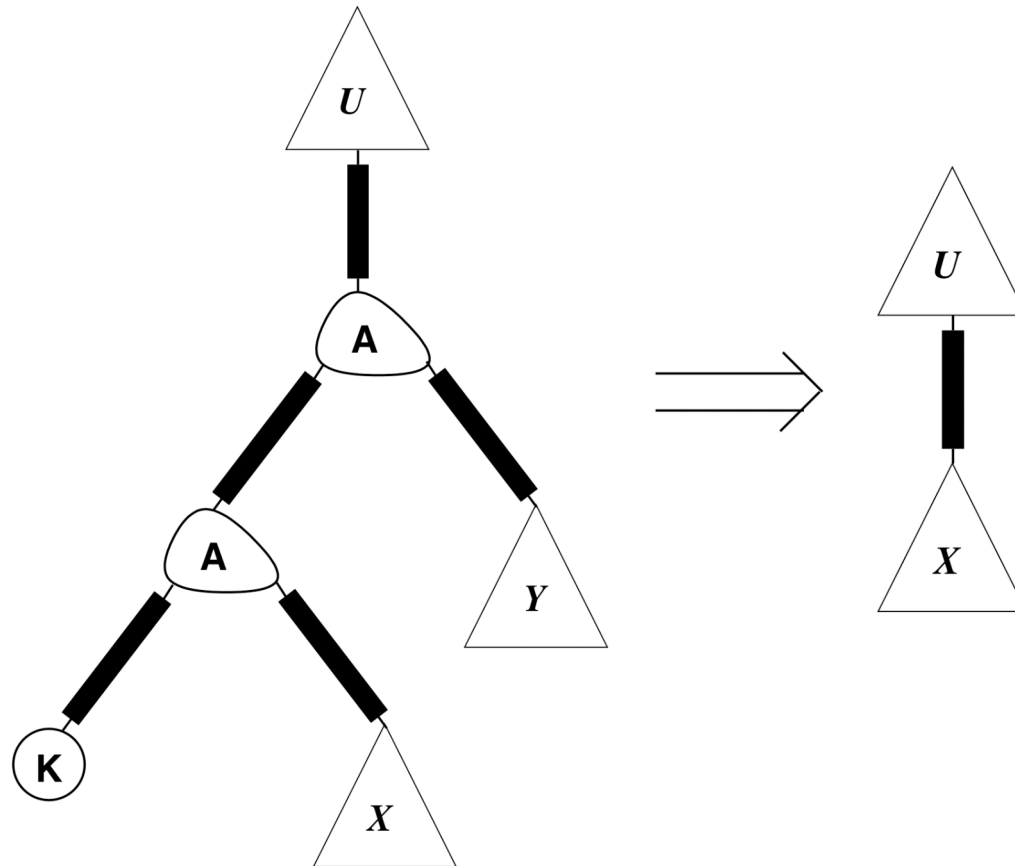
# Alternative Models of Discrete Computation

- Many Turing-equivalent models were developed in the early 20<sup>th</sup> century
  - e.g., Post productions, Markov algorithms, lambda calculus, combinatory logic, McCulloch-Pitts cells
- Cellular Automata are promising
  - need to be universal in a relevant way

# Molecular Combinatory Computing

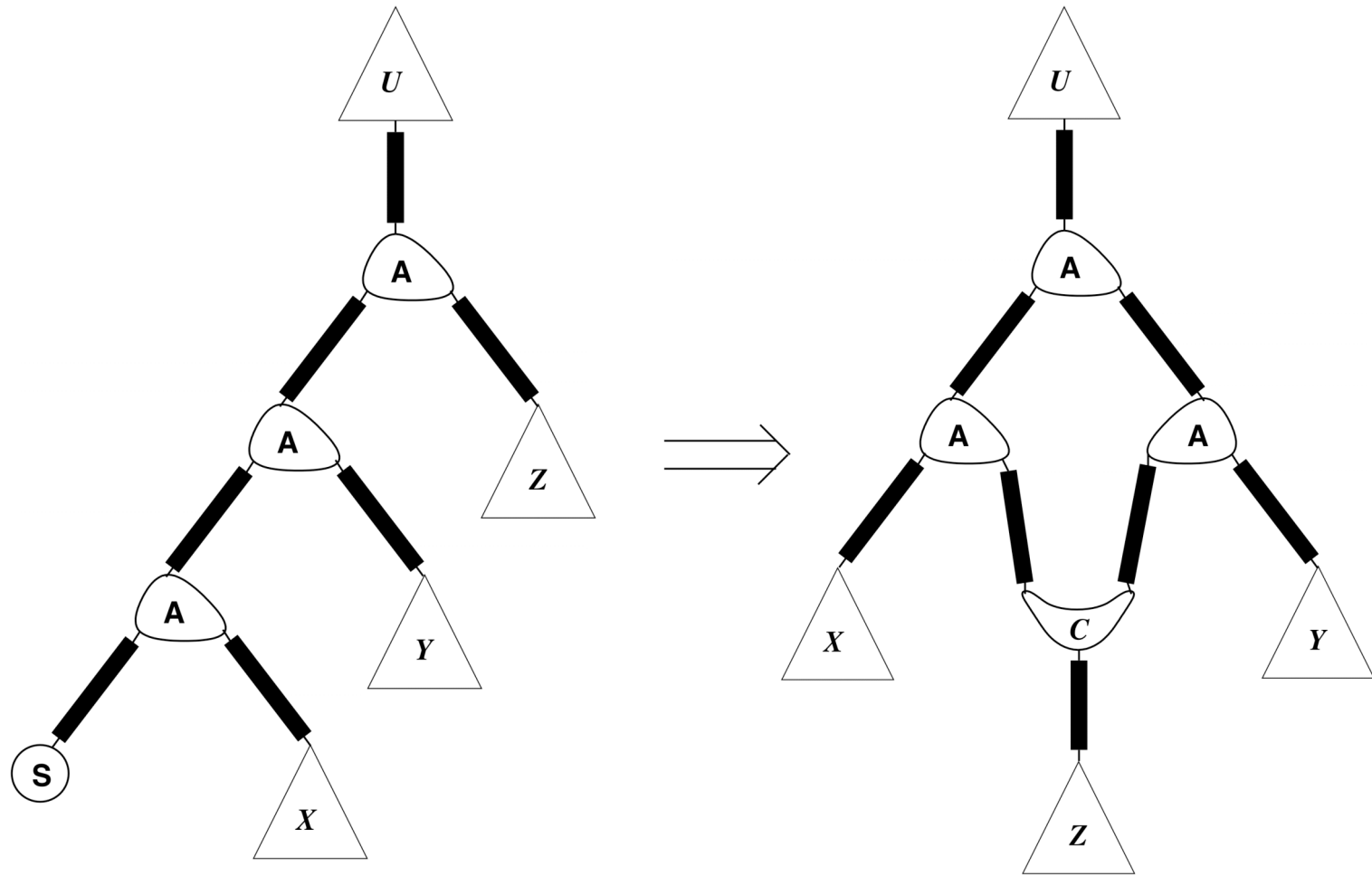
- Systematic approach to nanotechnology based on small set of MBBs
- Combinatory logic
- Computational universality from two substitutions (+ a few more)
- Substitutions may be done in any order or in parallel

# K-Substitution



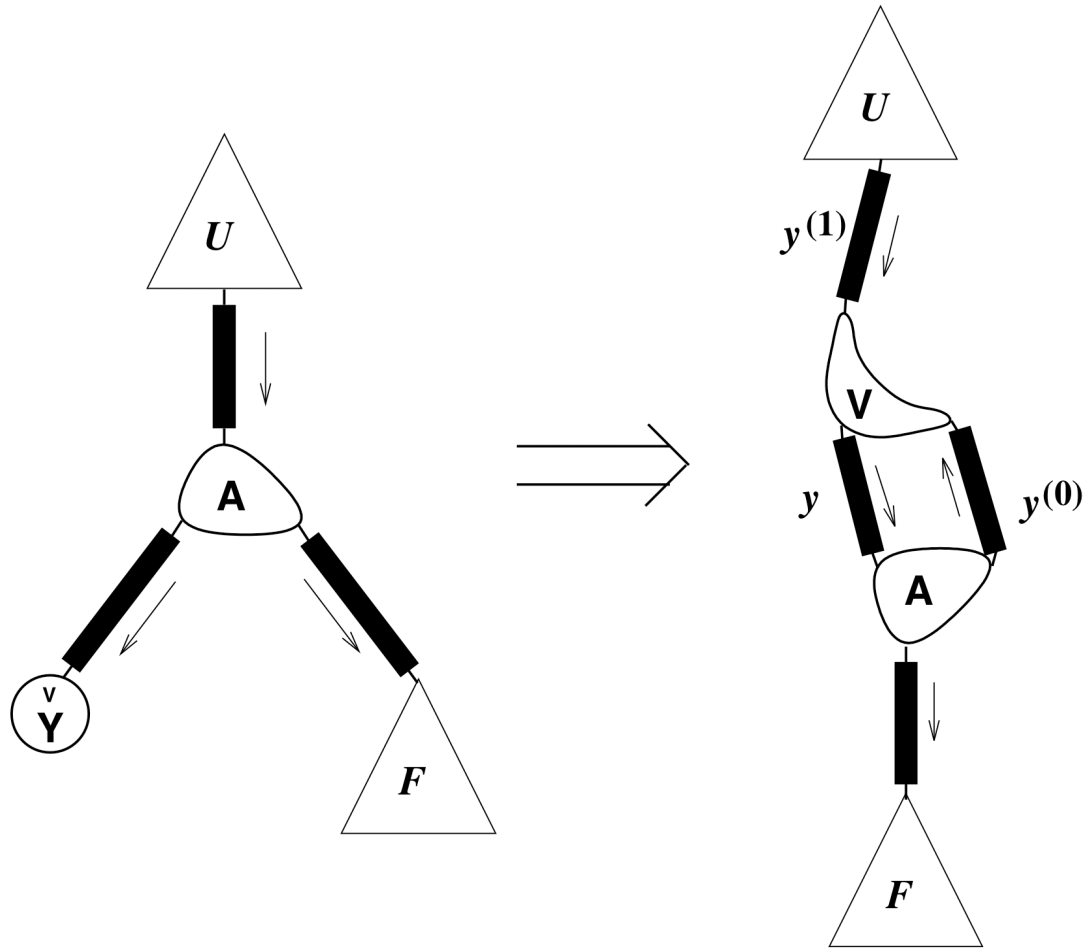
$$((K X) Y) \Rightarrow X$$

# S-Substitutions



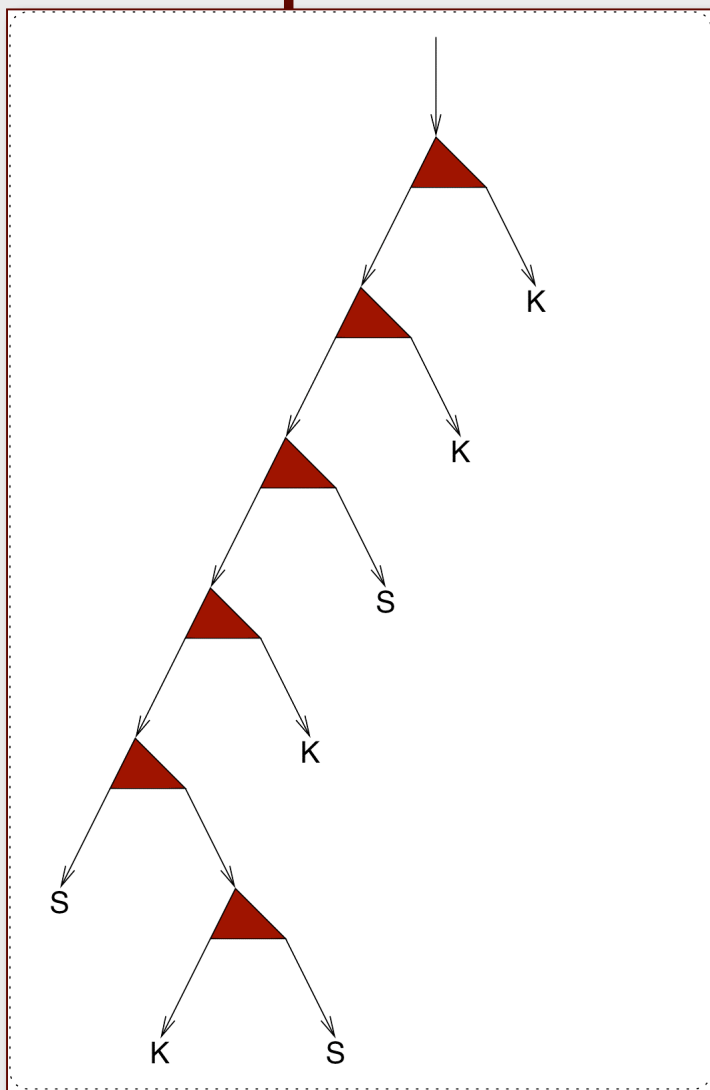
$$(((S X) Y) Z) \Rightarrow ((X Z) (Y Z'))$$

# Y-Substitution



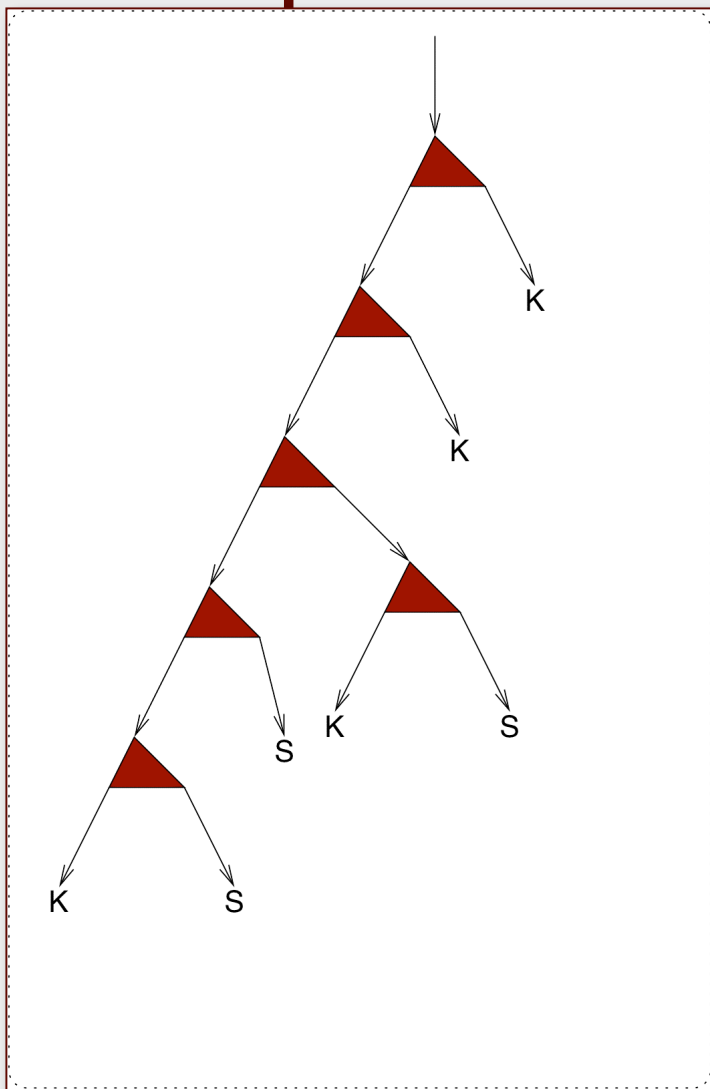
$$(\dot{Y} F) \Rightarrow y^{(1)} \quad \text{where } y \equiv (F y^{(0)})$$

# Example of Simple Computation


$$\begin{aligned} & ((S(KS)K)S) \\ & \Rightarrow (((KS)S)(KS)) \end{aligned}$$

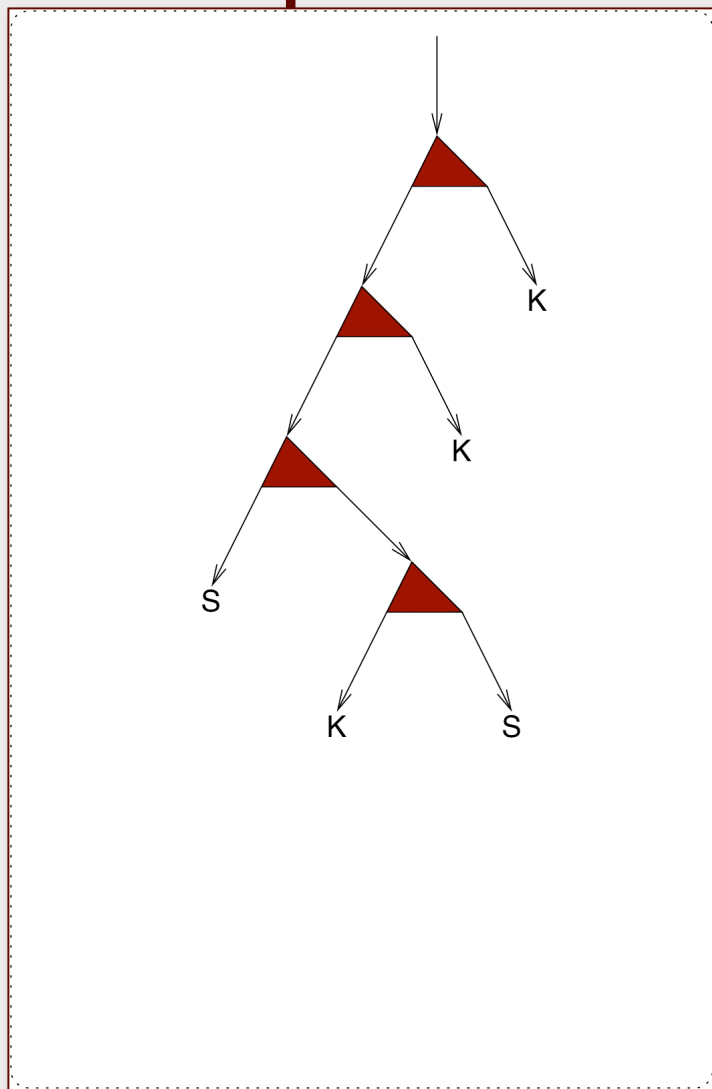


# Example of Simple Computation



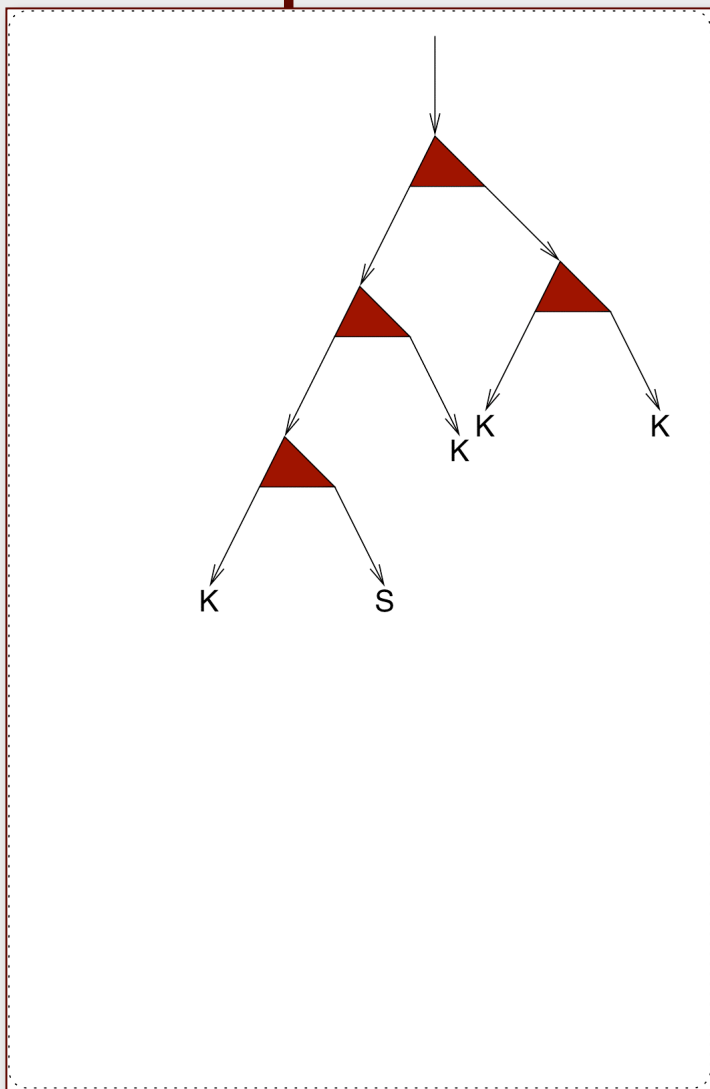
$$((KS)S) \Rightarrow S$$

# Example of Simple Computation



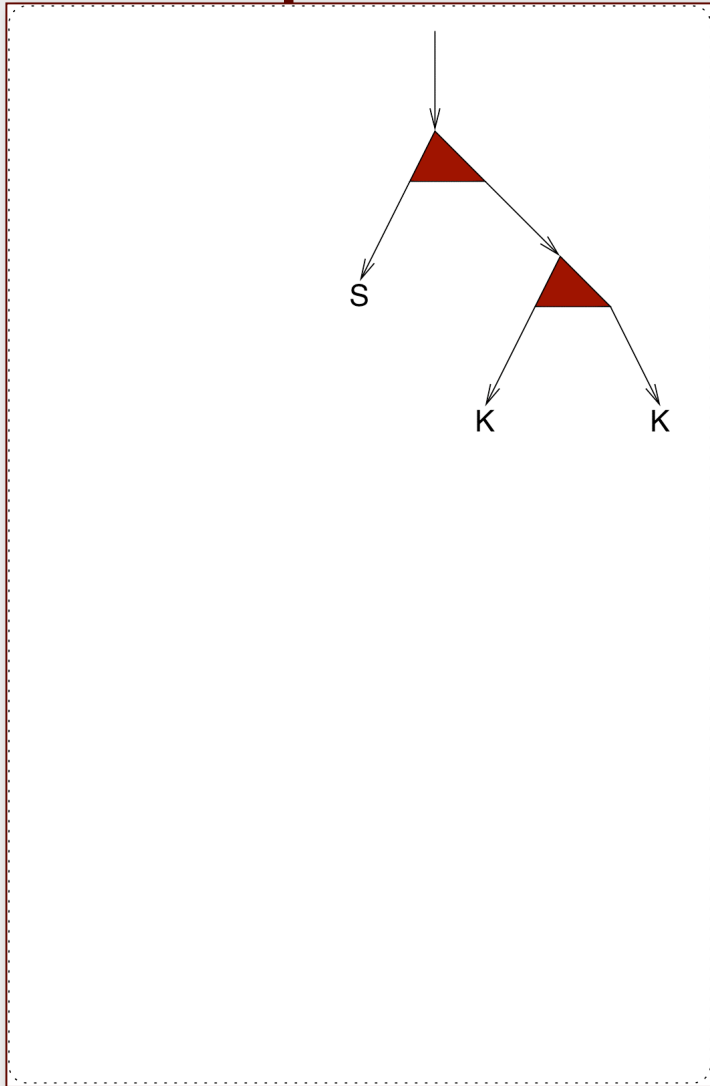
$((S(KS)K)K)$   
 $\Rightarrow (((KS)K)(KK))$

# Example of Simple Computation



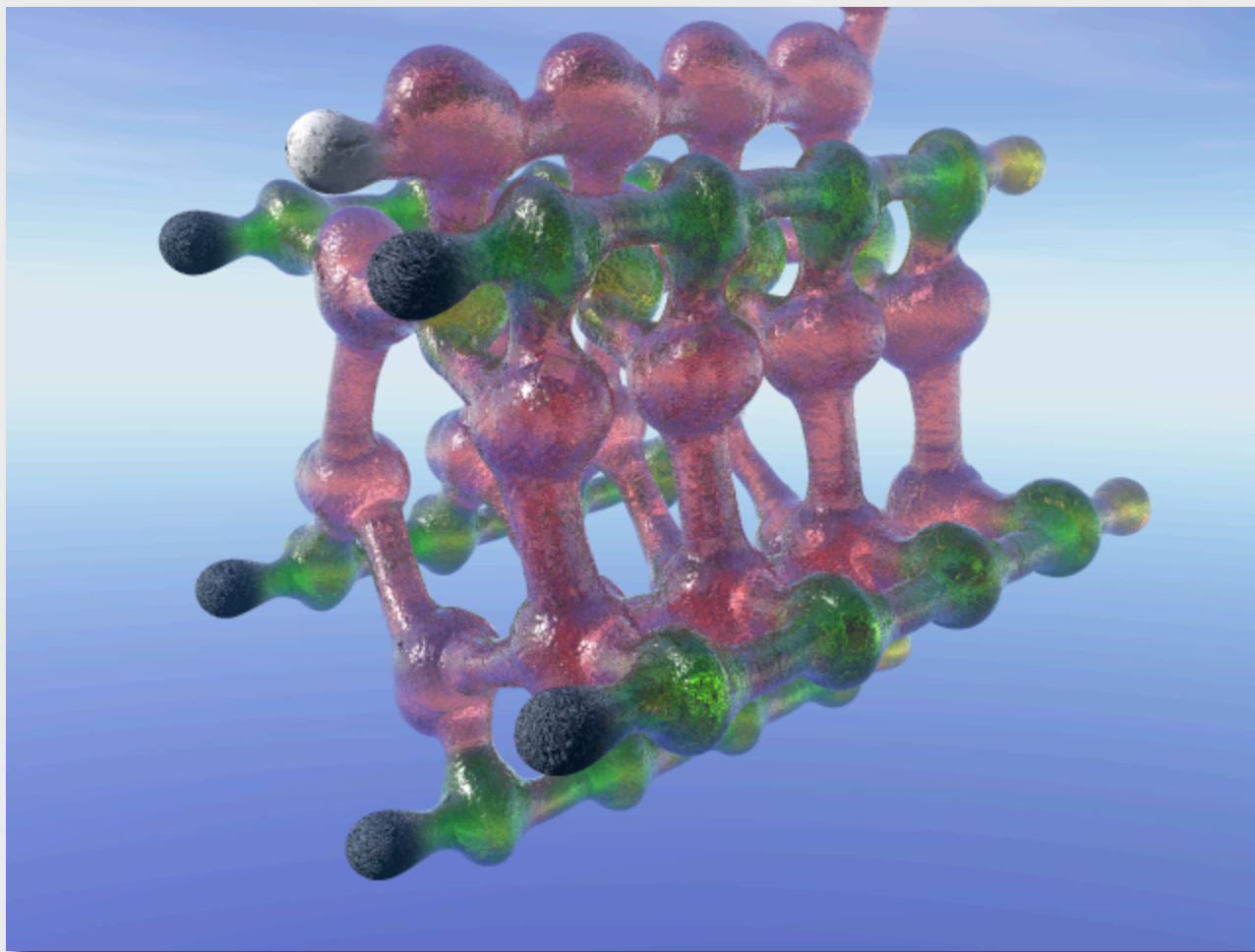
$$((KS)K) \Rightarrow S$$

# Example of Simple Computation



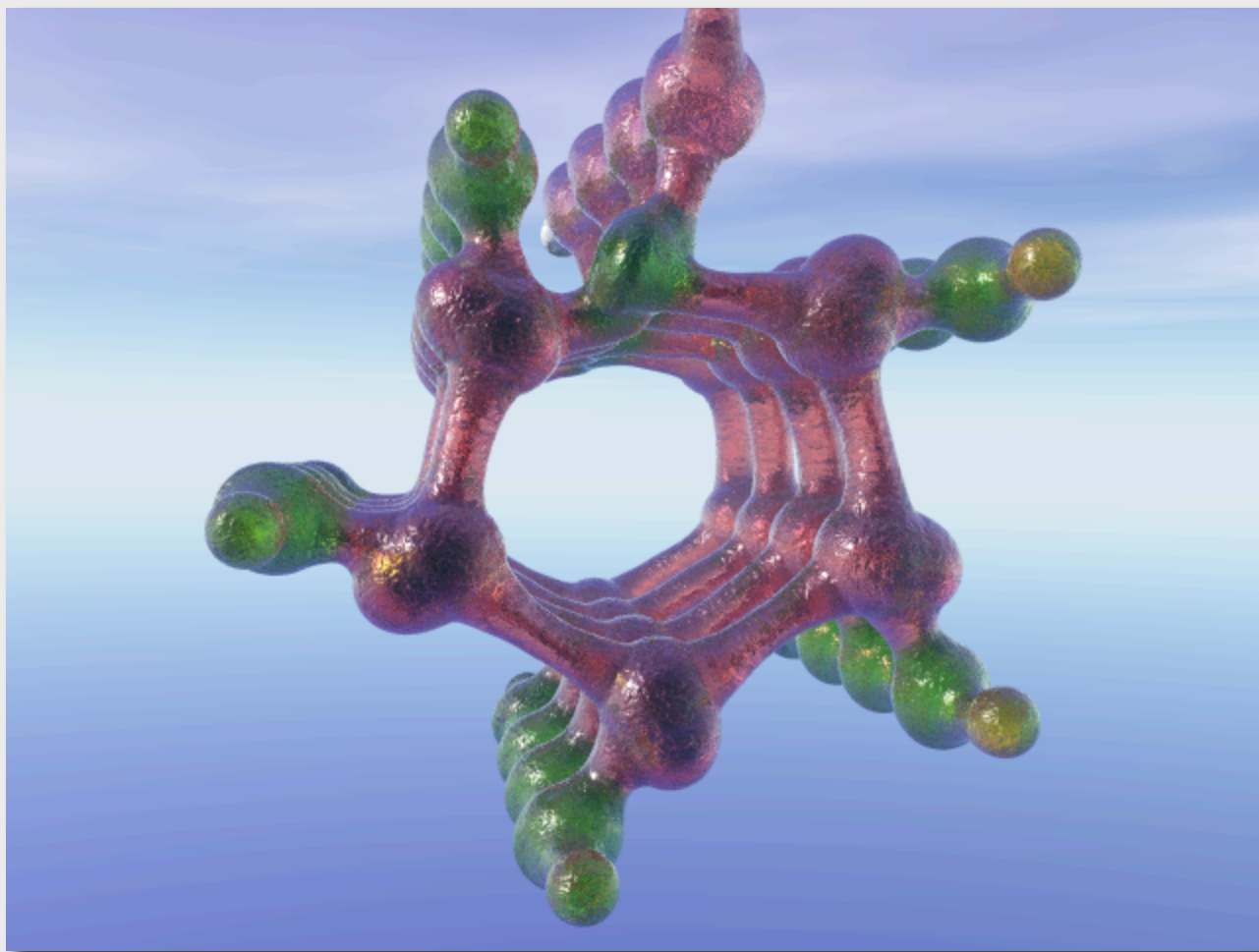
result = (S(KK))

# Example: Nanotube



Visualization of nanotube produced by `ptube5,4`

# Example: Nanotube



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# In Functional Programming Language

```
let prib(m) =  
  compose (polyextend shared-formalize m) rib  
  where rib = polyextend compose m cycle  
    (reduce permute m identity)  
in let ptube(m, n) = iterate n prib(m)  
  in ptube(5, 4)
```

# Reduced to SKY Tree

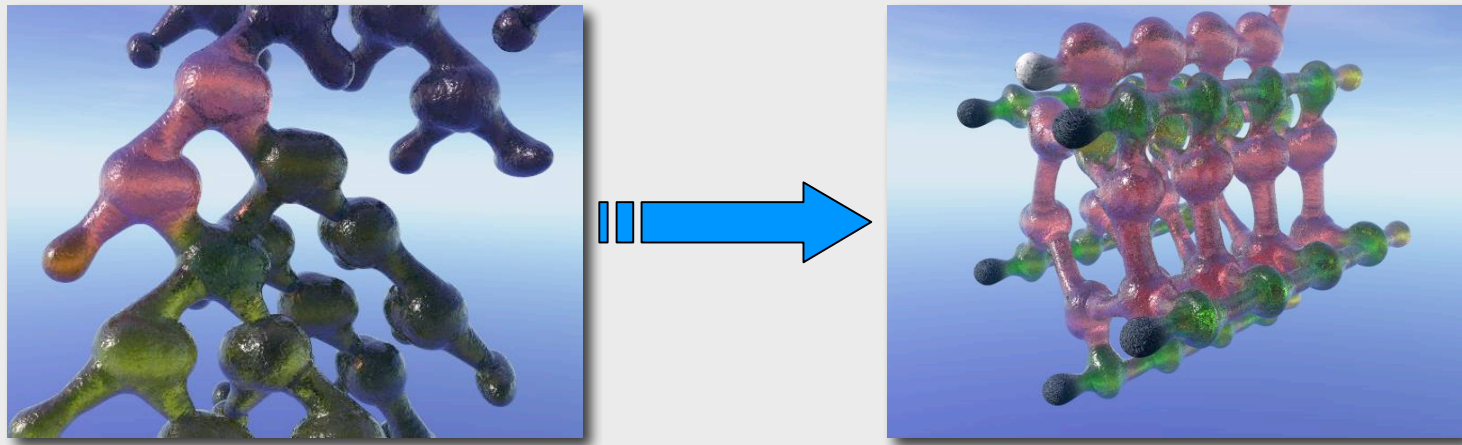
```
((S ((S (K S)) K))
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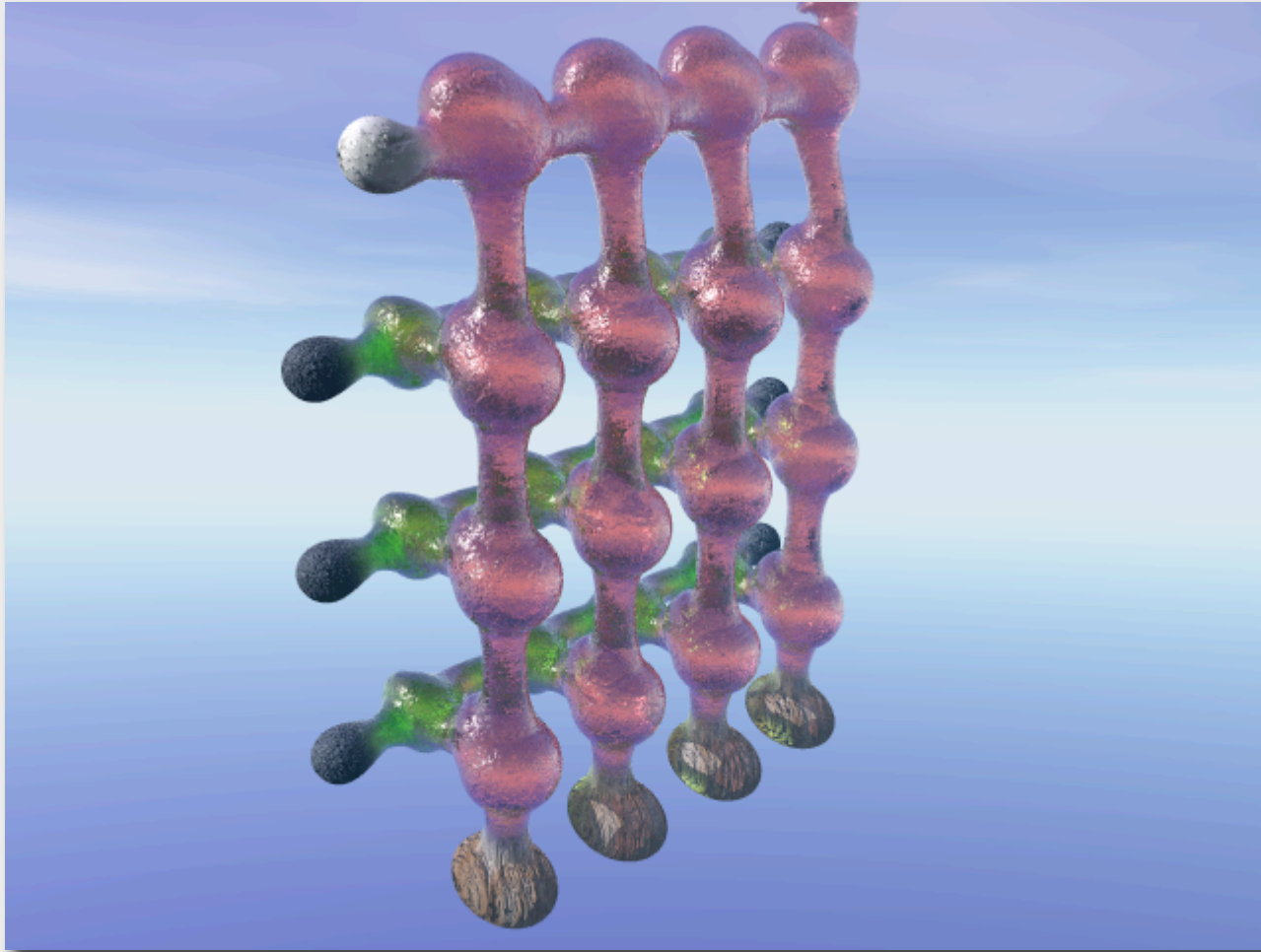
# Linearized for Chemical Synthesis & Replication

PPPS PPS PK SQQ KQQ PPS PPS PK SQQ KQQ PPS PPS PK SQQ KQQ PPS PPS  
PK SQQ KQQ PK PPS KQ KQQQQQQ PPPPS PPPPS PK SQQ KQ PPS PK  
SQQ KQQ SQQ PK KQQ PPPPS PK SQQ KQ SQ PPPPS PK SQQ KQ PPPPS PK  
SQQ KQ SQ PPPPS PK SQQ KQ PPPPS PK SQQ KQ SQ PPPPS PK SQQ KQ  
PPPPS PK SQQ KQ SQ PPPPS PK SQQ KQ SQQQQQQQQQ PPPPPPS PK SQQ  
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KQ PPS PK SQQ KQQ PPPPS PK SQQ KQ PPS PK SQQ KQQ PPS PK SQQ  
KQQQQQ YQ PPPPPS PK SQQ KQ PPPPS PK SQQ KQ PPPPS PK SQQ KQ  
PPPS PK SQQ KQ PPPPS PK SQQ KQ PPPPS PK SQQ KQ PPPPS PK SQQ KQ  
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KQQQQ PPS PPPPS PK SQQ KQ PPS PK SQQ KQQ SQQ PK KQQQQQ PPS  
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KQ PPS PK SQQ KQQ SQQ PK KQQQQQ PPS PPPPS PK SQQ KQ PPS PK  
SQQ KQQ SQQ PK KQQQ PPS KQ KQQQQQ

# Molecular Computation



# Cross-Linked Membrane



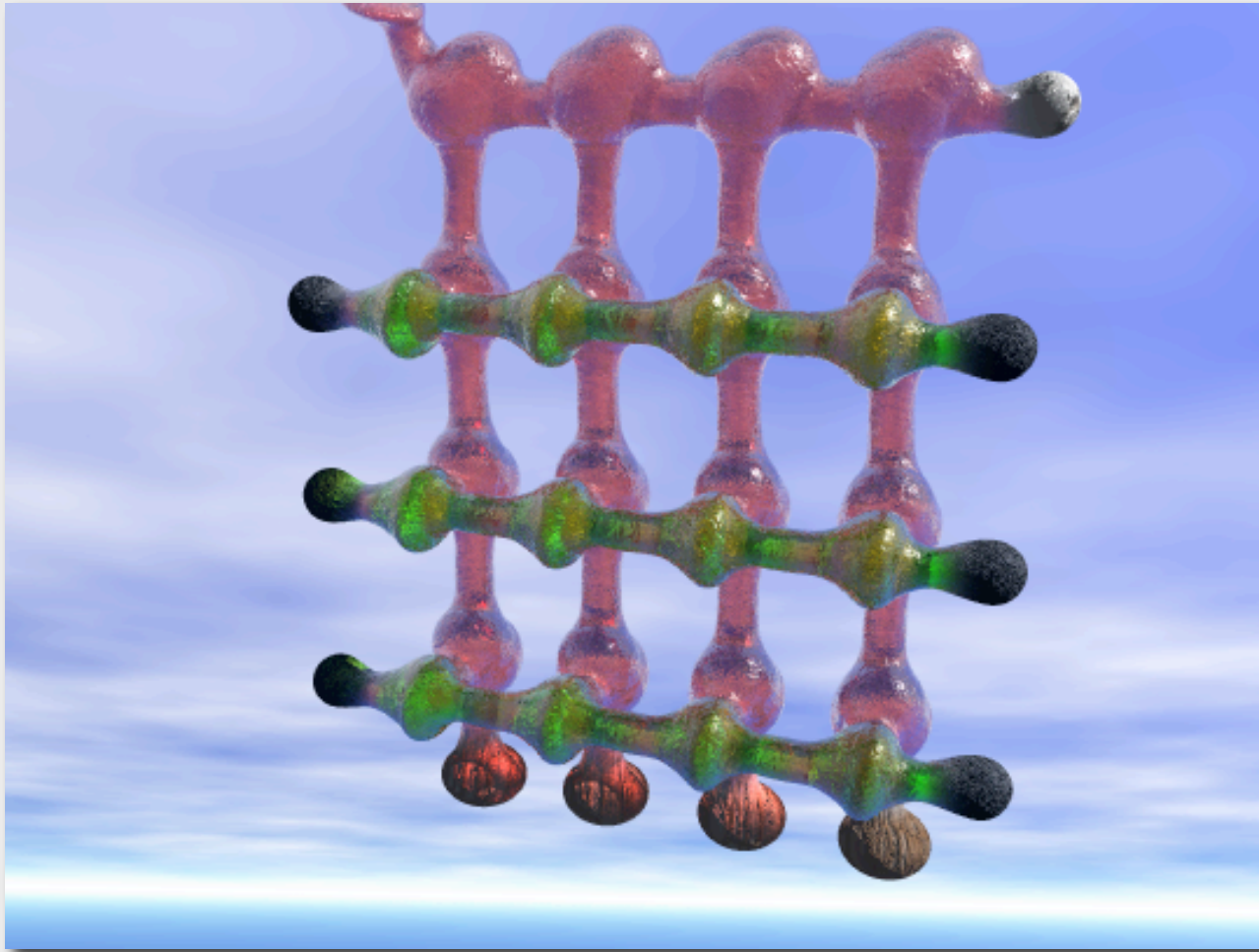
Visualization of membrane produced by  $xgrid_{3,4}$  NNN

6 March 2007

Self-Organization for Nano-  
Computation & Nano-Assembly

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# Cross-linked Membrane

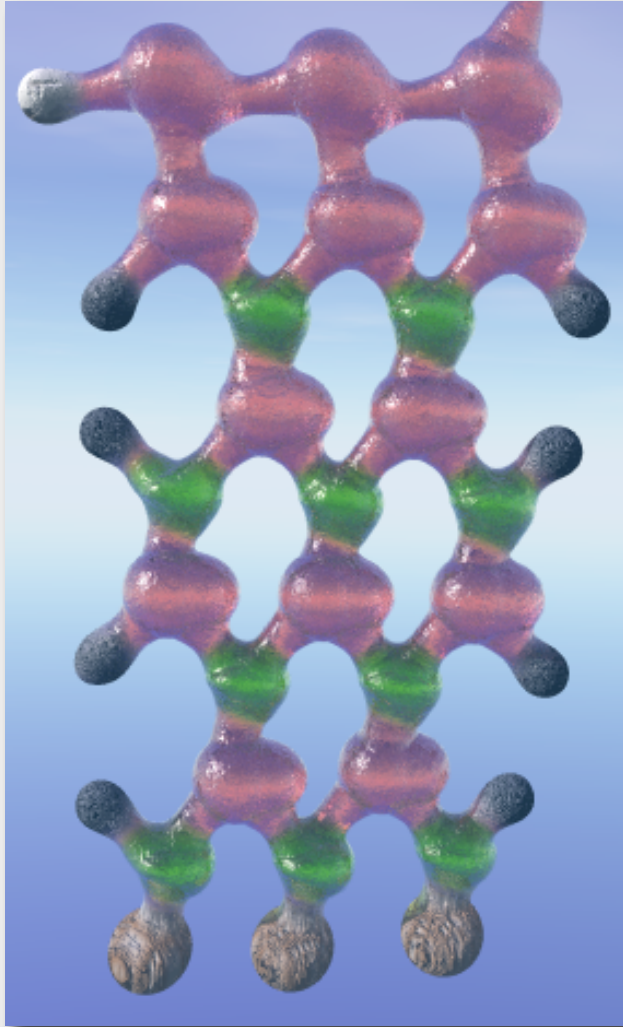


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# Hexagonal Membrane



Produced by  $\text{hgridt}_{2,3} \text{ N}$

$$\text{Arow}_n = \text{B} \check{W}_{[n-1]} \circ \text{B}^{[n]}$$

$$\text{Vrow}_n = \check{W}_{[n]} \circ \text{KI} \circ \text{K}_{(2n-2)} \circ$$

$$\text{B}^{[n-1]} \circ \text{C}^{[n]} \text{IN} \circ \text{CIN}$$

$$\text{drowt}_n = \text{Vrowt}_n \circ \text{Arow}_n$$

$$\text{hgridt}_{m,n} =$$

$$\text{Z}_{n-1} \text{W}(\text{Z}_m \text{drowt}_n \text{N})$$

# Possible Molecular Implementation

- Covalently-structured MBBs for nodes and linking groups
- H-bonds for interconnections
- H-bonds for identification
- Synthetic components appended
- Substitutions controlled by enzyme-like covalently-structured molecules

# Progress to Date

- Simulation & theoretical studies:
  - ways of assembling hierarchical heterogeneous structures from patches
  - membranes, pores, sensor interface, one-shot channels, simple actuators, nanotubes
- In progress:
  - recyclable channels, cilia, rotary motion
  - molecular implementations (including DNA)

# Summary of MCC

- Concept of molecular combinatorial computing
  - molecular networks self-organize by simple substitution reactions
  - computationally universal
- Simulated synthesis applications
- Synthesis of large, heterogeneous structures
- Possible molecular implementation based on H-bonded, covalently-structured building blocks



**Applications in  
Command, Control & Coordination**

# Potential Application Domains

- Robots & autonomous vehicles
  - contemporary robots & AVs
  - microrobots
  - nanobots
- Informational agents
- Command, control & coordination of human agents
- Allocation of resources
- Exploration vs. exploitation
- Communication
- Distributed synchronization
- Information storage
- Construction

# Conclusions

- Computation can be used to control matter
  - for reconfiguration of computers, transducers, etc.
  - for nano-assembly and control
- Detailed structure determined by self-organization
- Natural systems provide good models and possible implementation technologies
- Artificial systems with the robustness of natural systems should be achievable
- For more information: [www.cs.utk.edu/~mclellan](http://www.cs.utk.edu/~mclellan)