

## Lecture 3

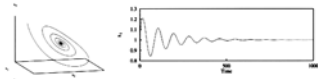
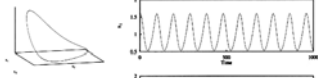
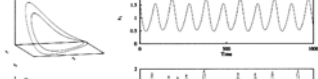
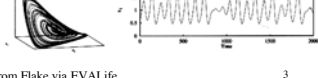
8/29/07 1

## From Life to CAs in General

- What gives Life this very rich behavior?
- Is there some simple, general way of characterizing CAs with rich behavior?
- It belongs to Wolfram's Class IV

8/29/07 2

### The four classes of feedback behaviour

(a) Fixed points	
(b) Simple periodic orbits	
(c) Period-n orbit	
(d) Chaos	

8/29/07 3  
fig. from Flake via EVALife

## Wolfram's Classification

- Class I: evolve to fixed, homogeneous state  
~ limit point
- Class II: evolve to simple separated periodic structures  
~ limit cycle
- Class III: yield chaotic aperiodic patterns  
~ strange attractor (chaotic behavior)
- Class IV: complex patterns of localized structure  
~ long transients, no analog in dynamical systems

8/29/07 4

## Langton's Investigation

*Under what conditions can we expect a complex dynamics of information to emerge spontaneously and come to dominate the behavior of a CA?*

8/29/07 5

## Approach

- Investigate 1D CAs with:
  - random transition rules
  - starting in random initial states
- Systematically vary a simple parameter characterizing the rule
- Evaluate qualitative behavior (Wolfram class)

8/29/07 6

### Why a Random Initial State?

- How can we characterize typical behavior of CA?
- Special initial conditions may lead to special (atypical) behavior
- Random initial condition effectively runs CA in parallel on a sample of initial states
- Addresses emergence of order from randomness

8/29/07

7

### Assumptions

- Periodic boundary conditions
  - no special place
- Strong quiescence:
  - if all the states in the neighborhood are the same, then the new state will be the same
  - persistence of uniformity
- Spatial isotropy:
  - all rotations of neighborhood state result in same new state
  - no special direction
- Totalistic [not used by Langton]:
  - depend only on sum of states in neighborhood
  - implies spatial isotropy

8/29/07

8

### Langton's Lambda

- Designate one state to be quiescent state
- Let  $K$  = number of states
- Let  $N = 2r + 1$  = size of neighborhood
- Let  $T = K^N$  = number of entries in table
- Let  $n_q$  = number mapping to quiescent state
- Then

$$\lambda = \frac{T - n_q}{T}$$

8/29/07

9

### Range of Lambda Parameter

- If *all* configurations map to quiescent state:  
 $\lambda = 0$
- If *no* configurations map to quiescent state:  
 $\lambda = 1$
- If every state is represented *equally*:  
 $\lambda = 1 - 1/K$
- A sort of measure of "excitability"

8/29/07

10

### Example

- States:  $K = 5$
- Radius:  $r = 1$
- Initial state: random
- Transition function: random (given  $\lambda$ )

8/29/07

11

### Demonstration of 1D Totalistic CA

[Run NetLogo 1D CA General Totalistic](#)

or

[www.cs.utk.edu/~mclellan/Classes/420/NetLogo/CA-1D-General-Totalistic.html](http://www.cs.utk.edu/~mclellan/Classes/420/NetLogo/CA-1D-General-Totalistic.html)

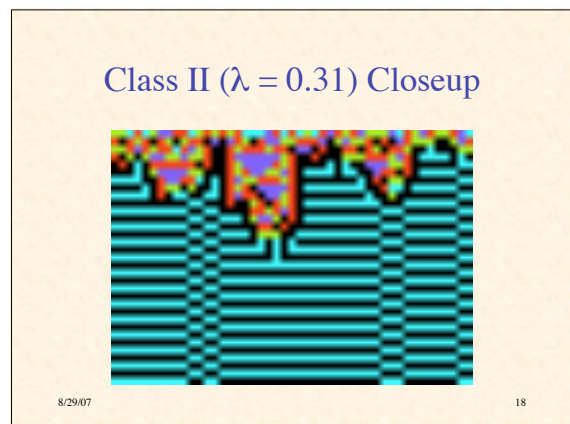
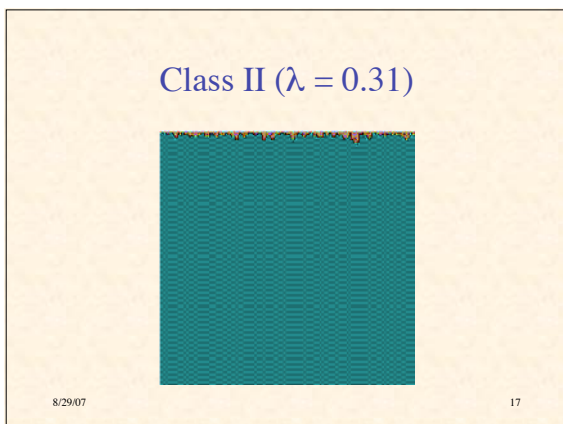
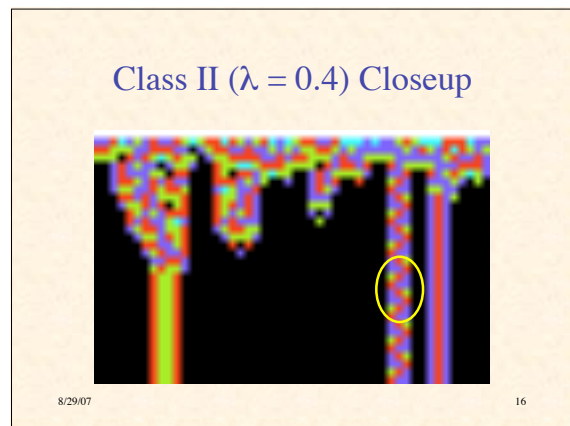
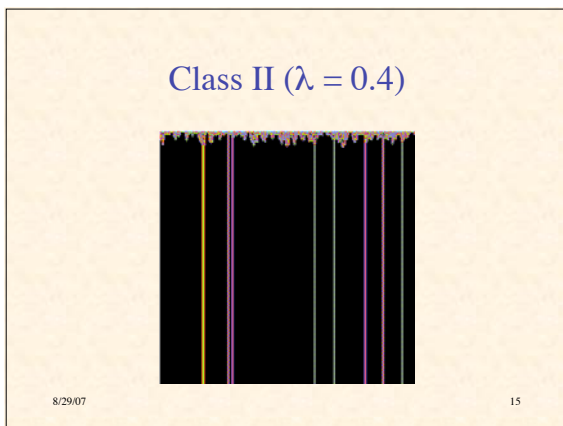
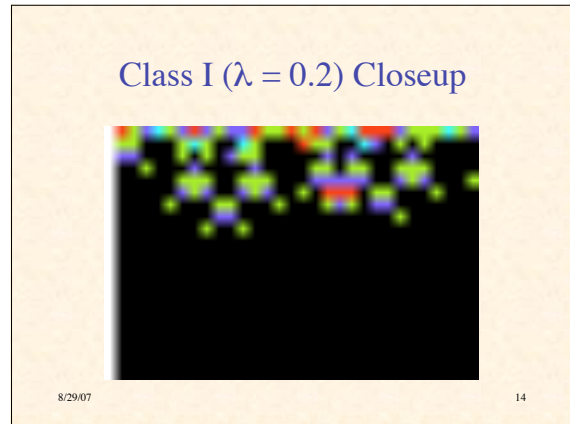
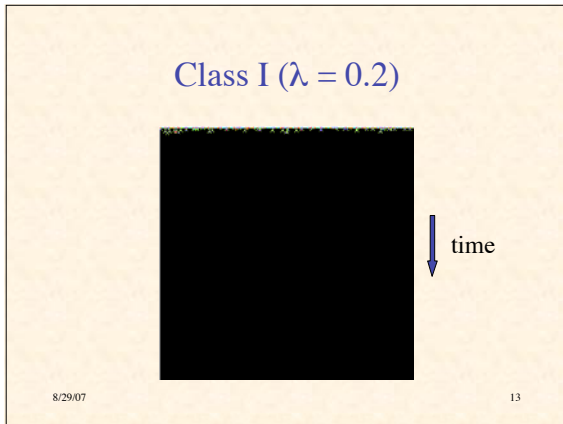
[Go to CBN](#)

[Online Experimentation Center](#)

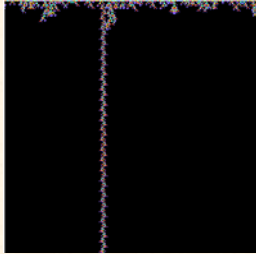
[mitpress.mit.edu/books/FLAOH/cbnhtml/java.html](http://mitpress.mit.edu/books/FLAOH/cbnhtml/java.html)

8/29/07

12



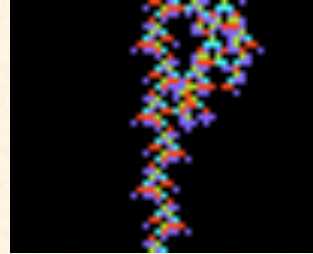
Class II ( $\lambda = 0.37$ )



8/29/07

19

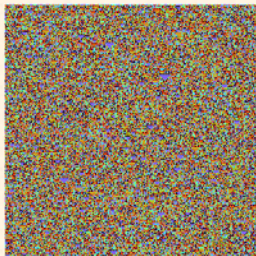
Class II ( $\lambda = 0.37$ ) Closeup



8/29/07

20

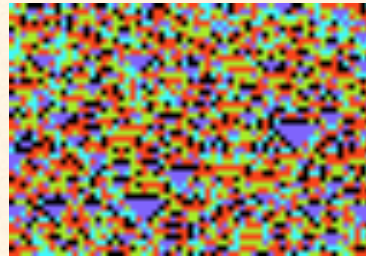
Class III ( $\lambda = 0.5$ )



8/29/07

21

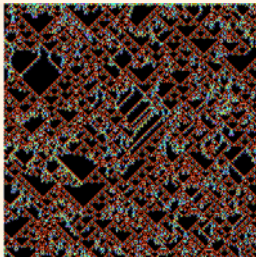
Class III ( $\lambda = 0.5$ ) Closeup



8/29/07

22

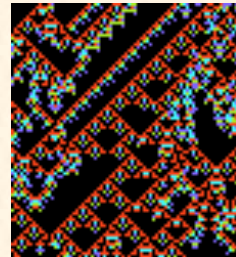
Class IV ( $\lambda = 0.35$ )



8/29/07

23

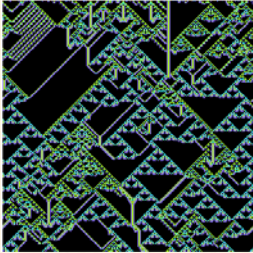
Class IV ( $\lambda = 0.35$ ) Closeup



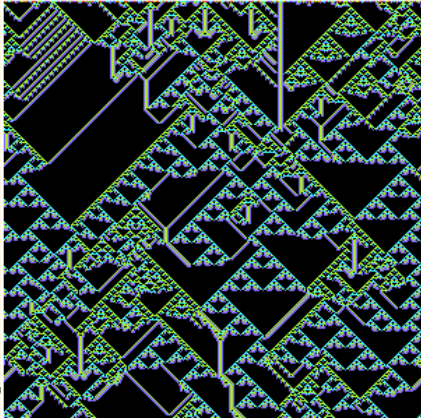
8/29/07

24

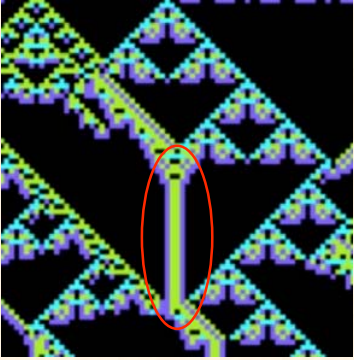
Class IV ( $\lambda = 0.34$ )



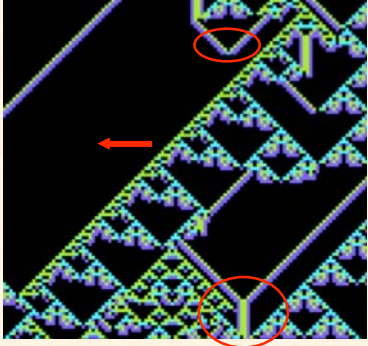
8/29/07 25



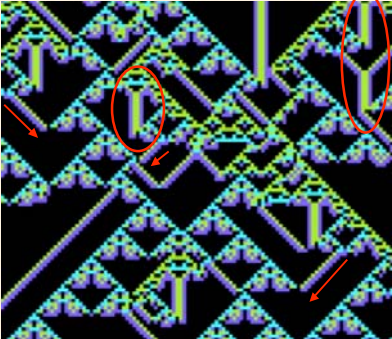
8/29/07 26



8/29/07 27



8/29/07 28



8/29/07 29

Class IV Shows Some of the Characteristics of Computation

- Persistent, but not perpetual storage
- Terminating cyclic activity
- Global transfer of control/information

8/29/07 30

### λ of Life

- For Life,  $\lambda \approx 0.273$
- which is near the critical region for CAs with:
  - $K = 2$
  - $N = 9$

8/29/07 31

### Transient Length (I, II)

8/29/07 32

### Transient Length (III)

8/29/07 33

### Shannon Information (very briefly!)

- Information varies directly with surprise
- Information varies inversely with probability
- Information is additive
- ∴ The information content of a message is proportional to the negative log of its probability

$$I\{s\} = -\lg \Pr\{s\}$$

8/29/07 34

### Entropy

- Suppose have source  $S$  of symbols from ensemble  $\{s_1, s_2, \dots, s_N\}$
- Average information per symbol:
 
$$\sum_{k=1}^N \Pr\{s_k\} I\{s_k\} = \sum_{k=1}^N \Pr\{s_k\} (-\lg \Pr\{s_k\})$$
- This is the *entropy* of the source:
 
$$H\{S\} = -\sum_{k=1}^N \Pr\{s_k\} \lg \Pr\{s_k\}$$


8/29/07 35

### Maximum and Minimum Entropy


- Maximum entropy is achieved when all signals are equally likely
  - No ability to guess; maximum surprise
  - $H_{\max} = \lg N$
- Minimum entropy occurs when one symbol is certain and the others are impossible
  - No uncertainty; no surprise
  - $H_{\min} = 0$

8/29/07 36


### Entropy Examples



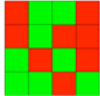
$H = 2.0$  bits




$H = 2.0$  bits




$H = 1.9$  bits



$H = 1.0$  bits



$H = 0.3$  bits



$H = 0.0$  bits

8/29/07 37

### Entropy of Transition Rules

- Among other things, a way to measure the uniformity of a distribution

$$H = -\sum_i p_i \lg p_i$$

- Distinction of quiescent state is arbitrary
- Let  $n_k$  = number mapping into state  $k$
- Then  $p_k = n_k / T$

$$H = \lg T - \frac{1}{T} \sum_{k=1}^K n_k \lg n_k$$

8/29/07 38

### Entropy Range

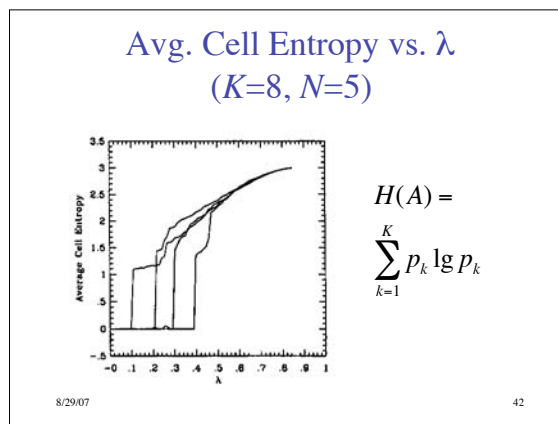
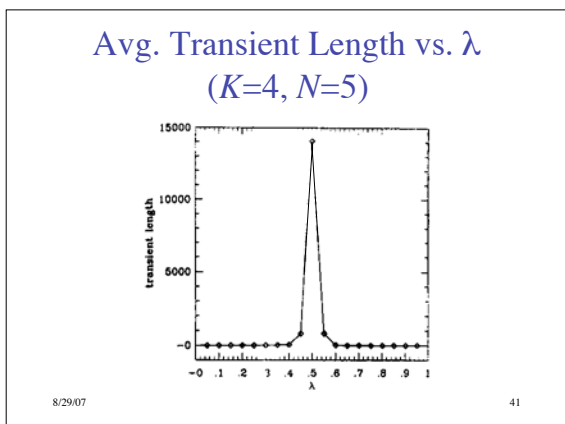
- Maximum entropy ( $\lambda = 1 - 1/K$ ):  
uniform as possible  
all  $n_k = T/K$   
 $H_{\max} = \lg K$
- Minimum entropy ( $\lambda = 0$  or  $\lambda = 1$ ):  
non-uniform as possible  
one  $n_s = T$   
all other  $n_r = 0$  ( $r \neq s$ )  
 $H_{\min} = 0$

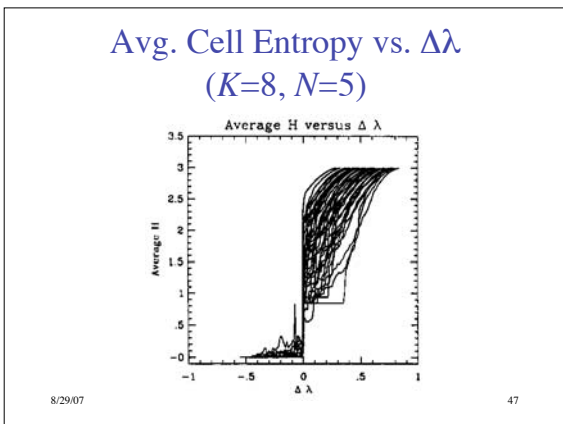
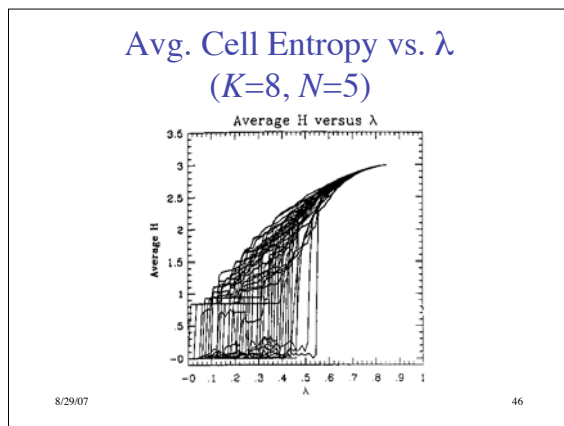
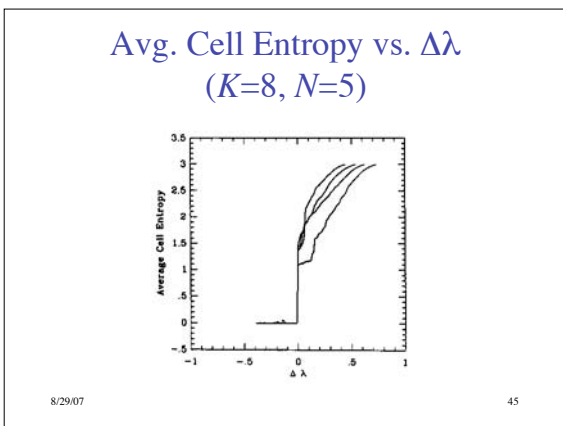
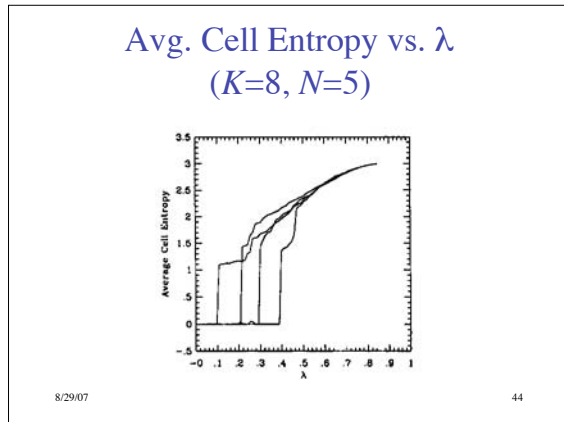
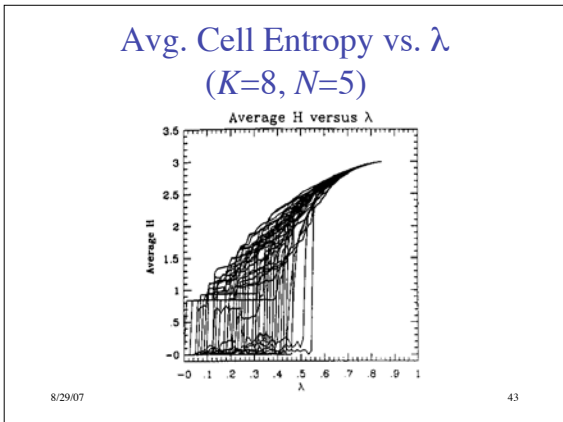
8/29/07 39

### Further Investigations by Langton

- 2-D CAs
- $K = 8$
- $N = 5$
- $64 \times 64$  lattice
- periodic boundary conditions

8/29/07 40





Entropy of Independent Systems

- Suppose sources  $A$  and  $B$  are independent
- Let  $p_j = \Pr\{a_j\}$  and  $q_k = \Pr\{b_k\}$
- Then  $\Pr\{a_j, b_k\} = \Pr\{a_j\} \Pr\{b_k\} = p_j q_k$

$$H(A, B) = \sum_{j,k} \Pr\{a_j, b_k\} \lg \Pr\{a_j, b_k\}$$

$$= \sum_{j,k} p_j q_k \lg(p_j q_k) = \sum_{j,k} p_j q_k (\lg p_j + \lg q_k)$$

$$= \sum_j p_j \lg p_j + \sum_k q_k \lg q_k = H(A) + H(B)$$

8/29/07 48



### Mutual Information

- *Mutual information* measures the degree to which two sources are not independent
- A measure of their correlation

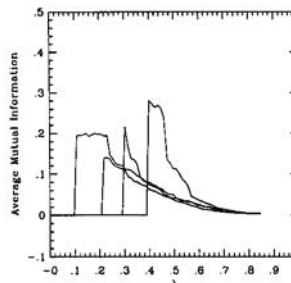
$$I(A,B) = H(A) + H(B) - H(A,B)$$

- $I(A,B) = 0$  for completely independent sources
- $I(A,B) = H(A) = H(B)$  for completely correlated sources

8/29/07

49

### Avg. Mutual Info vs. $\lambda$ ( $K=4, N=5$ )

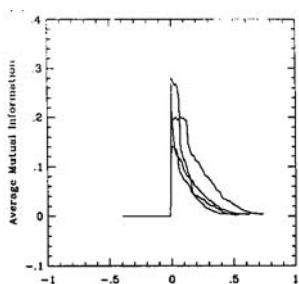


$$I(A,B) = H(A) + H(B) - H(A,B)$$

8/29/07

50

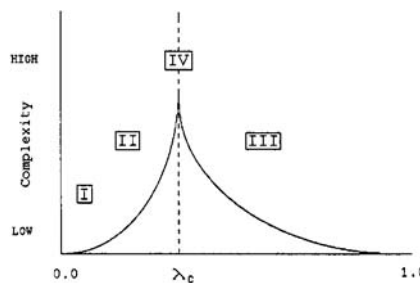
### Avg. Mutual Info vs. $\Delta\lambda$ ( $K=4, N=5$ )



8/29/07

51

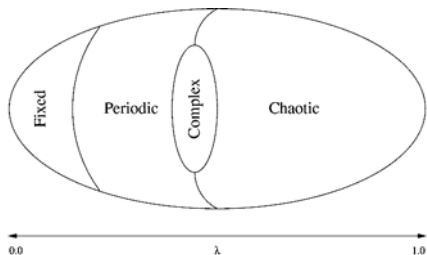
### Complexity vs. $\lambda$



8/29/07

52

### Schematic of CA Rule Space vs. $\lambda$



8/29/07

Fig. from Langton, "Life at Edge of Chaos"

53

### Additional Bibliography

1. Langton, Christopher G. "Computation at the Edge of Chaos: Phase Transitions and Emergent Computation," in *Emergent Computation*, ed. Stephanie Forrest. North-Holland, 1990.
2. Langton, Christopher G. "Life at the Edge of Chaos," in *Artificial Life II*, ed. Langton et al. Addison-Wesley, 1992.
3. Emmeche, Claus. *The Garden in the Machine: The Emerging Science of Artificial Life*. Princeton, 1994.

8/29/07

54