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**ECE 599/692 – Deep Learning**

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**Lecture 2 - Background**

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**Outline**

- Instructor and TA
  - Dr. Hairong Qi ([hqi@utk.edu](mailto:hqi@utk.edu))
  - Chengcheng Li ([cli42@vois.utk.edu](mailto:cli42@vois.utk.edu))
- What's the difference between different courses and terminologies?
- Why deep learning?
  - Seminar works
  - Engineered features vs. Automatic features
- What do we cover?
- What's the expectation?
  - ECE599
  - ECE692
- Programming environment
  - Tensorflow and Google Cloud Platform (GCP)
- Preliminaries
  - Linear algebra, probability and statistics, numerical computation, machine learning basics

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**Different Courses**

- Machine Learning (ML) (CS425/528)
- Pattern Recognition (PR) (ECE471/571)
  - Reinforcement Learning (RL) (ECE517)
  - Biologically-Inspired Computation (CS527)
- Deep Learning (DL) (ECE599/692)
- Artificial Intelligence (AI) (CS529 – Autonomous Mobile Robots )

???! Sept. 2017: [https://www.alibabacloud.com/blog/deep-learning-vs-machine-learning-vs-pattern-recognition\\_207110](https://www.alibabacloud.com/blog/deep-learning-vs-machine-learning-vs-pattern-recognition_207110)

???! Mar. 2015, Tombone's Computer Vision Blog:  
<http://www.computervisionblog.com/2015/03/deep-learning-vs-machine-learning-vs.html>

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## Different Terminologies

- Pattern Recognition vs. Pattern Classification
- Machine Learning vs. Artificial Intelligence
- Machine Learning vs. Pattern Recognition
- Engineered Features vs. Automatic Features

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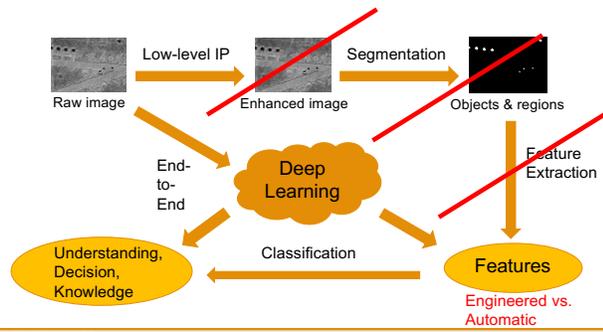
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## The New Deep Learning Paradigm




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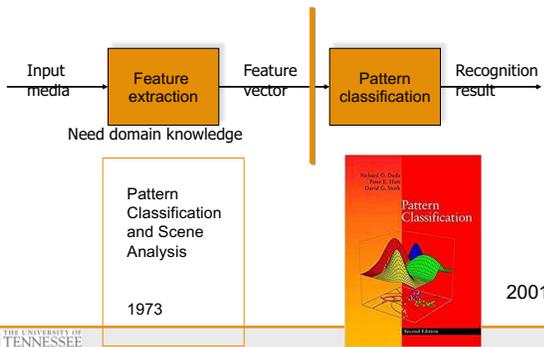
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## Pattern Recognition vs. Pattern Classification




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## AI vs. ML or PR

PR + Reasoning (RNN) → AI  
PR + Planning & RL → AI

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## CS425/528 Content

- Introduction (ch. 1)
- Supervised Learning (ch. 2)
- Bayesian Decision Theory (ch. 3)
- Parametric Methods (chs. 4–5)
- Dimensionality Reduction (ch. 6)
- Clustering (ch. 7)
- Non-Parametric Methods (ch. 8)
- Decision Trees (ch. 9)
- Neural Networks (chs. 10–11)
- Local Models (ch. 12)
- Kernel Machines (ch. 13)
- Reinforcement Learning (ch. 18)
- Machine Learning Experiments (ch. 19)

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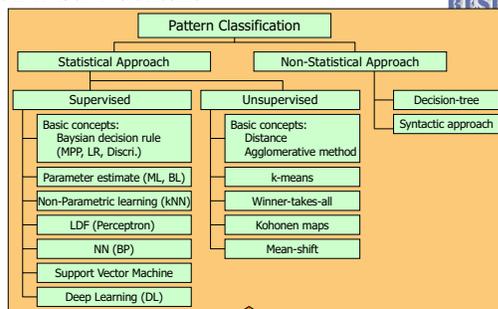
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## ECE471/571 Content



Dimensionality Reduction  
FLD, PCA

Performance Evaluation  
ROC curve (TP, TN, FN, FP)  
cross validation

Stochastic Methods  
local opt (GD)  
global opt (SA, GA)

Classifier Fusion  
majority voting  
NB, BKS

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**AICIP RESEARCH**

## What Do We Cover?

- Neural networks
  - Multi-layer Perceptron
  - Backpropagation Neural Network (Project 1, Due 09/07)
- Feedforward networks
  - Supervised learning - CNN (Project 2, Due 09/21)
  - Unsupervised learning – AE (Project 3, Due 10/12)
- Generative networks
  - GAN (Project 4, Due 10/26)
- Feedback networks
  - RNN (Project 5, Due 11/09)
- Final project (Due TBD)

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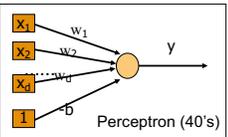
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**AICIP RESEARCH**

## A Bit History

- 1943 (McCulloch and Pitts):
- 1957 - 1962 (Rosenblatt):
  - From Mark I Perceptron to the Tobermory Perceptron to Perceptron Computer Simulations
  - Multilayer perceptron with fixed threshold
- 1969 (Minsky and Papert):
- The dark age: 70's -25 years
- 1986 (Rumelhart, Hinton, McClelland): BP
- 1989 (LeCun et al.): CNN (LeNet)
- Another -25 years
- 2006 (Hinton et al.): DL
- 2012 (Krizhevsky, Sutskever, Hinton): AlexNet
- 2014 (Goodfellow, Benjo, et al.): GAN



Perceptron (40's)

• W.S. McCulloch, W. Pitts, "A logical calculus of the ideas immanent in nervous activity," *The Bulletin of Mathematical Biophysics*, 5(4):115-133, December 1943.  
 • F. Rosenblatt, *Principles of Neurodynamics: Perceptrons and the Theory of Brain Mechanisms*, Spartan Books, 1962.  
 • Minsky, S. Papert, *Perceptrons: An Introduction to Computational Geometry*, 1969.  
 • D.E. Rumelhart, G.E. Hinton, R.J. Williams, "Learning representations by back-propagating errors," *Nature*, 323(9):533-536, October 1986. (BP)  
 • Y. LeCun, B. Boser, J.S. Denker, D. Henderson, R.E. Howard, W. Hubbard, L.D. Jackel, "Backpropagation applied to handwritten zip code recognition," *Neural Computation*, 1(4):541-551, 1989. (LeNet).  
 • G.E. Hinton, S. Osindero, Y. Teh, "A fast learning algorithm for deep belief nets," *Neural Computation*, 18:1527-1554, 2006. (DL)  
 • G.E. Hinton, R.R. Salakhutdinov, "Reducing the dimensionality of data with neural networks," *Science*, 313(5786):504-507, 2006. (DL)  
 • A. Krizhevsky, I. Sutskever, G.E. Hinton, "ImageNet classification with deep convolutional neural networks," *Advances in Neural Information Processing Systems*, pages 1097-1105, 2012. (AlexNet)  
 • I. Goodfellow, J. Pouget-Abadie, M. Mirza, B. Xu, D. Warde-Farley, S. Ozair, A. Courville, Y. Bengio, "Generative adversarial networks," *NIPS*, December 2014.

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**AICIP RESEARCH**

## A Bit History - Revisited

- 1956-1976
  - 1956, The Dartmouth Summer Research Project on Artificial Intelligence, organized by John McCarthy, Marvin Minsky, Nathaniel Rochester, and Claude Shannon

We propose that a 2 month, 10 man study of artificial intelligence be carried out during the summer of 1956 at Dartmouth College ... The study is to proceed on the basis of the conjecture that every aspect of learning or any other feature of intelligence can in principle be so precisely described that a machine can be made to simulate it. An attempt will be made to find how to make machines use language, form abstractions and concepts, solve kinds of problems now reserved for humans, and improve themselves. We think that a significant advance can be made in one or more of these problems if a carefully selected group of scientists work on it together for a summer.

- The rise of symbolic methods, systems focused on limited domains, deductive vs. inductive systems
- 1973, the Lighthill report by James Lighthill, "Artificial Intelligence: A General Survey" - automata, robotics, neural network
- 1976, the AI Winter
- 1976-2006
  - 1986, BP algorithm
  - ~1995, The Fifth Generation Computer
- 2006-???
- 2006, Hinton (U. of Toronto), Bingio (U. of Montreal, LeCun (NYU)
- 2012, ImageNet by Fei-Fei Li (2010-2017) and AlexNet

[https://en.wikipedia.org/wiki/Dartmouth\\_workshop](https://en.wikipedia.org/wiki/Dartmouth_workshop)  
[https://en.wikipedia.org/wiki/Lighthill\\_report](https://en.wikipedia.org/wiki/Lighthill_report)

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## Why Deep Learning?

ImageNet Large Scale Visual Recognition Challenge (ILSVRC)

Year	Top-5 Error	Model
2010 winner	28.2%	Fast descriptor coding
2011 winner	25.7%	Compressed Fisher vectors
2012 winner	15.3%	AlexNet (8, 60M)
2013 winner	14.8%	ZFNet
2014 winner	6.67%	GoogLeNet (22, 4M)
2014 runner-up		VGGNet (16, 140M)
2015 winner	3.57%	ResNet (152)

Human expert: 5.1%

[http://image-net.org/challenges/talks\\_2017/imagenet\\_ilsvrc2017\\_v1.0.pdf](http://image-net.org/challenges/talks_2017/imagenet_ilsvrc2017_v1.0.pdf)

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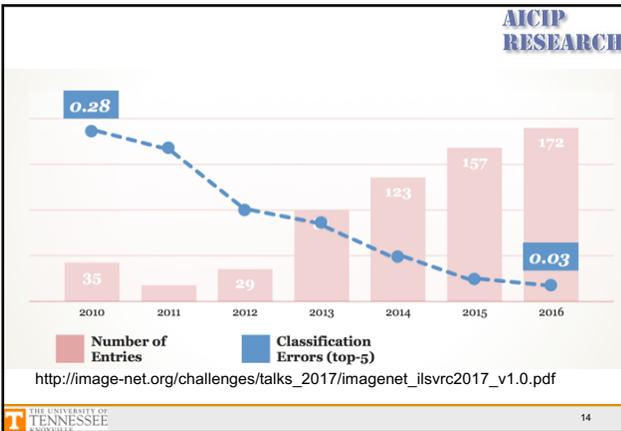
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[http://image-net.org/challenges/talks\\_2017/imagenet\\_ilsvrc2017\\_v1.0.pdf](http://image-net.org/challenges/talks_2017/imagenet_ilsvrc2017_v1.0.pdf)

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## Preliminaries

- Math and Statistics
  - Linear algebra
  - Probability and Statistics
  - Numerical computation
- Machine learning basics
  - Neural networks and backpropagation
- Programming environment
  - Tensorflow
  - GCP

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## Linear Algebra

- Scalars, vectors, matrices, **tensors**
- Linear dependence and span
- Norms
  - $l_p$  norms,  $l_0$  norm
  - Frobenius norm -  $l_2$  norm for matrices
- Matrix decomposition
  - Eigendecomposition (for square matrices)
  - Singular value decomposition (SVD) (for any matrices)
  - [Snyder&Qi:2017]

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## Probability

- Frequentist probability vs. Bayesian probability
- Probability distribution
  - Discrete variable and probability mass function (PMF)
  - Continuous variable and probability distribution function (PDF)
- Marginal probability
- Conditional probability (e.g., Baye's rule)

$$P(\omega_j | x) = \frac{p(x | \omega_j) P(\omega_j)}{p(x)}$$

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17

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## Information Theory

- Measuring information
  - Self-information of an event  $x=x, I(x) = -\log P(x)$ 
    - Base  $e$ : unit (nats) information gained by observing an event of probability  $1/e$
    - Base 2: unit (bits or shannons)
  - Shannon entropy:  $H(x) = E_{x \sim p}[I(x)] = -E_{x \sim p}[\log P(x)]$
- Kullback-Leibler (KL) divergence
  - $D_{KL}(P||Q) = E_{x \sim p}[\log P(x)/Q(x)] = E_{x \sim p}[\log P(x) - \log Q(x)]$
- Cross-entropy
  - $H(P, Q) = H(P) + D_{KL}(P||Q)$

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## Numerical Computation

- Global vs. local optimization
- Gradient descent
- Constrained optimization
  - Langrange optimization
  - Karush-Kuhn-Tucker (KKT) approach

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## Pattern Classification Approaches

- Supervised vs. unsupervised
- Parametric vs. non-parametric
- Classification vs. regression vs. generation
- Training set vs. test set vs. validation set
- Cross-validation

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## Pattern Classification Approaches

- Supervised
  - Maximum a-posteriori probability  $P(\omega_j | x) = \frac{p(x | \omega_j) P(\omega_j)}{p(x)}$
  - kNN  $P(\omega_m | x) = \frac{p(x | \omega_m) P(\omega_m)}{p(x)} = \frac{\frac{k_m}{n_m V} \frac{n_m}{n}}{\frac{k}{nV}} = \frac{k_m}{k}$
  - NN, when  $n \rightarrow \infty$ ,  $g_k(\mathbf{x}; \mathbf{w}) \rightarrow P(w_k | x)$

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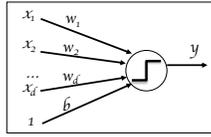
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# Neural Networks

- Perceptrons

$$y = \begin{cases} 0 & \mathbf{w}^T \mathbf{x} + b \leq 0 \\ 1 & \mathbf{w}^T \mathbf{x} + b > 0 \end{cases}$$

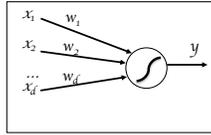
where  $b = -\text{threshold}$



- Sigmoid neurons

$$\sigma(z) = \frac{1}{1 + \exp(-z)}$$

$$y = \frac{1}{1 + \exp(-(\mathbf{w}^T \mathbf{x} + b))}$$




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# Network Example – MNIST Recognition

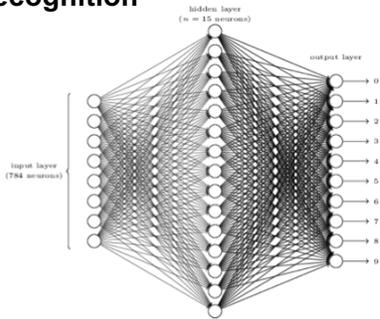


Image from: [Nielsen]

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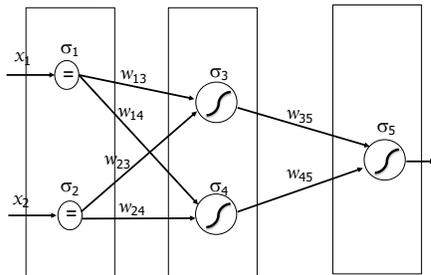
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# A 3-layer NN




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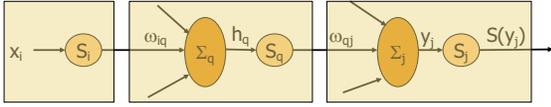
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## BP – 3-layer Network



$$E = \frac{1}{2} \sum_j (T_j - S(y_j))^2$$

Choose a set of initial  $\omega_{st}$

$$\omega_{st}^{k+1} = \omega_{st}^k - c^k \frac{\partial E^k}{\partial \omega_{st}^k}$$

The problem is essentially “how to choose weight  $\omega$  to minimize the error between the expected output and the actual output”

The basic idea behind BP is **gradient descent**

$\omega_{st}$  is the weight connecting input  $s$  at neuron  $t$

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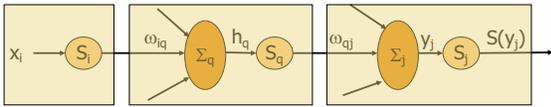
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## The Derivative – Chain Rule



$$\Delta \omega_{aj} = -\frac{\partial E}{\partial \omega_{aj}} = -\frac{\partial E}{\partial S_j} \frac{\partial S_j}{\partial y_j} \frac{\partial y_j}{\partial \omega_{aj}}$$

$$= -(T_j - S_j)(S'_j)(S'_q(h_q))$$

$$\Delta \omega_{iq} = -\frac{\partial E}{\partial \omega_{iq}} = \left[ \sum_j \frac{\partial E}{\partial S_j} \frac{\partial S_j}{\partial y_j} \frac{\partial y_j}{\partial S_q} \right] \frac{\partial S_q}{\partial h_q} \frac{\partial h_q}{\partial \omega_{iq}}$$

$$= \left[ \sum_j (T_j - S_j)(S'_j)(\omega_{aj}) \right] (S'_q)(x_i)$$

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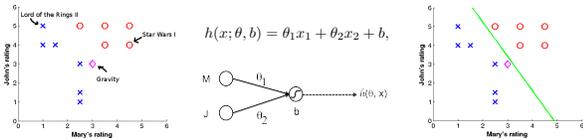
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## Why Deeper?

Movie name	Mary's rating	John's rating	I like?
Lord of the Rings II	1	5	No
...	...	...	...
Star Wars I	4.5	4	Yes
Gravity	3	3	?



$$J(\theta, b) = (h(x^{(1)}; \theta, b) - y^{(1)})^2 + (h(x^{(2)}; \theta, b) - y^{(2)})^2 + \dots + (h(x^{(m)}; \theta, b) - y^{(m)})^2$$

$$= \sum_{i=1}^m (h(x^{(i)}; \theta, b) - y^{(i)})^2$$

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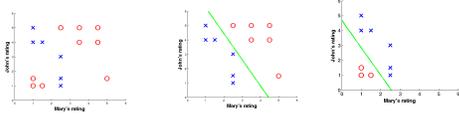
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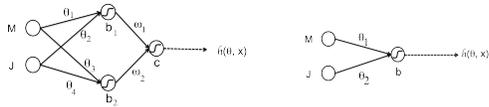
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# Why Deeper? - Another Example AICIP RESEARCH



Movie name	Output by decision function $h_1$	Output by decision function $h_2$	Susan likes?
Lord of the Rings II	$h_1(2^*) = 1$	$h_2(2^*) = 0$	No
...	...	...	...
Star Wars I	$h_1(4^*) = 1$	$h_2(4^*) = 1$	Yes
Gravity	$h_1(2^*) = 1$	$h_2(2^*) = 1$	?




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