

Chapter III

Quantum Computation

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A Mathematical preliminaries

“[I]nformation is physical, and surprising physical theories such as quantum mechanics may predict surprising information processing abilities.” [NC 98]

A.1 Complex numbers

If you go to the course webpage, and look under Quantum Computation in the Topics section, you will see a link to “complex number review [FFC-ch4].” Depending on how familiar you are with complex numbers, read or skim it through section 4.4.2.1 (pp. 41–53). This should tell you all you need to know (and a little more).

A.2 Linear algebra review

A.2.a DIRAC BRACKET NOTATION

- ¶1. Much of the math of quantum computation is just elementary linear algebra, but the notation is different (and of course there is a physical interpretation). The Dirac notation will seem peculiar if you are not

used to it, but it is elegant and powerful, as are all good notations. Think of it like a new programming language.

- ¶2. Vectors are written using *Dirac's bracket notation*. $|\psi\rangle$ represents an $n \times 1$ complex column vector, $|\psi\rangle = (v_1, \dots, v_n)^T$. We pronounce $|\psi\rangle$ “ket psi” or “psi ket.”
- ¶3. Normally the vectors are finite-dimensional, but they can be infinite-dimensional if the vectors have a finite magnitude (their components are square-summable): $\sum_k |v_k|^2 < \infty$.
- ¶4. The Dirac notation has the advantage that we can use arbitrary names for vectors, for example, $|\text{excited}\rangle$, $|\text{zero}\rangle$, $|\text{one}\rangle$, $|\uparrow\rangle$, $|\nearrow\rangle$, $|1\rangle$, $|101\rangle$, $|5\rangle$, $|f(\mathbf{x})\rangle$, $|1 \otimes g(1)\rangle$.

It looks kind of like an arrow. Cf. $|v\rangle$ and \vec{v} .

A.2.b DUAL VECTOR

- ¶1. $\langle\phi|$ represents a $1 \times n$ complex row vector, $\langle\phi| = (u_1, \dots, u_n)$. We pronounce $\langle\psi|$ “bra psi” or “psi bra.”
- ¶2. If $|\psi\rangle = (v_1, \dots, v_n)^T$, then $\langle\psi| = (\overline{v_1}, \dots, \overline{v_n})$, where $\overline{v_k}$ is the complex conjugate of v_k .

A.2.c ADJOINT

- ¶1. The *adjoint* (*conjugate transpose*, *Hermitian transpose*) M^\dagger of a matrix M is defined

$$(M^\dagger)_{jk} = \overline{M_{kj}}.$$

We pronounce it “ M dagger.”

- ¶2. Note $\langle\psi| = |\psi\rangle^\dagger$.

A.2.d INNER PRODUCT

- ¶1. Suppose $|\phi\rangle = (u_1, \dots, u_n)^T$ and $|\psi\rangle = (v_1, \dots, v_n)^T$. Then the *complex inner product* is defined $\sum_k \overline{u_k} v_k$. Thus the inner product of two vectors is the conjugate transpose of the first times the second.

- ¶2. This is the convention in physics, which we will follow; mathematicians usually put the complex conjugate on the second argument.
- ¶3. The inner product can be written as a matrix product: $\langle \phi | \psi \rangle = (\overline{u_1}, \dots, \overline{u_n}) (v_1, \dots, v_n)^T$.
- ¶4. Since this is multiplying a $1 \times n$ matrix by an $n \times 1$ matrix, the result is a 1×1 matrix, or scalar.
- ¶5. This product is abbreviated $\langle \phi | \psi \rangle = \langle \phi | \psi \rangle$.
- ¶6. **Bra-ket:** $\langle \phi | \psi \rangle$ can be pronounced “ ϕ -bra ket- ψ ” or “ ϕ bra-ket ψ .”
- ¶7. **Sesquilinearity:** The complex inner product satisfies:

positive definite:

$$\begin{aligned} \langle \psi | \psi \rangle &> 0, & \text{if } |\psi\rangle \neq \mathbf{0}, \\ \langle \psi | \psi \rangle &= 0, & \text{if } |\psi\rangle = \mathbf{0}. \end{aligned}$$

conjugate symmetry:

$$\langle \phi | \psi \rangle = \overline{\langle \psi | \phi \rangle}.$$

linearity in second argument:

$$\begin{aligned} \langle \phi | c\psi \rangle &= c\langle \phi | \psi \rangle, & \text{for } c \in \mathbb{C}, \\ \langle \phi | \psi + \chi \rangle &= \langle \phi | \psi \rangle + \langle \phi | \chi \rangle. \end{aligned}$$

- ¶8. **Antilinearity in first argument:** Note $\langle c\phi | \psi \rangle = \bar{c}\langle \phi | \psi \rangle$.

A.2.e INNER PRODUCT NORM

- ¶1. The *norm* or *magnitude* of a vector is defined $\| |\psi\rangle \|^2 = \langle \psi | \psi \rangle$.
- ¶2. **Normalization:** A vector is normalized if $\| |\psi\rangle \| = 1$.
- ¶3. Note that normalized vectors fall on the surface of an n -dimensional hypersphere.

A.2.f BASES

- ¶1. **Orthogonality:** Vectors $|\phi\rangle$ and $|\psi\rangle$ are *orthogonal* if $\langle\phi|\psi\rangle = 0$.
- ¶2. **Orthogonal set:** A set of vectors is *orthogonal* if each vector is orthogonal to all the others.
- ¶3. **Orthonormality:** An *orthonormal* set of vectors is an orthogonal set of normalized vectors.
- ¶4. **Spanning:** A set of vectors $|\phi_1\rangle, |\phi_2\rangle, \dots$ *spans* a vector space if for every vector $|\psi\rangle$ in the space there are complex coefficients c_1, c_2, \dots such that $|\psi\rangle = \sum_k c_k |\phi_k\rangle$.
- ¶5. **Basis:** A *basis* for a vector space is a linearly independent set of vectors that spans the space.
- ¶6. Equivalently, a basis is a minimal generating set for the space; that is all of the vectors in the space can be generated by linear combinations of the basis vectors.
- ¶7. **Orthonormal basis:** An (*orthonormal*) *basis* for a vector space is an (orthonormal) set of vectors that spans the space.
In general, when I say “basis” I mean “ON basis.”
- ¶8. **Unique representation:** Any vector in the space has a unique representation as a linear combination of the basis vectors.
- ¶9. **Hilbert space:** A *Hilbert space* is a complete inner-product space. Complete means that all Cauchy sequences of vectors (or functions) have a limit in the space. (In a Cauchy sequence, $\|x_m - x_n\| \rightarrow 0$ as $m, n \rightarrow \infty$.)
Hilbert spaces may be finite- or infinite-dimensional.
- ¶10. **Generalized Fourier series:** If $|1\rangle, |2\rangle, \dots$ is an ON basis for \mathcal{H} , then any $|\psi\rangle$ can be expanded in a *generalized Fourier series*:

$$|\psi\rangle = \sum_k c_k |k\rangle.$$

The *generalized Fourier coefficients* c_k can be determined as follows:

$$\langle k | \psi \rangle = \langle k | \sum_j c_j |j\rangle = \sum_j c_j \langle k | j \rangle = c_k.$$

Therefore, $c_k = \langle k | \psi \rangle$. Hence,

$$|\psi\rangle = \sum_k c_k |k\rangle = \sum_k \langle k | \psi \rangle |k\rangle = \sum_k |k\rangle \langle k | \psi \rangle.$$

This is just the vector's representation in a particular basis.
(Note that this equation implies $I = \sum_k |k\rangle \langle k|$.)

A.2.g LINEAR OPERATORS

- ¶1. A linear operator $L : \mathcal{H} \rightarrow \hat{\mathcal{H}}$ satisfies $L(c|\phi\rangle + d|\psi\rangle) = cL(|\phi\rangle) + dL(|\psi\rangle)$ for all $|\phi\rangle, |\psi\rangle \in \mathcal{H}$ and $c, d \in \mathbb{C}$.

A.2.h MATRIX REPRESENTATION

- ¶1. A linear operator $L : \mathcal{H} \rightarrow \hat{\mathcal{H}}$ can be represented by a (possibly infinite-dimensional) matrix relative to bases for \mathcal{H} and $\hat{\mathcal{H}}$.
- ¶2. Suppose $|1\rangle, |2\rangle, \dots$ is a basis for \mathcal{H} and $|\hat{1}\rangle, |\hat{2}\rangle, \dots$ is a basis for $\hat{\mathcal{H}}$.
- ¶3. Consider $|\phi\rangle = L|\psi\rangle$ and represent them in these bases by their Fourier coefficients: $b_j = \langle \hat{j} | \phi \rangle$ and $c_k = \langle k | \psi \rangle$.
- ¶4. Hence $|\phi\rangle$ is represented by the vector $\mathbf{b} = (b_1, b_2, \dots)^T$ and $|\psi\rangle$ by the vector $\mathbf{c} = (c_1, c_2, \dots)^T$.
- ¶5. Apply the linearity of L :

$$\begin{aligned} b_j &= \langle \hat{j} | \phi \rangle \\ &= \langle \hat{j} | L | \psi \rangle \\ &= \langle \hat{j} | L \left(\sum_k c_k |k\rangle \right) \\ &= \langle \hat{j} | \left(\sum_k c_k L |k\rangle \right) \\ &= \sum_k \langle \hat{j} | L | k \rangle c_k. \end{aligned}$$

- ¶6. Define the matrix $M_{jk} \stackrel{\text{def}}{=} \langle \hat{j} | L | k \rangle$ and we see $\mathbf{b} = \mathbf{M}\mathbf{c}$.
For this reason, an expression of the form $\langle \hat{j} | L | k \rangle$ is sometimes called a *matrix element*.

- ¶7. Note that the matrix depends on the basis we choose.

A.2.i OUTER PRODUCT OR DYAD

- ¶1. We can form the product of a ket and a bra, which is called a *dyad* or *outer product*.
- ¶2. **Finite dimensional:** If $|\phi\rangle$ is an $m \times 1$ column vector, and $|\psi\rangle$ is an $n \times 1$ column vector (so that $\langle\psi|$ is a $1 \times n$ row vector), then the outer product $|\phi\rangle\langle\psi|$ is an $m \times n$ matrix. Usually $m = n$.
- ¶3. **Infinite dimensional:** More generally, if $|\phi\rangle \in \mathcal{H}'$ and $|\psi\rangle \in \mathcal{H}$, then $|\phi\rangle\langle\psi|$ is the linear operator $L : \mathcal{H} \rightarrow \mathcal{H}'$ defined, for any $|\chi\rangle \in \mathcal{H}$:

$$L|\chi\rangle = (|\phi\rangle\langle\psi|)|\chi\rangle = |\phi\rangle \langle\psi | \chi\rangle.$$

- ¶4. That is, $|\phi\rangle\langle\psi|$ is the operator that returns $|\phi\rangle$ scaled by the inner product of $|\psi\rangle$ and its argument. To the extent that the inner product measures the similarity of $|\psi\rangle$ and $|\chi\rangle$, the result $|\phi\rangle$ is weighted by this similarity.
- ¶5. **Ket-bra:** The product $|\phi\rangle\langle\psi|$ can be pronounced “ ϕ -ket bra- ψ ” or “ ϕ ketbra ψ ,” and abbreviated $|\phi\rangle\langle\psi|$.
- ¶6. **Projector:** $|\phi\rangle\langle\phi|$ is a *projector* onto $|\phi\rangle$.
- ¶7. More generally, if $|\eta_1\rangle, \dots, |\eta_m\rangle$ are ON, then $\sum_{k=1}^m |\eta_k\rangle\langle\eta_k|$ projects into the m -dimensional subspace spanned by these vectors.

A.2.j OUTER PRODUCT REPRESENTATION

- ¶1. Any linear operator can be represented as a weighted sum of outer products.
- ¶2. Suppose $L : \mathcal{H} \rightarrow \hat{\mathcal{H}}$, $|\hat{j}\rangle$ is a basis for $\hat{\mathcal{H}}$, and $|k\rangle$ is a basis for \mathcal{H} .
- ¶3. Suppose $|\phi\rangle = L|\psi\rangle$.
- ¶4. We know from Sec. A.2.h that

$$\langle\hat{j} | \phi\rangle = \sum_k M_{jk} c_k, \text{ where } M_{jk} = \langle\hat{j} | L | k\rangle, \text{ and } c_k = \langle k | \psi\rangle.$$

¶5. Hence,

$$\begin{aligned}
 |\phi\rangle &= \sum_j |\hat{j}\rangle \langle \hat{j} | \phi \rangle \\
 &= \sum_j |\hat{j}\rangle \left(\sum_k M_{jk} \langle k | \psi \rangle \right) \\
 &= \left(\sum_j |\hat{j}\rangle \sum_k M_{jk} \langle k | \right) |\psi\rangle \\
 &= \left(\sum_{jk} M_{jk} |\hat{j}\rangle \langle k | \right) |\psi\rangle.
 \end{aligned}$$

¶6. Hence, we have a sum-of-outer-products representation of the operator:

$$L = \sum_{jk} M_{jk} |\hat{j}\rangle \langle k|, \text{ where } M_{jk} = \langle \hat{j} | L | k \rangle.$$

A.2.k TENSOR PRODUCT

¶1. **Tensor product of vectors:** Suppose that $|\eta_j\rangle$ is an ON basis for \mathcal{H} and $|\eta'_k\rangle$ is an ON basis for \mathcal{H}' . For every pair of basis vectors, define the *tensor product* $|\eta_j\rangle \otimes |\eta'_k\rangle$ as a sort of couple or pair of the two basis vectors.

(I.e., there is a one-to-one correspondence between the $|\eta_j\rangle \otimes |\eta'_k\rangle$ and the pairs in $\{|\eta_0\rangle, |\eta_1\rangle, \dots\} \times \{|\eta'_0\rangle, |\eta'_1\rangle, \dots\}$.

¶2. **Tensor product space:** Define the *tensor product space* $\mathcal{H} \otimes \mathcal{H}'$ as the space spanned by all linear combinations of the basis vectors $|\eta_j\rangle \otimes |\eta'_k\rangle$.

Therefore each element of $\mathcal{H} \otimes \mathcal{H}'$ is represented by a unique sum $\sum_{jk} c_{jk} |\eta_j\rangle \otimes |\eta'_k\rangle$.

¶3. **Kronecker product of vectors:** If $|\phi\rangle = (u_1, \dots, u_m)^T$ and $|\psi\rangle = (v_1, \dots, v_n)^T$, then their tensor product can be defined by the *Kronecker product*:

$$|\phi\rangle \otimes |\psi\rangle = \begin{pmatrix} u_1 |\psi\rangle \\ \vdots \\ u_m |\psi\rangle \end{pmatrix}$$

$$\begin{aligned}
&= (u_1|\psi\rangle^T, \dots, u_m|\psi\rangle^T)^T \\
&= (u_1v_1, \dots, u_1v_n, \dots, u_mv_1, \dots, u_mv_n)^T.
\end{aligned}$$

Note that this is an $mn \times 1$ column vector and that

$$(|\phi\rangle \otimes |\psi\rangle)_{(j-1)n+k} = u_jv_k.$$

- ¶4. The following abbreviations are frequent: $|\phi\psi\rangle = |\phi, \psi\rangle = |\phi\rangle|\psi\rangle = |\phi\rangle \otimes |\psi\rangle$. Note that $|\phi\rangle|\psi\rangle$ can only be a tensor product because it would not be a legal matrix product.
- ¶5. Some properties of the tensor product:

$$\begin{aligned}
(c|\phi\rangle) \otimes |\psi\rangle &= c(|\phi\rangle \otimes |\psi\rangle) = |\phi\rangle \otimes (c|\psi\rangle), \\
(|\phi\rangle + |\psi\rangle) \otimes |\chi\rangle &= (|\phi\rangle|\chi\rangle) + (|\psi\rangle|\chi\rangle), \\
|\phi\rangle \otimes (|\psi\rangle + |\chi\rangle) &= (|\phi\rangle \otimes |\psi\rangle) + (|\phi\rangle \otimes |\chi\rangle).
\end{aligned}$$

- ¶6. **Inner products of tensor products:**

$$\langle\phi_1\phi_2 | \psi_1\psi_2\rangle = \langle\phi_1 \otimes \phi_2 | \psi_1 \otimes \psi_2\rangle = \langle\phi_1 | \psi_1\rangle \langle\phi_2 | \psi_2\rangle.$$

- ¶7. **Tensor product of operators:** The tensor product of linear operators is defined

$$(L \otimes M) (|\phi\rangle \otimes |\psi\rangle) = L|\phi\rangle \otimes M|\psi\rangle.$$

- ¶8. Using the fact that $|\psi\rangle = \sum_{jk} c_{jk}|\eta_j\rangle \otimes |\eta'_k\rangle$ you can compute $(L \otimes M)|\psi\rangle$ for an arbitrary $|\psi\rangle \in \mathcal{H} \otimes \mathcal{H}'$ (exercise).
- ¶9. **Kronecker product of matrices:** If \mathbf{M} is a $k \times m$ matrix and \mathbf{N} is a $l \times n$ matrix, then their Kronecker product is a $kl \times mn$ matrix:

$$\mathbf{M} \otimes \mathbf{N} = \begin{pmatrix} M_{11}\mathbf{N} & M_{12}\mathbf{N} & \cdots & M_{1m}\mathbf{N} \\ M_{21}\mathbf{N} & M_{22}\mathbf{N} & \cdots & M_{2m}\mathbf{N} \\ \vdots & \vdots & \ddots & \vdots \\ M_{k1}\mathbf{N} & M_{k2}\mathbf{N} & \cdots & M_{km}\mathbf{N} \end{pmatrix}.$$

- ¶10. For vectors, operators, and spaces, we pronounce $M \otimes N$ as “ M tensor N .”
- ¶11. For a vector, operator, or space M , we define the *tensor power* $M^{\otimes n}$ to be M tensored with itself n times:

$$M^{\otimes n} = \overbrace{M \otimes M \otimes \cdots \otimes M}^n.$$

A.2.1 PROPERTIES OF OPERATORS AND MATRICES

- ¶1. **Normal:** An operator $L : \mathcal{H} \rightarrow \mathcal{H}$ is *normal* if $L^\dagger L = LL^\dagger$. The same applies to square matrices. That is, normal operators commute with their adjoints.
- ¶2. **Spectral decomposition:** For any normal operator on a finite-dimensional Hilbert space, there is an ON basis that diagonalizes the operator, and conversely, any diagonalizable operator is normal.
- The ON basis is the eigenvectors $|0\rangle, |1\rangle, \dots$, and the corresponding eigenvalues λ_k are the diagonal elements (cf. Sec. A.2.j, ¶6, p. 55):

$$L = \sum_k \lambda_k |k\rangle\langle k|.$$
- ¶3. Therefore, a matrix is normal iff it can be diagonalized by a unitary transform (see ¶8, below).
 That is, there is a unitary U such that $L = U\Lambda U^\dagger$, where $\Lambda = \text{diag}(\lambda_1, \dots, \lambda_n)$.
- If $|0\rangle, |1\rangle, \dots$ is the basis, then $U = (|0\rangle, |1\rangle, \dots)$ and $U^\dagger = \begin{pmatrix} \langle 0| \\ \langle 1| \\ \vdots \end{pmatrix}$.
- More generally, this applies to compact normal operators.
- ¶4. **Hermitian or self-adjoint:** An operator $L : \mathcal{H} \rightarrow \mathcal{H}$ is *Hermitian* or *self-adjoint* if $L^\dagger = L$. The same applies to square matrices. (They are the complex analogues of symmetric matrices.)
- ¶5. Hermitian operators are normal.
- ¶6. It is easy to see that L is Hermitian iff $\langle \phi | L | \psi \rangle = \langle \psi | L | \phi \rangle$ for all $|\phi\rangle, |\psi\rangle$.
 (Since $\langle \psi | L | \phi \rangle = \langle \phi | L^\dagger | \psi \rangle = \langle \phi | L | \psi \rangle$.)
- ¶7. A normal matrix is Hermitian iff it has real eigenvalues (exercise).
 This is important in QM, since measurement results are real.
- ¶8. **Unitary operators:** An operator U is *unitary* if $U^\dagger U = UU^\dagger = I$.
 That is, a unitary operator is invertible and its inverse is its adjoint.
- ¶9. Therefore every unitary operator is normal.
- ¶10. A normal matrix is unitary iff its spectrum is contained in the unit circle in the complex plane.

- ¶11. If U is unitary, $U^{-1} = U^\dagger$.
- ¶12. Unitary operators preserve inner products: $\langle \phi | U^\dagger U | \psi \rangle = \langle \phi | \psi \rangle$.
That is, the inner product of $U|\phi\rangle$ and $U|\psi\rangle$ is the same as the inner product of $|\phi\rangle$ and $|\psi\rangle$.
Note $\langle \phi | U^\dagger U | \psi \rangle = (U|\phi\rangle)^\dagger U|\psi\rangle$, the inner product.

- ¶13. Unitary operators are *isometric*, i.e., they preserve norms:

$$\|U|\psi\rangle\|^2 = \langle \psi | U^\dagger U | \psi \rangle = \langle \psi | \psi \rangle = \|\psi\|^2.$$

- ¶14. Unitary operators are like rotations of a complex vector space (analogous to orthogonal operators, which are rotations of a real vector space).

A.2.m OPERATOR FUNCTIONS

- ¶1. It is often convenient to extend various complex functions (e.g., \ln , \exp , $\sqrt{}$) to normal matrices and operators.
- ¶2. If $f : \mathbb{C} \rightarrow \mathbb{C}$ and $L : \mathcal{H} \rightarrow \mathcal{H}$, then we define:

$$f(L) \stackrel{\text{def}}{=} \sum_k f(\lambda_k) |k\rangle\langle k|,$$

where $L = \sum_k \lambda_k |k\rangle\langle k|$ is a spectral decomposition of L (Sec. A.2.1, ¶2).

- ¶3. Therefore, for a normal linear operator or matrix L we can write \sqrt{L} , $\ln L$, e^L , etc.