

## B.2 Wave-particle duality

Perform the double-slit experiment with three different kinds of objects.

### B.2.a CLASSICAL PARTICLES

- ¶1. Define  $P_j(x)$  is the probability of a particle arriving at  $x$  with just slit  $j$  open.  
Define  $P_{12}(x)$  is the probability of a particle arriving at  $x$  with both open.
- ¶2. We observe  $P_{12} = P_1 + P_2$ , as expected.

### B.2.b CLASSICAL WAVES

- ¶1. The energy  $I$  of a water wave depends on the square of its height  $H$ , which may be positive or negative.
- ¶2. Hence  $I_{12} = H_{12}^2 = (H_1 + H_2)^2 = H_1^2 + 2H_1H_2 + H_2^2 = I_1 + 2H_1H_2 + I_2$ .
- ¶3. The  $2H_1H_2$  term may be positive or negative, which leads to constructive and destructive interference.

### B.2.c QUANTUM PARTICLES

- ¶1. The probability of observing a particle is given by the rule for waves.
- ¶2. The probability  $P$  is given by the square of a complex amplitude  $A$ .
- ¶3.  $P_{12} = |A_1 + A_2|^2 = P_1 + \overline{A_1}A_2 + A_1\overline{A_2} + P_2$ .
- ¶4. How does a particle going through one slit “know” whether or not the other slit is open?

## B.3 Uncertainty principle

### B.3.a INFORMALLY

¶1. **Heisenberg Uncertainty Principle:** The uncertainty principle states a lower bound on the precision with which certain pairs of variables can be measured.

¶2. **Conjugate variables:** These are such pairs as position and momentum, and energy and time.

For example, the same state can be represented by the wave function  $\psi(x)$  as a function of space and by  $\phi(p)$  as a function of momentum.

¶3. Example:  $\Delta x \Delta p \geq \hbar/2$ .

$\hbar = h/2\pi$ , where  $h$  is Planck's constant. They are defined  $E = h\nu$  (Hertz, or cycles per second) and  $E = \hbar\omega$  (radians per second).

¶4. **Observer effect:** “While it is true that measurements in quantum mechanics cause disturbance to the system being measured, this is most emphatically *not* the content of the uncertainty principle.”<sup>1</sup>

(The disturbance is called the *observer effect*.)

¶5. Typically the uncertainty principle is a result of the variables representing measurements in two bases that are Fourier transforms of each other.

For example, time and energy are conjugate; note  $\psi(t)$  and  $\phi(E) = \Psi(\nu)$ , where  $E = h\nu$ . (For momentum, the de Broglie relation is  $p\lambda = h$ , where  $\lambda = \text{wavelength}$ , or  $p = \hbar k$ , where  $k = 2\pi/\lambda$  is the angular wavenumber, the number of wavelengths per  $2\pi$  units of distance.)

¶6. **Example:** Consider an audio signal  $\psi(t)$  and its Fourier transform  $\Psi(\nu)$ . Note that  $\psi$  is a function of time, with dimension  $t$ , and its spectrum  $\Psi$  is a function of frequency, with dimension  $t^{-1}$ .

They are reciprocals of each other, and that is always the case with Fourier transforms.

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<sup>1</sup>NC 89.

- ¶7. For more details on this, including an intuitive explanation, see FFC, ch. 6.)
- ¶8. **Non-commutative operators:** More generally, the observables are represented by Hermitian operators  $P, Q$  that do not commute. That is, to the extent they do not commute, to that extent you cannot measure them both (because you would have to do either  $PQ$  or  $QP$ , but they do not give the same result).
- ¶9. Best interpretation: If you set up the experiment multiple times, and measure the outcomes, you will find

$$2 \Delta P \Delta Q \geq |\langle [P, Q] \rangle|,$$

where  $P$  and  $Q$  are conjugate observables.

- ¶10. Note that this is a *purely mathematical* result. Any system obeying the QM postulates will have uncertainty principles for every pair of non-commuting observables.

### B.3.b FORMALLY

**Optional!** The following is from FFC, ch. 5.

- ¶1. **Definition B.1 (commutator)** If  $L, M : \mathcal{H} \rightarrow \mathcal{H}$  are linear operators, then their commutator is defined:

$$[L, M] = LM - ML. \quad (\text{III.2})$$

**Remark B.1** In effect,  $[L, M]$  distills out the non-commutative part of the product of  $L$  and  $M$ . If the operators commute, then  $[L, M] = \mathbf{0}$ , the identically zero operator. Constant-valued operators always commute ( $cL = Lc$ ), and so  $[c, L] = \mathbf{0}$ .

- ¶2. **Definition B.2 (anti-commutator)** If  $L, M : \mathcal{H} \rightarrow \mathcal{H}$  are linear operators, then their anti-commutator is defined:

$$\{L, M\} = LM + ML. \quad (\text{III.3})$$

If  $\{L, M\} = \mathbf{0}$ , we say that  $L$  and  $M$  anti-commute,  $LM = -ML$ .

¶3. See B.1.c (p. 83) for the justification of the following definitions.

**Definition B.3 (mean of measurement)** *If  $M$  is a Hermitian operator representing an observable, then the mean value of the measurement of a state  $|\psi\rangle$  is*

$$\langle M \rangle = \langle \psi | M | \psi \rangle.$$

¶4. **Definition B.4 (variance and standard deviation of measurement)** *If  $M$  is a Hermitian operator representing an observable, then the variance in the measurement of a state  $|\psi\rangle$  is*

$$\text{Var}\{M\} = \langle (M - \langle M \rangle)^2 \rangle = \langle M^2 \rangle - \langle M \rangle^2.$$

*As usual, the standard deviation  $\Delta M$  of the measurement is defined*

$$\Delta M = \sqrt{\text{Var}\{M\}}.$$

¶5. **Proposition B.1** *If  $L$  and  $M$  are Hermitian operators on  $\mathcal{H}$  and  $|\psi\rangle \in \mathcal{H}$ , then*

$$4\langle \psi | L^2 | \psi \rangle \langle \psi | M^2 | \psi \rangle \geq |\langle \psi | [L, M] | \psi \rangle|^2 + |\langle \psi | \{L, M\} | \psi \rangle|^2.$$

*More briefly, in terms of average measurements,*

$$4\langle L^2 \rangle \langle M^2 \rangle \geq |\langle [L, M] \rangle|^2 + |\langle \{L, M\} \rangle|^2.$$

**Proof:** Let  $x + iy = \langle \psi | LM | \psi \rangle$ . Then,

$$\begin{aligned} 2x &= \langle \psi | LM | \psi \rangle + (\langle \psi | LM | \psi \rangle)^* \\ &= \langle \psi | LM | \psi \rangle + \langle \psi | M^\dagger L^\dagger | \psi \rangle \\ &= \langle \psi | LM | \psi \rangle + \langle \psi | ML | \psi \rangle \quad \text{since } L, M \text{ are Hermitian} \\ &= \langle \psi | \{L, M\} | \psi \rangle. \end{aligned}$$

Likewise,

$$\begin{aligned} 2iy &= \langle \psi | LM | \psi \rangle - (\langle \psi | LM | \psi \rangle)^* \\ &= \langle \psi | LM | \psi \rangle - \langle \psi | ML | \psi \rangle \\ &= \langle \psi | [L, M] | \psi \rangle. \end{aligned}$$

Hence,

$$\begin{aligned} |\langle \psi | LM | \psi \rangle|^2 &= 4(x^2 + y^2) \\ &= |\langle \psi | [L, M] | \psi \rangle|^2 + |\langle \psi | \{L, M\} | \psi \rangle|^2. \end{aligned}$$

Let  $|\lambda\rangle = L|\psi\rangle$  and  $|\mu\rangle = M|\psi\rangle$ . By the Cauchy-Schwarz inequality,  $\|\lambda\| \|\mu\| \geq |\langle \lambda | \mu \rangle|$  and so  $\langle \lambda | \lambda \rangle \langle \mu | \mu \rangle \geq |\langle \lambda | \mu \rangle|^2$ . Hence,

$$\langle \psi | L^2 | \psi \rangle \langle \psi | M^2 | \psi \rangle \geq |\langle \psi | LM | \psi \rangle|^2.$$

The result follows. □

¶6. **Proposition B.2** *Prop. B.1 can be weakened into a more useful form:*

$$4\langle \psi | L^2 | \psi \rangle \langle \psi | M^2 | \psi \rangle \geq |\langle \psi | [L, M] | \psi \rangle|^2,$$

or  $4\langle L^2 \rangle \langle M^2 \rangle \geq |\langle [L, M] \rangle|^2$

¶7. **Proposition B.3 (uncertainty principle)** *If Hermitian operators  $P$  and  $Q$  are measurements (observables), then*

$$\Delta P \Delta Q \geq \frac{1}{2} |\langle \psi | [P, Q] | \psi \rangle|.$$

*That is,  $\Delta P \Delta Q \geq |\langle [P, Q] \rangle|/2$ . So the product of the variances is bounded below by the degree to which the operators do not commute.*

**Proof:** Let  $L = P - \langle P \rangle$  and  $M = Q - \langle Q \rangle$ . By Prop. B.2 we have

$$\begin{aligned} 4 \text{Var}\{P\} \text{Var}\{Q\} &= 4\langle L^2 \rangle \langle M^2 \rangle \\ &\geq |\langle [L, M] \rangle|^2 \\ &= |\langle [P - \langle P \rangle, Q - \langle Q \rangle] \rangle|^2 \\ &= |\langle [P, Q] \rangle|^2. \end{aligned}$$

Hence,

$$2 \Delta P \Delta Q \geq |\langle [P, Q] \rangle|$$

□

## B.4 Dynamics

### B.4.a CONTINUOUS TIME

- ¶1. **Schrödinger equation:** The time evolution of a closed QM system is given by the Schrödinger equation:

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = H |\psi(t)\rangle,$$

or more compactly,  $i\hbar |\dot{\psi}\rangle = H |\psi\rangle$ .

$H$  is the Hamiltonian of the system (a fixed Hermitian operator).

$\hbar$  is Planck's constant (often absorbed into  $H$ ).

- ¶2. Since  $H$  is Hermitian, it has a spectral decomposition,  $H = \sum_E E |E\rangle \langle E|$ , where the normalized  $|E\rangle$  are *energy eigenstates* (or *stationary states*) with corresponding energies  $E$ .  
The lowest energy is the *ground state energy*.

### B.4.b DISCRETE TIME

- ¶1. Stone's theorem shows that the solution to the Schrödinger equation is:

$$|\psi(t+s)\rangle = e^{-iHt/\hbar} |\psi(s)\rangle.$$

- ¶2. Define  $U(t) \stackrel{\text{def}}{=} \exp(-iHt/\hbar)$ ; then  $|\psi(t+s)\rangle = U(t) |\psi(s)\rangle$ .
- ¶3.  $U$  is unitary (Exer. III.3).
- ¶4. Hence the evolution of a closed QM system from a state  $|\psi\rangle$  at time  $t$  to a state  $|\psi'\rangle$  at time  $t'$  can be described by a unitary operator,  $|\psi'\rangle = U |\psi\rangle$ .
- ¶5. For any unitary operator  $U$  there is a Hermitian  $K$  such that  $U = \exp(iK)$  (Exer. III.4).