

# Announcements

- HW9 posted
- PCBs ordered for all planning to complete the design challenge
- TNvoice available
  - <https://utk.campuslabs.com/eval-home/>
  - Currently, 1/6 completed
  - Closes 12/1

## The Averaged System

This equation is now the model of a new, equivalent linear system

$$\dot{\mathbf{x}}(t) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}u(t)$$

where

$$\mathbf{A} = D\mathbf{A}_1 + D'\mathbf{A}_2$$

$$\mathbf{B} = D\mathbf{B}_1 + D'\mathbf{B}_2$$

which has averaged behavior over one switching period

This approximation is *perhaps* valid, if

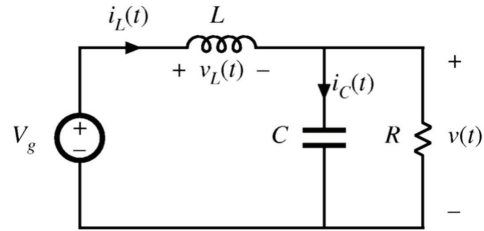
- State waveforms are dominantly linear
- Dynamics of interest are at  $f_{bw} \ll f_s$

# Buck State Space Averaging

In switch position 1

$$\dot{\mathbf{x}}(t) = \mathbf{A}_1 \mathbf{x}(t) + \mathbf{B}_1 u(t)$$

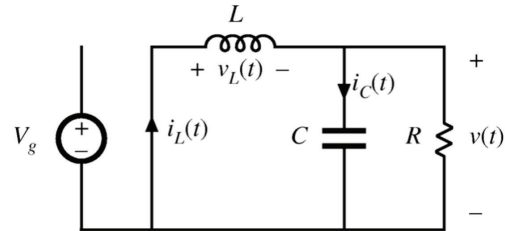
$$\frac{d}{dt} \begin{bmatrix} i_L(t) \\ v_c(t) \end{bmatrix} = \begin{bmatrix} 0 & -1/L \\ 1/C & -1/RC \end{bmatrix} \cdot \begin{bmatrix} i_L(t) \\ v_c(t) \end{bmatrix} + \begin{bmatrix} 1/L \\ 0 \end{bmatrix} v_g(t)$$



In switch position 2

$$\dot{\mathbf{x}}(t) = \mathbf{A}_2 \mathbf{x}(t) + \mathbf{B}_2 u(t)$$

$$\frac{d}{dt} \begin{bmatrix} i_L(t) \\ v_c(t) \end{bmatrix} = \begin{bmatrix} 0 & -1/L \\ 1/C & -1/RC \end{bmatrix} \cdot \begin{bmatrix} i_L(t) \\ v_c(t) \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \end{bmatrix} v_g(t)$$



## Buck Averaged Model

So, our average model is

$$\langle \dot{\mathbf{x}}(t) \rangle = (D\mathbf{A}_1 + D'\mathbf{A}_2) \langle \mathbf{x}(t) \rangle + (D\mathbf{B}_1 + D'\mathbf{B}_2) \langle u(t) \rangle$$

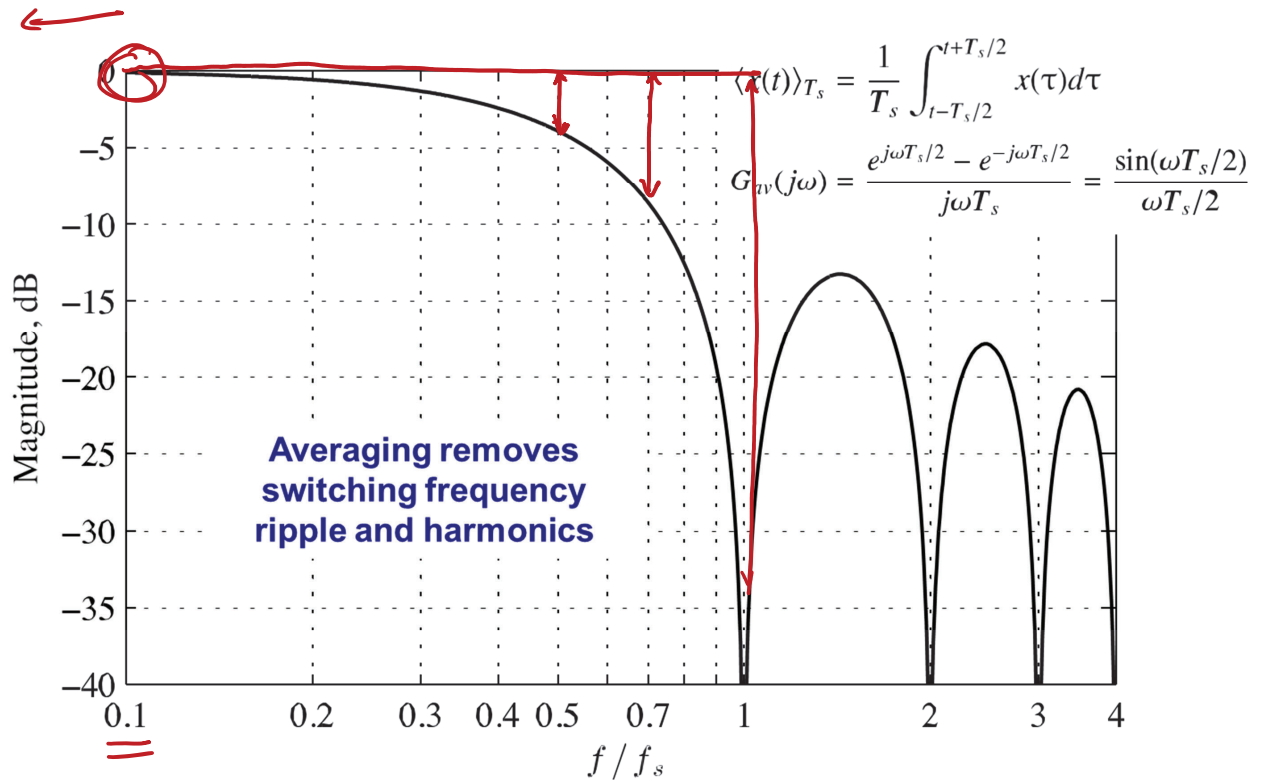
$$\langle \dot{\mathbf{x}}(t) \rangle = \left( D \begin{bmatrix} 0 & -1/L \\ 1/C & -1/RC \end{bmatrix} + D' \begin{bmatrix} 0 & -1/L \\ 1/C & -1/RC \end{bmatrix} \right) \langle \mathbf{x}(t) \rangle + \left( D \begin{bmatrix} 1/L \\ 0 \end{bmatrix} + D' \begin{bmatrix} 0 \\ 0 \end{bmatrix} \right) V_g$$

$$\langle \dot{\mathbf{x}}(t) \rangle = \begin{bmatrix} 0 & -1/L \\ 1/C & -1/RC \end{bmatrix} \langle \mathbf{x}(t) \rangle + \begin{bmatrix} D/L \\ 0 \end{bmatrix} V_g$$

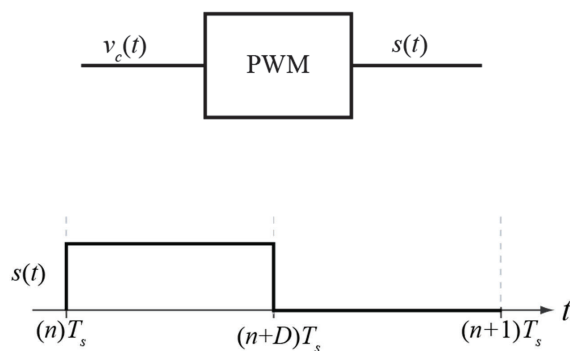
$$\begin{cases} DV_g - \langle v_c(t) \rangle = L \frac{d\langle i_L(t) \rangle}{dt} = \phi \rightarrow v = DV_g \\ \langle i_L(t) \rangle - \frac{\langle v_c(t) \rangle}{R} = C \frac{d\langle v_c(t) \rangle}{dt} = \phi \rightarrow I_L = \frac{V}{R} \end{cases}$$

Large-signal (steady-state)

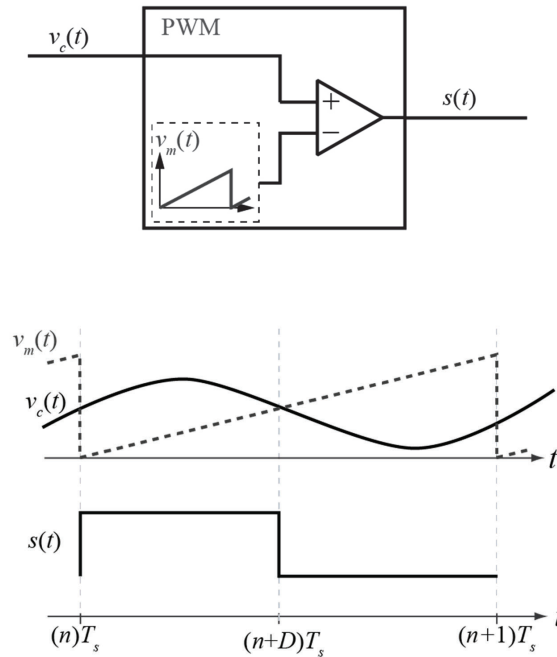
# Averaging: Discussion



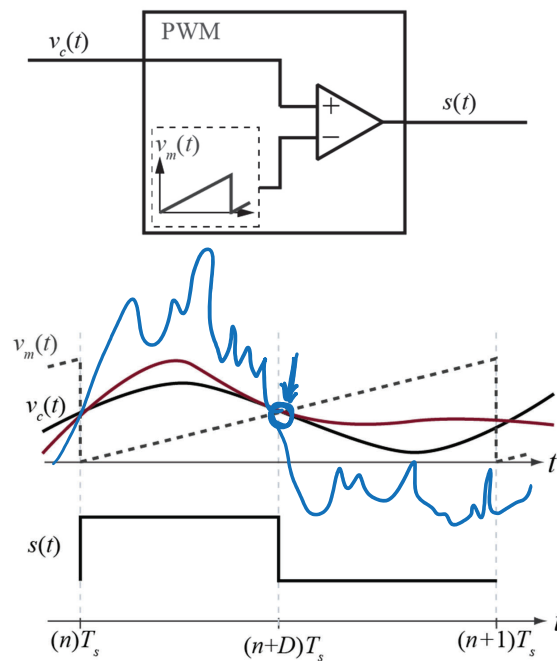
## Discrete Time Nature of PWM



# Discrete Time Nature of PWM



# Discrete Time Nature of PWM



# Historical Perspective



**Robert D Middlebrook**

PhD, Stanford, 1955

CalTech Professor, 1955-1998



**Slobodan Cúk**

PhD CalTech, 1976

CalTech Prof, 1977-1999

*Modelling, analysis, and design of switching converters*

Model a switched system as an averaged, time-invariant system with

$$\dot{x}(t) = Ax(t) + Bu(t)$$

where

$$A = DA_1 + D'A_2$$

$$B = DB_1 + D'B_2$$



**Dennis John Packard**

PhD, CalTech 1976

*Discrete modeling and analysis of switching regulators*

Model a switched system as a discrete-time system with

$$x[n + 1] = \Phi x[n] + \Psi U[n]$$

where

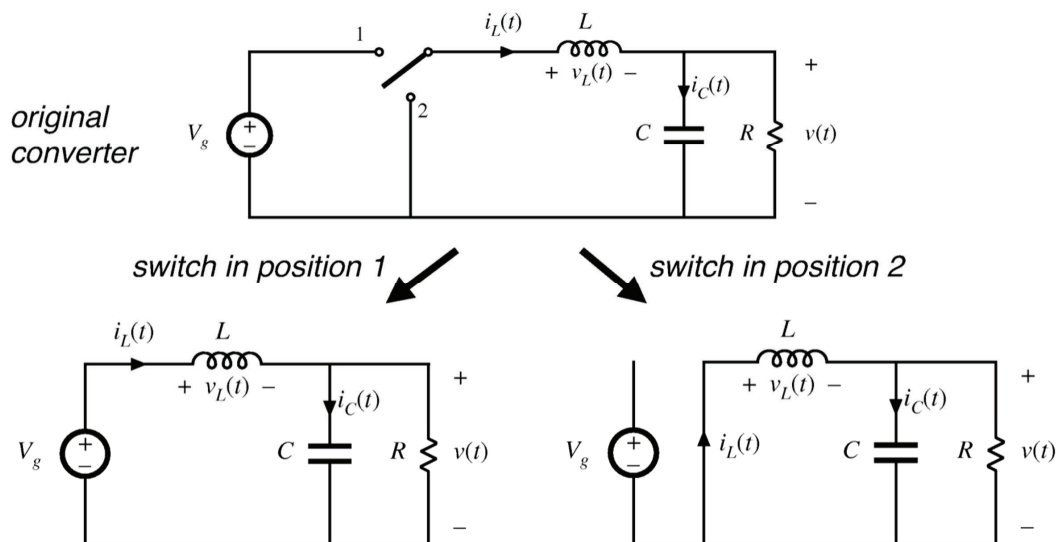
$$\Phi = (\prod_{i=n_{sw}}^1 e^{A_i t_i})$$

$$\Psi = \sum_{i=1}^{n_{sw}} \{ (\prod_{k=n_{sw}}^{i+1} e^{A_k t_k}) A_i^{-1} (e^{A_i t_i} - I) B_i \}$$

A. R. Brown and R. D. Middlebrook, "Sampled-data Modeling of Switching Regulators" PESC 1981



## Large Signal Modeling of SMPS



# Discrete Time Modeling

- Every subcircuit is a passive, linear circuit
- Passive, linear circuits can be solved in closed-form
  - Can model states at discrete times without averaging
- Only assumptions required
  - Independent inputs are DC or slowly varying

## Solution to State Space Equation

Closed form solution to state space equation

$$\dot{\mathbf{x}}(t) = \mathbf{A}\mathbf{x}(t) + \mathbf{B}u(t)$$

Multiply both sides by  $e^{-At}$

$$e^{-At}\dot{\mathbf{x}}(t) - e^{-At}\mathbf{A}\mathbf{x}(t) = e^{-At}\mathbf{B}u(t)$$

Left-hand side is

$$\frac{d}{dt}(e^{-At}\mathbf{x}(t)) = e^{-At}\mathbf{B}u(t)$$

# Solution to State Space Equation

$$\frac{d}{dt}(e^{-At}x(t)) = e^{-At}Bu(t)$$

Can now be solved by direct integration

$$e^{-At}x(t) - x(0) = \int_0^t e^{-A\tau}Bu(\tau) d\tau$$

Rearranging

$$x(t) = e^{At}x(0) + \underbrace{\int_0^t e^{-A(t-\tau)}Bu(\tau) d\tau}_{\text{convolution integral}}$$

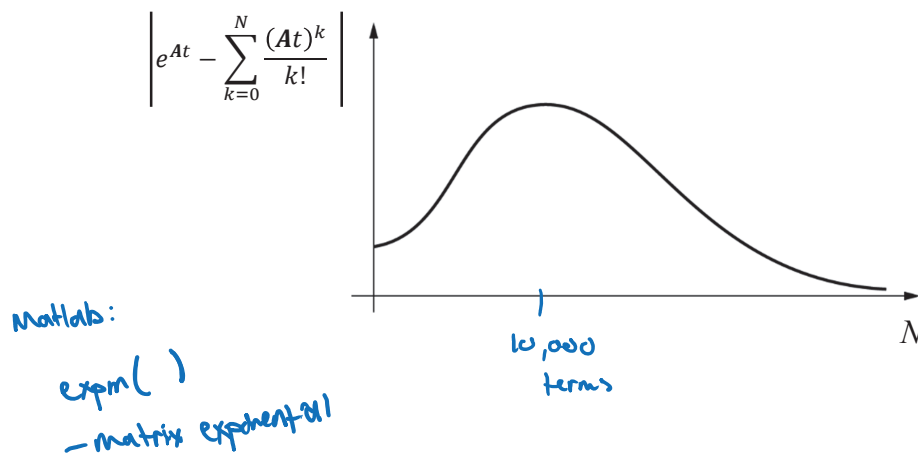
*Natural response*  
↓
*forced response*  
↓

## Matrix Exponential

Matrix exponential defined by Taylor series expansion

$$e^{At} = I + At + \frac{(At)^2}{2!} + \dots + \frac{(At)^N}{N!} = \sum_{k=0}^N \frac{(At)^k}{k!}$$

Well-known issue with convergence in many cases



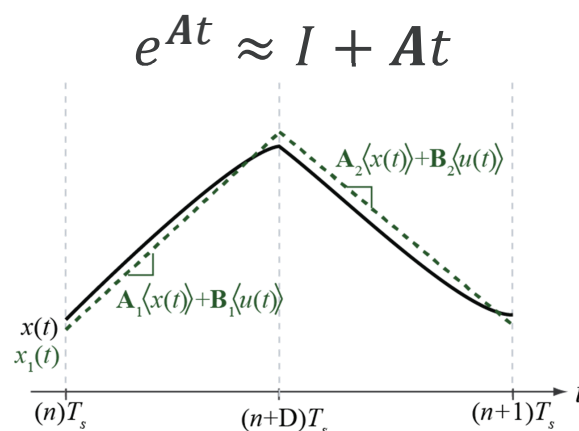
# Properties of the Matrix Exponential

- Matrix exponential always exists
  - i.e. summation will always converge
- Exponential of any matrix is always invertible, with

$$e^A e^{-A} = I$$

## First Order Taylor Series Expansion

Linear ripple approximation



Valid only if switching frequency much faster than system modes



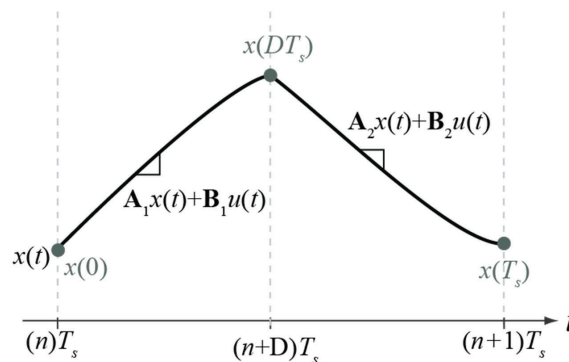
# Simplification for Slow-Varying Inputs

$$\mathbf{x}(t) = e^{At} \mathbf{x}(0) + \int_0^t e^{-A(t-\tau)} \mathbf{B} u(\tau) d\tau$$

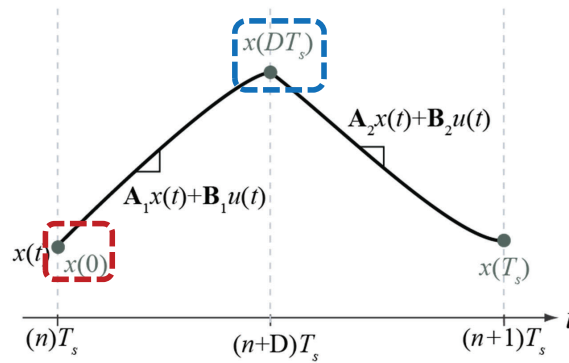
If  $A$  is invertible and  $u(\tau) \approx U$

$$\mathbf{x}(t) = e^{At} \mathbf{x}(0) + A^{-1}(e^{At} - I) \mathbf{B} U$$

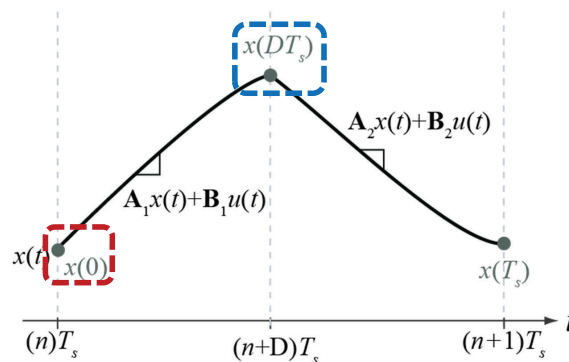
## Application to Switching Converter



# Application to Switching Converter

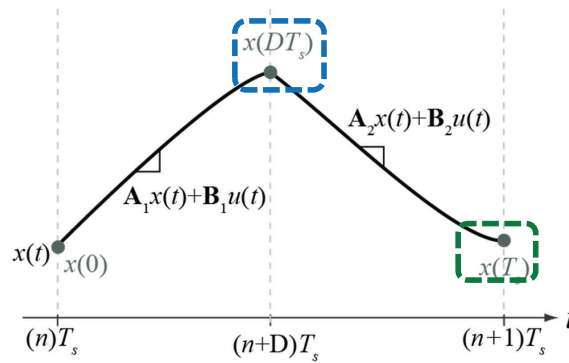


# Application to Switching Converter



$$x(DT_s) = e^{A_1 D T_s} x(0) + A_1^{-1} (e^{A_1 D T_s} - I) B_1 U$$

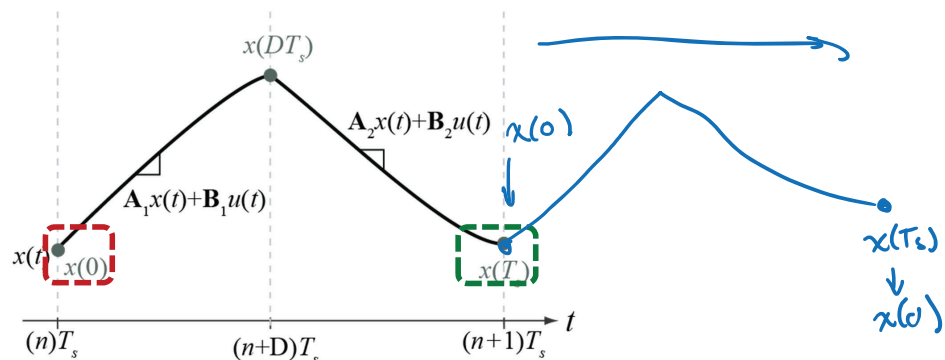
# Application to Switching Converter



$$x(DT_s) = e^{A_1 D T_s} x(0) + A_1^{-1} (e^{A_1 D T_s} - I) B_1 U$$

$$x(T_s) = e^{A_2 D' T_s} x(DT_s) + A_2^{-1} (e^{A_2 D' T_s} - I) B_2 U$$

# Application to Switching Converter



$$x(DT_s) = e^{A_1 D T_s} x(0) + A_1^{-1} (e^{A_1 D T_s} - I) B_1 U$$

$$x(T_s) = e^{A_2 D' T_s} x(DT_s) + A_2^{-1} (e^{A_2 D' T_s} - I) B_2 U$$

$$x(T_s) = e^{A_2 D' T_s} e^{A_1 D T_s} x(0) + A_2^{-1} (e^{A_2 D' T_s} - I) B_2 U + e^{A_2 D' T_s} A_1^{-1} (e^{A_1 D T_s} - I) B_1 U$$

# General Form

Generally, for  $n_{sw}$  separate switching positions

$$x(T_s) = \left( \prod_{i=n_{sw}}^1 e^{A_i t_i} \right) x(0) + \sum_{i=1}^{n_{sw}} \left\{ \left( \prod_{k=n_{sw}}^{i+1} e^{A_k t_k} \right) A_i^{-1} (e^{A_i t_i} - I) B_i \right\} U$$

Equation is in the form of a discrete-time system with  $\Phi$

$$x[n+1] = \Phi x[n] + \Psi U[n] \leftarrow \text{LTE DT system}$$

Again, the effect of changing modulation (i.e.  $t_i$ ) is hidden in nonlinear terms

$$\hat{x}[n+1] = \Phi \hat{x}[n] + \Psi \hat{u}[n] + \Gamma \hat{d}[n]$$

Find  $\Gamma$  by small-signal modeling

## Aside: Comparison to Averaged Modeling

$$x(T_s) = \left( \prod_{i=n_{sw}}^1 e^{A_i t_i} \right) x(0) + \sum_{i=1}^{n_{sw}} \left\{ \left( \prod_{k=n_{sw}}^{i+1} e^{A_k t_k} \right) A_i^{-1} (e^{A_i t_i} - I) B_i \right\} U$$

Approximate with straight-line waveforms,  $e^{At} \approx I + At$

$$x(T_s) = \left( I + \sum_{i=n_{sw}}^1 A_i t_i + \dots \right) x(0) + \sum_{i=1}^{n_{sw}} \left\{ \left( I + \sum_{k=n_{sw}}^{i+1} A_k t_k + \dots \right) t_i B_i \right\} U$$

Neglect all **terms** with product of two or more  $t_i$

$$x(T_s) = \left( I + \sum_{i=1}^{n_{sw}} A_i t_i \right) x(0) + \sum_{i=1}^{n_{sw}} (t_i B_i) U$$

$= \text{average model}$

Continuous time conversion

$$\dot{x}_{DT}(t) = \frac{x(T_s) - x(0)}{T_s} = \sum_{i=1}^{n_{sw}} \left( A_i \frac{t_i}{T_s} \right) x + \sum_{i=1}^{n_{sw}} \left( B_i \frac{t_i}{T_s} \right) U$$

# Aside: Discrete vs Averaged Modeling

So, averaged and discrete time formulations are equivalent if

- Ripple in states is
  1. Dominantly straight-line, so  $e^{A_i t_i} \approx (I + A_i t_i)$
  2. Low frequency, such that  $t_i t_j \ll \|A_i A_j\|$