

STL::sort and Shellsort

# qsort

- qsort is a C-style QuickSort implementation that is ignostic about data and uses a user supplied function
- Prototype is:
  - `void qsort (void* base, size_t num, size_t width, int compareFunc(const void *, const void *))`
- You have two options for Project #2:
  - Add in two C-style comparison functions, one for strings and one of numbers that look like above
  - Do pointer magic (see Piazza) to convert the C++ style ones into C style
  - Purpose: to compare **STL and more C-like sorting empirically**

```
/* qsort int comparison function */
int int_cmp(const void *a, const void *b)
{
    const int *ia = (const int *)a; // casting pointer types
    const int *ib = (const int *)b;
    return *ia - *ib;
    /* integer comparison: returns negative if b > a
    and positive if a > b */
}
```

```
/* qsort C-string comparison function */
int cstring_cmp(const void *a, const void *b)
{
    const char **ia = (const char **)a;
    const char **ib = (const char **)b;
    return strcmp(*ia, *ib);
    /* strcmp functions works exactly as expected from
    comparison function */
}
```

[http://www.anyexample.com/programming/c/qsort\\_\\_sorting\\_array\\_of\\_strings\\_\\_integers\\_and\\_structs.xml](http://www.anyexample.com/programming/c/qsort__sorting_array_of_strings__integers_and_structs.xml)

## Sorting example from same ref

```
/* sorting integers using qsort() example */
void sort_integers_example()
{
    int numbers[] = { 7, 3, 4, 1, -1, 23, 12, 43, 2, -4, 5 };
    size_t numbers_len = sizeof(numbers)/sizeof(int);

    puts("*** Integer sorting...");

    /* print original integer array */
    print_int_array(numbers, numbers_len);

    /* sort array using qsort functions */
    qsort(numbers, numbers_len, sizeof(int), int_cmp);

    /* print sorted integer array */
    print_int_array(numbers, numbers_len);
}
```

# C++ standard as a guide

- The standard for C++ states that sorting should be  $O(n \log n)$
- Caveats:
  - Stability matters so merge sort and/or a linked list implementation (Project 2) of quick sort may be in play
  - Most implementations use something called “intro-sort,” which is a hybrid between Quick sort and Heap Sort (spoiler for next week)
  - `Std::stable_sort` exists to guarantee stability, `list::sort` exists to sort lists in the STL (sorry, can't use it for Project 2)

# One more thing on Quick sort

- A question asked at the end of last class was, “Dr. Scott, what if we are unlucky and the first element is the minimum relative to the list?”
- There are two solutions:
  - Dr. Plank takes the median of the **first, middle, and last number**. Does better and is closest to `STL::sort` since the median is “in place”
  - It is also possible to shuffle the numbers in  $O(n)$  time to make the worst case for QuickSort, which is  $O(n^2)$ , **unlikely**. Fisher-Yate’s that is also known as Knuth’s shuffle is the simplest thing to implement

## List::sort

- Actually uses merge sort since merging is pretty easy in a linked list; you just maintain two pointers (vs. indices), a "front" pointer, and move nodes around to put each subproblem in order
- Also relatively low overhead in most implementations

# Merge pseudo code

```
link merge (link a, link b) {
```

- Node head;
- Link c = &head; // link is a pointer, get address of node
- While (a != null) && (b != NULL). // merge until one list is empty
  - if less (a->item, b-> item)
    - {c->next = a; c = a; a = a->next;}
  - else
    - {c->next = b; c = b; b = b->next;}
- c->next = (a==NULL) ? b : a; // tack on the remaining list onto new list c
- Return head.next;

```
}
```



<https://www.geeksforgeeks.org/bubble-sort-on-doubly-linked-list/>

## Bubble sort alternative

```
/* Bubble sort the given linked list */
void bubbleSort(struct Node *start)
{
    int swapped, i;
    struct Node *ptr1;
    struct Node *lptr = NULL;

    /* Checking for empty list */
    if (start == NULL)
        return;

    do
    {
        swapped = 0;
        ptr1 = start;

        while (ptr1->next != lptr)
        {
            if (ptr1->data > ptr1->next->data)
            {
                swap(ptr1->data, ptr1->next->data);
                swapped = 1;
            }
            ptr1 = ptr1->next;
        }
        lptr = ptr1;
    } while (swapped);
}
```

# Stability

- A sorting algorithm is considered **stable** if it maintains the **relative order** of **equivalent elements**
- For example, consider this array:
  - 4 6<sup>1</sup> 6<sup>2</sup> 3 7
- A **stable sort** would guarantee 6<sup>1</sup> comes before 6<sup>2</sup>

# Why does stability matter?

- There are a few times when you want to preserve order. The most obvious is sorting on multiple factors (Last Name, First Name)
- In general, we have two options for **multi-factor sorting**
  - Use a stable sorting method (see reading assignment)
  - Use a custom comparison function, similar to the current Project #2

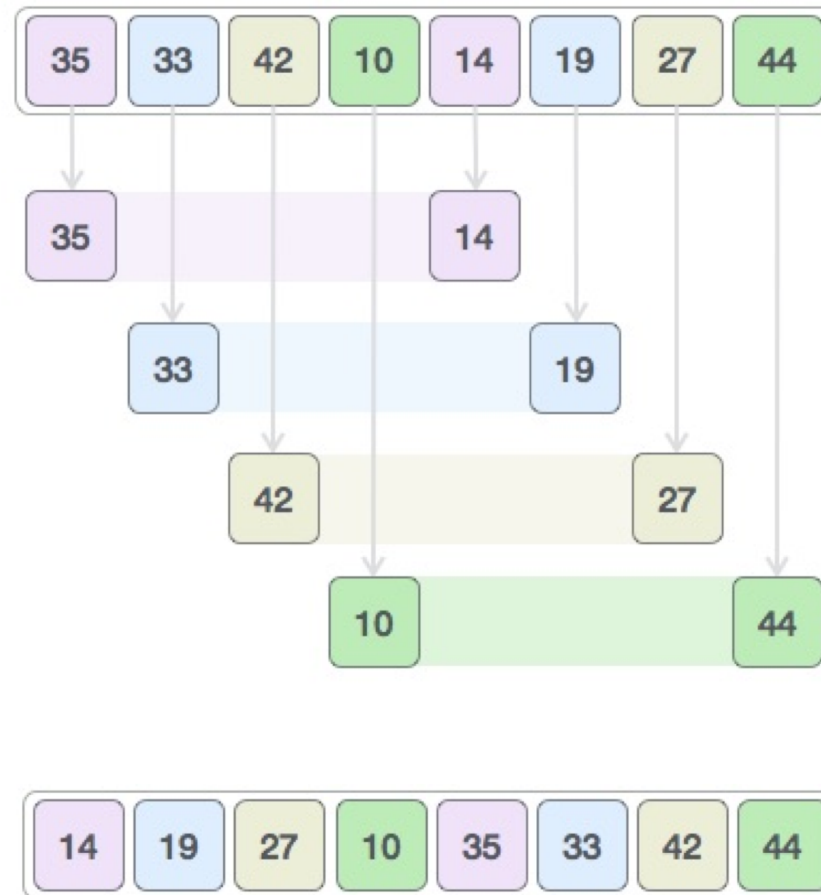
# Example

- Josh N.
- Josh A.
- Josh H.
- Josh B.
- Andrew A.
- Andrew B.
- Alex T.
- Alex S.
- Alex L.

# Shell sort

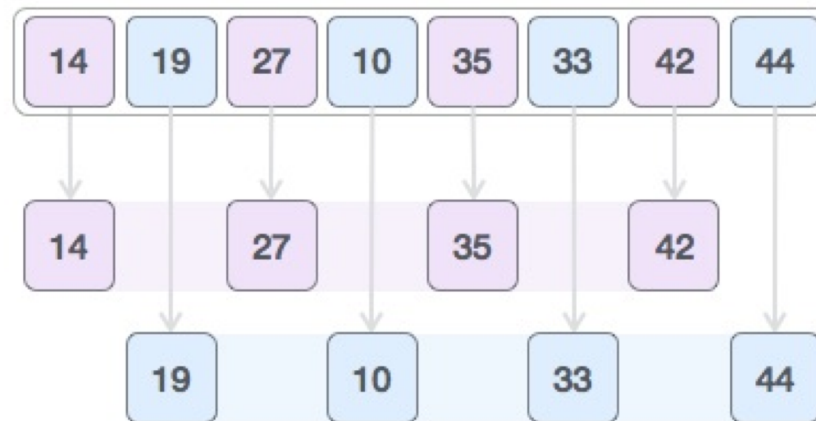
- Algorithm published in 1959 by Shell (thus Shell's sort)
- Key insight is to divide the list into subsets s.t. more distant elements are compared and swapped if necessary
- The distances are reduced per iteration, then insertion sort is run to make sure everything is sorted

# Example: Stage 1



[https://www.tutorialspoint.com/data\\_structures\\_algorithms/shell\\_sort\\_algorithm.htm](https://www.tutorialspoint.com/data_structures_algorithms/shell_sort_algorithm.htm)

# Example: Stage 2



14. 10. 27. 19. 35. 33. 42. 44

[https://www.tutorialspoint.com/data\\_structures\\_algorithms/shell\\_sort\\_algorithm.htm](https://www.tutorialspoint.com/data_structures_algorithms/shell_sort_algorithm.htm)

# Pseudo-code

- `Gap = choseInitialGap(); // usually  $n / 2$  per Shell`
- `While (gap > 0) {`
  - `For (i = 0; i < gap; i++)`
    - Insertion sort subsequences starting at  $i$ , skipping every `gap` elements
  - `Gap = chooseNextGap (gap);`
- `}`



# Overview (using dance! And no dance..)

- <https://www.youtube.com/watch?v=CmPA7zE8mx0>
- <https://www.toptal.com/developers/sorting-algorithms/shell-sort>

# Big picture/take home re: shell sort

- Complexity is hard to analyze, and depends on gap, but in general
  - Average case:  $O(n^{1.25})$
  - First known algorithm to be faster than  $O(n^2)$ , our example is  $O(n^{1.5})$
  - Although best case is same as insertion sort ( $O(n)$ ) in practice usually worse than merge or quick sort
- The basic idea is the final insertion sort will occur on data with fewer large scale inversions, which means smaller distances in the final step

# Hybrid sorting methods

- There usually is a trade off between efficiency of divide and conquer (i.e., good theoretical and actual performance) and using the function stack for recursion
- In Dr. Plank's notes, his Quick Sort implementation uses insertion sort with 115 or fewer elements for these advantages:
  - Best case is  $O(n)$  if already sorted
  - Easy to implement
- Any other algorithm can be used, though. Some STL implementations use introsort

# Big picture thoughts

- In practice, median of 3 quicksort is the best (see Plank's notes); however, an adversary can derive an example when it does not too well
- Worst case scenario is  $O(n^2)$ , just like other sorts
- Solution: bound the size and use heapsort on subproblems
  - $1/200^{\text{th}}$  the time on 100,000 elements designed to thwart median of 3

# Pseudocode from Wikipedia

```
procedure sort(A : array):  
  let maxdepth =  $\lfloor \log(\text{length}(A)) \rfloor \times 2$   
  introsort(A, maxdepth)  
  
procedure introsort(A, maxdepth):  
  n  $\leftarrow$  length(A)  
  p  $\leftarrow$  partition(A) // assume this function does pivot selection, p is the final position of the pivot  
  if n  $\leq$  1:  
    return // base case  
  else if maxdepth = 0:  
    heapsort(A)  
  else:  
    introsort(A[0:p], maxdepth - 1)  
    introsort(A[p+1:n], maxdepth - 1)
```